

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT4948068

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT

**CONVEYING PARTY DATA**

Name	Execution Date
CECILE JUNG-KUBIAK	04/12/2018
COLLEEN M. MARRESE-READING	04/24/2018
VICTOR E. WHITE	04/18/2018
DANIEL W. WILSON	04/20/2018
MATTHEW R. DICKIE	04/20/2018
KARL Y. YEE	04/12/2018
RICHARD E. MULLER	04/19/2018
JAMES E. POLK	04/18/2018
JOHN R. ANDERSON	04/24/2018
NIMA ROUHI	04/24/2018
FRANK GREER	04/18/2018

**RECEIVING PARTY DATA**

<b>Name:</b>	CALIFORNIA INSTITUTE OF TECHNOLOGY
<b>Street Address:</b>	1200 E. CALIFORNIA BOULEVARD
<b>Internal Address:</b>	M/C 6-32
<b>City:</b>	PASADENA
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	91125

**PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14800436

**CORRESPONDENCE DATA**

Fax Number: (949)852-0004

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

Phone: 9498520000

Email: pair@kppb.com

Correspondent Name: KPPB LLP

Address Line 1: 2190 S. TOWNE CENTRE PLACE

Address Line 2: SUITE 300

**PATENT**

**Address Line 4:** ANAHEIM, CALIFORNIA 92806

**ATTORNEY DOCKET NUMBER:** C9-03949

**NAME OF SUBMITTER:** AGNES POON

**SIGNATURE:** /Agnes Poon/

**DATE SIGNED:** 05/04/2018

**Total Attachments: 22**

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## ASSIGNMENT AGREEMENT

WHEREAS, I/We, Cecile Jung-Kubiak, Colleen M. Marrese-Reading, Victor E. White, Daniel W. Wilson, Matthew R. Dickie, Karl Y. Yee, Richard E. Muller, James E. Polk, John R. Anderson, Nima Rouhi, and Frank Greer (**Assignor(s)**), have made certain new and useful inventions, improvements and/or designs set forth in an application for Letters Patent entitled MICRO-EMITTERS FOR ELECTROSPRAY SYSTEMS, filed on July 15, 2015 and having been assigned Application No. Skip to Main Content 14/800,436 (**Assigned Patent Applications**);

WHEREAS, California Institute of Technology, a California corporation, having a place of business at 1200 E. California Boulevard, M/C 6-32, Pasadena, California 91125 (**Assignee**), is desirous of acquiring the entire right, title and interest in and to said inventions, improvements, and/or designs and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefore;

NOW, THEREFORE, **Assignor(s)** and **Assignee** do hereby enter into the following Assignment Agreement.

### 1. Definitions

The **Assigned Patent Rights** means certain new and useful inventions, improvements, and designs made by the **Assignor(s)** for which the **Assigned Patent Applications** have been filed.

The **Assigned Patent Rights** also includes:

- the **Assigned Patent Applications**;
- all Letters Patent granted based upon an application for Letters Patent forming part of the **Assigned Patent Rights**;
- any inventions, improvements, and designs disclosed by an application for Letters Patent forming part of the **Assigned Patent Rights**;
- any provisional patent application to which priority is claimed under 35 U.S.C. § 119(e) by an application for Letters Patent forming part of the **Assigned Patent Rights** that has not previously been assigned by the **Assignors**;
- all divisional applications, continuation applications, continuation-in-part applications, and design patent applications claiming priority to an application for Letters Patent forming part of the **Assigned Patent Rights**, as well as any conversions, revival and prologations of an application for Letters Patent forming

part of the **Assigned Patent Rights**;

- the authority to prosecute/initiate any reissue, reexamination, renewal or extension of the Assigned Patent Application or of any Letters Patent granted based upon an application for Letters Patent forming part of the **Assigned Patent Rights**;
- any substitute application filed based upon an application for Letters Patent forming part of the **Assigned Patent Rights**;
- any priority rights associated with an application for Letters Patent forming part of the **Assigned Patent Rights**, including priority under International Agreements, Treaties and Conventions for the protection of intellectual property in its various forms and all applications in which a right of priority is claimed and any Letters Patent, Design Patent, Utility Model, or equivalent granted therefrom;
- any legal equivalents of priority rights associated with an application for Letters Patent forming part of the **Assigned Patent Rights**, including priority under International Agreements, Treaties and Conventions for the protection of intellectual property in its various forms and all applications in which a right of priority is claimed and any Letters Patent, Design Patent, Utility Model, or equivalent granted therefrom; and
- any right to sue for infringement of any right arising from the filing of an application for Letters Patent forming part of the **Assigned Patent Rights**.

## **2. Assignment of the Assigned Patent Rights**

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, **Assignor(s)** have and/or do hereby sell, assign, and transfer to **Assignee**, its successors and assigns, all right, title, and interest for the United States and its territorial possessions and in all foreign countries in and to the **Assigned Patent Rights**.

## **3. Cooperation Agreement**

The **Assignor(s)** further agree without further or additional consideration, but at the expense of **Assignee**, to:

- promptly provide **Assignee**, upon request, with all pertinent facts and documents relating to the **Assigned Patent Rights**;
- cooperate with **Assignee** in the protection and prosecution of the **Assigned Patent Rights**;

- testify with respect to all pertinent facts and documents relating to the **Assigned Patent Rights** in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto;
- review, execute, verify, acknowledge and deliver to Assignee or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue, or enforce the **Assigned Patent Rights**; and
- perform such other acts as Assignee lawfully may request to obtain or maintain the **Assigned Patent Rights**, or to vest title thereto in said Assignee.

**4. Assignors Representations**

The Assignor(s) hereby represent and warrant that they have not entered, and will not enter, into any assignment, license agreement, sale agreement, or encumbrance that conflicts with the assignment of the **Assigned Patent Rights**.

**5. Authorization to issue patents in name of Assignee**

The Assignor(s) and Assignee hereby confirm that the assignment to the Assignee of the **Assigned Patent Rights** includes authorization by the Assignor(s) for the United States Commissioner of Patents and Trademarks, and officials of any other country whose duty it is to issue patents on applications, to issue any Letters Patent arising from the **Assigned Patent Rights** to the Assignee, its successors, or assigns.


Assignor(s) grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.


This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

IN TESTIMONY WHEREOF, I, Cecile Jung-Kubiak, hereunto set my hand this 12<sup>th</sup> day of April, 2018.

CK

1301 ROSSROYNE AVENUE  
GLENDALE, CA 91207

  
 Cecile Jung-Kubiak  
 1200 E California Boulevard  
 Pasadena, CA 91125

Karl Yee   
 Witnessed:

C9-03949

IN TESTIMONY WHEREOF, I, Colleen M. Marrese-Reading, hereunto set my hand this 24 day of APRIL, 2018.

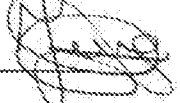
Colleen M. Marrese-Reading  
Colleen M. Marrese-Reading *CMP*  
27048 Channel Lane 28790 CALLE DE LA PAZ  
Valencia, CA 91355 VALENCIA, CA 91354

John R. Anderson John R. Anderson  
Witnessed:

IN TESTIMONY WHEREOF, I, Victor E. White, hereunto set my hand this 18 day of April, 2018.

Victor E. White


Victor E. White  
4229 Canyon Crest Road  
Altadena, CA 91101

Beate Jung-Rubial  
Witnessed: 

IN TESTIMONY WHEREOF, I, Daniel W. Wilson, hereunto set my hand this 20<sup>th</sup> day of April, 2018.


Daniel W. Wilson

Daniel W. Wilson  
2624 Fairway Avenue  
Montrose, CA 91020

Beate Jung-Rubial  
Witnessed: 

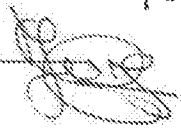
C9-03949

IN TESTIMONY WHEREOF, I, Matthew R. Dickie, hereunto set my hand this 20 day  
of April, 2018.


  
Matthew R. Dickie

~~2401 E. Second Street, #302~~  
~~Long Beach, CA 90803~~


2615 East 3rd St.  
Long Beach, CA  
90814

Cecile Jung-Kubiak  
Witnessed: 

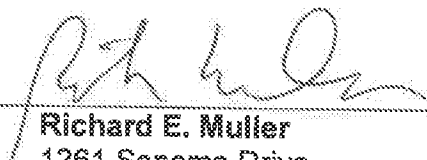
IN TESTIMONY WHEREOF, I, Karl Y. Yee, hereunto set my hand this 12 day of  
APRIL, 2018.

  
Karl Y. Yee

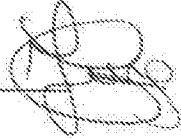
3051 Millicent Way  
Pasadena, CA 91107

Cecile Jung-Kubiak  
Witnessed: 

IN TESTIMONY WHEREOF, I, Richard E. Muller, hereunto set my hand this 19 day  
of April, 2018.

  
Richard E. Muller

1261 Sonoma Drive  
Altadena, CA 91101

Cecile Jung-Kubiak  
Witnessed: 






C9-03949


IN TESTIMONY WHEREOF, I, Frank Greer, hereunto set my hand this 18<sup>th</sup> day of April, 2018.



Frank Greer  
~~300 E. Bellevue Drive #204~~ 3034 Shannon Rd.  
Pasadena, CA 91101 Los Angeles, CA 90027

Lucie Jung-Rubiac   
Witnessed:

Assignee hereby accepts this Assignment:

		Chief Innovation Officer, Executive Director, Office of Technology Transfer	<u>5/21/18</u>
Name	Frederic Farina	Title	Date

## ASSIGNMENT AGREEMENT

WHEREAS, I/We, Cecile Jung-Kubiak, Colleen M. Marrese-Reading, Victor E. White, Daniel W. Wilson, Matthew R. Dickie, Karl Y. Yee, Richard E. Muller, James E. Polk, John R. Anderson, Nima Rouhi, and Frank Greer (**Assignor(s)**), have made certain new and useful inventions, improvements and/or designs set forth in an application for Letters Patent entitled MICRO-EMITTERS FOR ELECTROSPRAY SYSTEMS, filed on July 15, 2015 and having been assigned Application No. Skip to Main Content 14/800,436 (**Assigned Patent Applications**);

WHEREAS, California Institute of Technology, a California corporation, having a place of business at 1200 E. California Boulevard, M/C 6-32, Pasadena, California 91125 (**Assignee**), is desirous of acquiring the entire right, title and interest in and to said inventions, improvements, and/or designs and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefore;

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- review, execute, verify, acknowledge and deliver to Assignee or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue, or enforce the **Assigned Patent Rights**; and
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IN TESTIMONY WHEREOF, I, Cecile Jung-Kubiak, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

---

Cecile Jung-Kubiak  
1200 E. California Boulevard  
Pasadena, CA 91125

---

Witnessed:

C9-03949

IN TESTIMONY WHEREOF, I, Colleen M. Marrese-Reading, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

---

Colleen M. Marrese-Reading  
27048 Channel Lane  
Valencia, CA 91355

---

Witnessed:

IN TESTIMONY WHEREOF, I, Victor E. White, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

---

Victor E. White  
4229 Canyon Crest Road  
Altadena, CA 91101

---

Witnessed:

IN TESTIMONY WHEREOF, I, Daniel W. Wilson, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

---

Daniel W. Wilson  
2624 Fairway Avenue  
Montrose, CA 91020

---

Witnessed:

C9-03949

IN TESTIMONY WHEREOF, I, Matthew R. Dickle, hereunto set my hand this \_\_\_\_\_ day  
of \_\_\_\_\_, 20\_\_\_\_\_.

---

**Matthew R. Dickle**  
2101 E. Second Street, #302  
Long Beach, CA 90803

---

**Witnessed:**

IN TESTIMONY WHEREOF, I, Karl Y. Yee, hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 20\_\_\_\_\_.

---

**Karl Y. Yee**  
3051 Millicent Way  
Pasadena, CA 91107

---

**Witnessed:**

IN TESTIMONY WHEREOF, I, Richard E. Muller, hereunto set my hand this \_\_\_\_\_ day  
of \_\_\_\_\_, 20\_\_\_\_\_.

---


**Richard E. Muller**  
1261 Sonoma Drive  
Altadena, CA 91101


---

**Witnessed:**

C9-03949

IN TESTIMONY WHEREOF, I, James E. Polk, hereunto set my hand this 18<sup>th</sup> day of April, 2018.

  
James E. Polk  
1200 E. California Boulevard, M/C 6-32  
Pasadena, CA 91125

  
Witnessed:

IN TESTIMONY WHEREOF, I, John R. Anderson, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

John R. Anderson  
10760 Sevenhills Drive  
Tujunga, CA 91042

Witnessed:

IN TESTIMONY WHEREOF, I, Nima Rouhi, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

Nima Rouhi  
1200 E. California Boulevard, M/C 6-32  
Pasadena, CA 91125

Witnessed:


C9-03949

IN TESTIMONY WHEREOF, I, Frank Greer, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
**Frank Greer**  
300 E. Bellevue Drive #204  
Pasadena, CA 91101

\_\_\_\_\_  
**Witnessed:**

**Assignee hereby accepts this Assignment:**

		Chief Innovation Officer, Executive Director, Office of Technology Transfer	5/2/18
Name	Frederic Farina	Title	Date



## ASSIGNMENT AGREEMENT

WHEREAS, I/We, Cecile Jung-Kubiak, Colleen M. Marrese-Reading, Victor E. White, Daniel W. Wilson, Matthew R. Dickie, Karl Y. Yee, Richard E. Muller, James E. Polk, John R. Anderson, Nima Rouhi, and Frank Greer (**Assignor(s)**), have made certain new and useful inventions, improvements and/or designs set forth in an application for Letters Patent entitled MICRO-EMITTERS FOR ELECTROSPRAY SYSTEMS, filed on July 15, 2015 and having been assigned Application No. Skip to Main Content 14/800,436 (**Assigned Patent Applications**);

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IN TESTIMONY WHEREOF, I, Cecile Jung-Kubiak, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

---

Cecile Jung-Kubiak  
1200 E. California Boulevard  
Pasadena, CA 91125

---

Witnessed:

C9-03949

IN TESTIMONY WHEREOF, I, Colleen M. Marrese-Reading, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

---

Colleen M. Marrese-Reading  
27048 Channel Lane  
Valencia, CA 91355

---

Witnessed:

IN TESTIMONY WHEREOF, I, Victor E. White, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

---

Victor E. White  
4229 Canyon Crest Road  
Altadena, CA 91101

---

Witnessed:

IN TESTIMONY WHEREOF, I, Daniel W. Wilson, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

---

Daniel W. Wilson  
2624 Fairway Avenue  
Montrose, CA 91020

---

Witnessed:

C9-03949

IN TESTIMONY WHEREOF, I, Matthew R. Dickle, hereunto set my hand this \_\_\_\_\_ day  
of \_\_\_\_\_, 20\_\_\_\_\_.

---

**Matthew R. Dickle**  
2101 E. Second Street, #302  
Long Beach, CA 90803

---

**Witnessed:**

IN TESTIMONY WHEREOF, I, Karl Y. Yee, hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 20\_\_\_\_\_.

---

**Karl Y. Yee**  
3051 Millicent Way  
Pasadena, CA 91107

---

**Witnessed:**

IN TESTIMONY WHEREOF, I, Richard E. Muller, hereunto set my hand this \_\_\_\_\_ day  
of \_\_\_\_\_, 20\_\_\_\_\_.

---

**Richard E. Muller**  
1261 Sonoma Drive  
Altadena, CA 91101

---

**Witnessed:**

C9-03949

IN TESTIMONY WHEREOF, I, James E. Polk, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
**James E. Polk**  
1200 E. California Boulevard, M/C 6-32  
Pasadena, CA 91125


\_\_\_\_\_  
Witnessed:


IN TESTIMONY WHEREOF, I, John R. Anderson, hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
**John R. Anderson**  
10760 Sevenhills Drive  
Tujunga, CA 91042

\_\_\_\_\_  
Witnessed:

IN TESTIMONY WHEREOF, I, Nima Rouhi, hereunto set my hand this 24<sup>th</sup> day of April, 2018.

  
\_\_\_\_\_  
**Nima Rouhi**  
1200 E. California Boulevard, M/C 6-32  
Pasadena, CA 91125

  
\_\_\_\_\_  
Witnessed:


C9-03949

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\_\_\_\_\_  
**Frank Greer**  
300 E. Bellevue Drive #204  
Pasadena, CA 91101

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**Witnessed:**

**Assignee hereby accepts this Assignment:**

		Chief Innovation Officer, Executive Director, Office of Technology Transfer	5/2/18
Name	Frederic Farina	Title	Date