

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT5012375

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
XIAOHUA MA	05/08/2018
MARK N OBROVAC	05/10/2018
RECEIVING PARTY DATA	
Name:	3M INNOVATIVE PROPERTIES COMPANY
Street Address:	PO BOX 33427
City:	ST. PAUL
State/Country:	MINNESOTA
Postal Code:	55133-3427
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16063708
CORRESPONDENCE DATA	
Fax Number:	(651)736-3833
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	651-733-1500
Email:	asmiller@mmm.com
Correspondent Name:	3M INNOVATIVE PROPERTIES COMPANY
Address Line 1:	PO BOX 33427
Address Line 4:	ST. PAUL, MINNESOTA 55133-3427
ATTORNEY DOCKET NUMBER:	76888US005
NAME OF SUBMITTER:	AMANDA MILLER
SIGNATURE:	/Amanda Miller/
DATE SIGNED:	06/19/2018
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 2	
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DECLARATION AND ASSIGNMENT, FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR § 1.63)	Case No:	76888US005
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As a below named inventor, I believe I am an original inventor or an original joint inventor of a claimed invention in the application and for which a patent is sought on the invention entitled:

ANODE MATERIALS FOR LITHIUM ION BATTERIES AND METHODS OF MAKING AND USING SAME

The specification of which:

- is attached hereto;
- was filed on _____ as United States Application No. _____
- is identified as PCT International Application No. **PCT/US2016/066779** filed on **December 15, 2016**

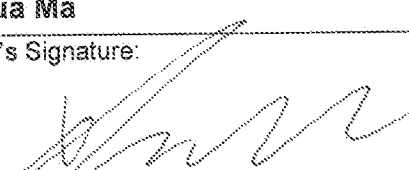
The above-identified application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than 5 years, or both.

In addition, for good and valuable consideration, receipt of which is acknowledged, I have agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly-owned subsidiary of 3M COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by me or made jointly with others (provided any such improvement is made during, or within one year after the termination of, my employment with 3M COMPANY and any of its existing or future subsidiaries), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by me had this assignment and transfer not been made;

I do further agree for myself and for my heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as I lawfully may, that may be deemed necessary by said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries; and

I do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

Legal Name of Inventor: Xiaohua Ma	
Inventor's Signature: 	Date: 5/8/2018

DECLARATION AND ASSIGNMENT, FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR § 1.63)	Docket No:	76888US005
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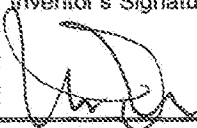
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I do further agree for myself and for my heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as I lawfully may, that may be deemed necessary by said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries; and

I do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

In witness whereof, I have signed my name on the day and year set forth below.

Legal Name of Inventor: Mark N. Obrovac	
Inventor's Signature: 	Date: MAY 10, 2018.