

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5021286

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
RUTH CONG	03/03/2014
JINGTONG HOU	03/03/2014
ZHENGLIN HOU	03/03/2014
PHILLIP PATTEN	03/04/2014
TAKASHI YAMAMOTO	03/03/2014
RECEIVING PARTY DATA	
Name:	PIONEER HI-BRED INTERNATIONAL, INC.
Street Address:	7100 N.W. 62ND AVENUE
Internal Address:	P.O. BOX 1014
City:	JOHNSTON
State/Country:	IOWA
Postal Code:	50131-1014
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16013580
CORRESPONDENCE DATA	
Fax Number:	(515)535-6883
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	515-535-5859
Email:	IPSUPPORT@PIONEER.COM
Correspondent Name:	PIONEER HI-BRED INTERNATIONAL, INC.
Address Line 1:	7250 N.W. 62ND AVENUE
Address Line 2:	P.O. BOX 552
Address Line 4:	JOHNSTON, IOWA 50131-0552
ATTORNEY DOCKET NUMBER:	5414-US-PCD
NAME OF SUBMITTER:	S. CHRISTOPHER BAUER
SIGNATURE:	/S. Christopher Bauer/
DATE SIGNED:	06/25/2018

Total Attachments: 7

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WORLDWIDE ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and in fulfillment of a pre-existing obligation of assignment, we, Ruth Cong, Jingtong Hou, Zhenglin Hou, Phillip Patten, and Takashi Yamamoto at PIONEER HI-BRED INTERNATIONAL, INC., 7100 NW 62nd Avenue, Johnston, Iowa 50131-1014, the undersigned inventor(s) has (have) sold and assigned, and by these presents hereby sells and assigns, as indicated below, unto

PIONEER HI-BRED INTERNATIONAL, INC.
7100 NW 62nd Avenue
P.O. Box 1014
Johnston, Iowa 50131-1014

its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries in and to the inventions in "**PHI-4 Polypeptides and Methods For Their Use**", including all improvements to said invention ("Invention")

as set forth in this PCT Patent Application

- executed concurrently herewith
- executed on
- US Serial No. filed and;
- PCT Serial No. PCT/US14/24524 filed March 12, 2014,

which claim(s) priority to Serial No. 61/793439, filed March 15, 2013 and Serial No. 61/859883, filed July 30, 2013,

including all provisional, non-provisional, divisional, renewal, substitute, continuation, continuations-in-part, international and Convention applications based in whole or in part upon said Inventions or upon said Applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said Inventions or upon said Applications and every priority right that is or may be predicated upon or arise from said Inventions, said Applications, and said Letters Patent; said Assignee being hereby authorized to file patent applications in any or all countries on any or all said Inventions in the name of the undersigned or in the name of said Assignee or otherwise as said Assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents and Trademarks of the United States of America being hereby authorized to issue or transfer all said Letters Patent to said Assignee in accordance herewith; said assignment including the right to bring legal proceedings and obtain any relief to which the undersigned would have been entitled if this assignment had not been made, in respect of any infringements of the undersigned's rights in relation to said inventions, Applications and Letters Patent which occur before or after the date of this assignment; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right theretofore given, and that the undersigned will do all acts reasonably serving to ensure

that the said Inventions, patent applications and Letters Patent shall be held and enjoyed by said Assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said Assignee all lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, and lawful affidavits in form and substance which may be requested by said Assignee, to furnish said Assignee with all facts relating to said Inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may be of said Inventions, and to testify in any proceedings relating to said Inventions, patent applications, Letters Patent, and to bind our heirs, legal representatives, and assigns, promptly to communicate to said Assignee or its representatives any facts known to us relating to said Inventions, to testify in any interference or legal proceedings involving said Inventions, the location of that testimony to be in the country in which we reside or in the nearest country in which such testimony is legal should our country of residence prohibit such testimony, to execute any additional papers which may be requested to confirm the right of the Assignee, its representatives, successors or assigns to secure patent or similar protection for said Inventions and to vest in the Assignee complete title to said Inventions and Letters Patent, without further compensation, but at the expense of said Assignee, its successors, assigns and other legal representatives.

The undersigned hereby grant an authorized representative of Assignee the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office or the Patent Office of any other country for recordation of this Assignment.

This assignment is deemed to be effective at least as early as of March 15, 2013.

In Witness Whereof, I have executed this Worldwide Assignment on the 3rd day of March, 2014.

Ruth Cong

Name of Inventor

[Handwritten Signature]
Signature of Inventor

NOTARY:

State of California

County of Alameda

On March 3, 2014 before me, Ruth Cong personally appeared _____, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

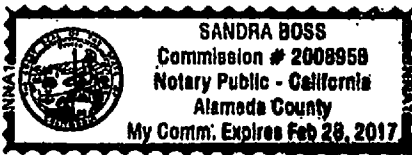
WITNESS my hand and official seal.

Dated March 3, 2014

Signature of Notary Public [Handwritten Signature]

Printed Name Sandra Boss

My appointment expires February 28, 2017



In Witness Whereof, I have executed this Worldwide Assignment on the 3RD day of MARCH, 2014

Jingtong Hou
Name of Inventor Jingtong Hou

[Signature]
Signature of Inventor

NOTARY:

State of California

County of Alameda

On March 3, 2014 before me, Jingtong Hou personally appeared _____, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

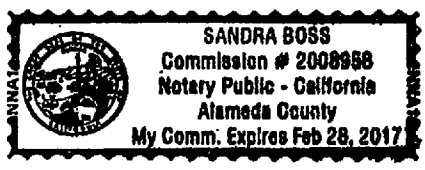
WITNESS my hand and official seal.

Dated March 3, 2014

Signature of Notary Public [Signature]

Printed Name Sandra Boss

My appointment expires February 28, 2014



In Witness Whereof, I have executed this Worldwide Assignment on the 3rd day of March, 2014.

Zhenglin Hou

Name of Inventor



Signature of Inventor

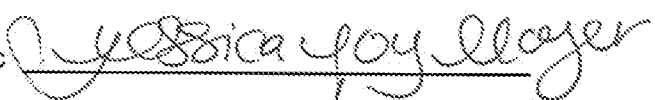
NOTARY:

State of Iowa)

County of PDIK)

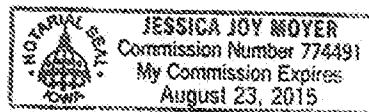
I certify that I know or have satisfactory evidence that Zhenglin Hou is the person who appeared before me and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated March 3, 2014

Signature of Notary Public 

Printed Name Jessica Joy Moyer

My appointment expires August 23, 2015



In Witness Whereof, I have executed this Worldwide Assignment on the 4th day of March, 2014.

Phillip Patten

Name of Inventor

[Signature]
Signature of Inventor

NOTARY:

State of California

County of Alameda

On March 4, 2014 before me, Phillip Patten personally appeared _____, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Dated March 4, 2014

Signature of Notary Public [Signature]

Printed Name Sandra Boss

My appointment expires February 28, 2017



In Witness Whereof, I have executed this Worldwide Assignment on the 3rd day of March, 2014.

Takashi Yamamoto
Takashi Yamamoto
Name of Inventor

[Signature]
Signature of Inventor

NOTARY:

State of CA

County of Alameda

On March 3, 2014 before me, Takashi Yamamoto personally appeared _____, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Dated March 3, 2014

Signature of Notary Public [Signature]

Printed Name Sandra Boss

My appointment expires February 28, 2017

