

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4972528

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
TAO TAO	10/04/2016
SANTOH BARDWAJ	04/28/2018
II SUN YOO	10/07/2016
YIHUL TANG	10/07/2016
JEREMY GERSTLE	10/07/2016
RECEIVING PARTY DATA	
Name:	CAPITAL ONE SERVICES, LLC
Street Address:	1680 CAPITAL ONE DRIVE
City:	MCLEAN
State/Country:	VIRGINIA
Postal Code:	22102
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15943898
CORRESPONDENCE DATA	
Fax Number:	(202)408-4400
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	202-408-4000
Email:	CapOne_USPTO@finnegan.com
Correspondent Name:	FINNEGAN/CAPITAL ONE
Address Line 1:	901 NEW YORK AVENUE, NW
Address Line 4:	WASHINGTON, D.C. 20001
ATTORNEY DOCKET NUMBER:	05793.3495-01000
NAME OF SUBMITTER:	JESSICA L.A. MARKS
SIGNATURE:	/Jessica L.A. Marks/
DATE SIGNED:	05/22/2018
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 12	

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ASSIGNMENT AND DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

ASSIGNMENT:

WHEREAS I/We, the below named inventor(s), (hereinafter referred to as Assignor(s)), of a claimed invention in an application for United States Letters Patent entitled:

SYSTEM AND METHOD FOR RISK-AWARE SERVER LOAD BALANCING

filed herewith or on _____, as U.S. Patent Application No. _____ (Confirmation No. _____); and

WHEREAS, Capital One Services, LLC
a corporation of Delaware

whose post office address is 1680 Capital One Drive, McLean, VA 22102

(hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, U.S. Provisional Application No. 82/239,507, filed October 9, 2015, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority in any foreign country on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application in any foreign country, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Director of the United States Patent and Trademark Office and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

AND, I/WE HEREBY authorize and request that the attorneys having Power of Attorney in this application, insert here in parentheses (Application No. _____, filed _____) the filing date and application number of said application when known.

DECLARATION:

As a named inventor below, I hereby declare that: (1) This declaration is directed to the above-identified application; (2) the above-identified application was made or authorized to be made by me; (3) my residence and mailing address are as stated below next to my name; and (4) I believe I am an original inventor or an original joint inventor of a claimed invention in the application. I hereby acknowledge that any willful false statements made in this declaration are punishable by fine or imprisonment of not more than five (5) years, or both, under section 1001 of Title 18 of the United States Code.

As a below named inventor, I have reviewed and understand the contents of the application, including the claims, and am aware of the duty to disclose to the US PTO all information known to me to be material to patentability as defined in 37C.F.R. § 1.56.

Authorization To Permit Access To Application by Participating Offices:

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filed to have access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the Applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the above identified patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified patent application with respect to: 1) the above-identified patent application-as-filed; 2) any foreign application to which the above-identified patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

County of _____ }
State of _____ }

Name: Tao Tao
Address: ~~38 Hudson Water Cr.~~ 104 Manhattan Dr
~~Gaslight, NY 10533~~ Jericho, NY 11753
By: *[Signature]*
Date: 10/04/2016

T.T.

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

County of _____ }
State of _____ }

Name: Santoh Bardawj
Address: 11600 Peavey Street
Glen Allen, VA 23058
By: _____
Date: _____

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

County of _____ }
State of _____ }

Name: Il Sun Yoo
Address: 42-51 Hunter Street, Apt. 5-D
Long Island City, NY 11101
By: _____
Date: _____

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

County of _____ }
State of _____ }

Name: Yihui Tang
Address: 2711 Bellforest Ct-404
Vienna, VA 22180
By: _____
Date: _____

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

County of _____ }
State of _____ }

Name: Jeremy Gerstle
Address: 2373 Broadway, Apt. 807
New York, NY 10024
By: _____
Date: _____

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

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ASSIGNMENT:

WHEREAS I/We, the below named inventor(s). (hereinafter referred to as Assignor(s)), of a claimed invention in an application for United States Letters Patent entitled:

SYSTEM AND METHOD FOR RISK-AWARE SERVER LOAD BALANCING
filed herewith or on _____, as U.S. Patent Application No. _____ (Confirmation No. _____); and

WHEREAS, Capital One Services, LLC
a corporation of Delaware

whose post office address is 1680 Capital One Drive, McLean, VA 22102

(hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, U.S. Provisional Application No. 62/239,507, filed October 9, 2015, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority in any foreign country on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application in any foreign country, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Director of the United States Patent and Trademark Office and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

AND, I/WE HEREBY authorize and request that the attorneys having Power of Attorney in this application, insert here in parentheses (Application No. _____, filed _____) the filing date and application number of said application when known.

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SYSTEM AND METHOD FOR RISK-AWARE SERVER LOAD BALANCING
filed herewith or on _____, as U.S. Patent Application No. _____ (Confirmation No. _____); and

WHEREAS, Capital One Services, LLC
a corporation of Delaware

whose post office address is 1680 Capital One Drive, McLean, VA 22102

(hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, U.S. Provisional Application No. 82/239,507, filed October 9, 2015, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority in any foreign country on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application in any foreign country, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Director of the United States Patent and Trademark Office and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

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AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

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IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

County of _____ }
 } ss. Name: Tao Tao
 } Address: 36 Hudson Watch Dr.
State of } Ossining, NY 10562
 } By: _____
 } Date: _____

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

County of _____ }
 } ss. Name: Santh Bardawj
 } Address: 11600 Paavey Street
State of } Glen Allen, VA 23059
 } By: _____
 } Date: _____

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

County of _____ }
 } ss. Name: Il Sun Yoo
 } Address: 42-51 Hunter Street, Apt. 5-D
State of } Long Beach City, NY 11101
 } By: _____
 } Date: 10/7/16

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

County of _____ }
 } ss. Name: Yihui Tang
 } Address: 2711 Ballforest Ct-404
State of } Vienna, VA 22180
 } By: _____
 } Date: _____

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

County of _____ }
 } ss. Name: Jeremy Gerstle
 } Address: 2073 Broadway, Apt. 807
State of } New York, NY 10024
 } By: _____
 } Date: 10/07/2016

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

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a corporation of Delaware

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AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

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IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

County of _____ }
State of _____ }
as. Name: Tao Tao
Address: 39 Hudson Watch Dr.
Ossining, NY 10562
By: _____
Date: _____

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

County of _____ }
State of _____ }
as. Name: Santoh Bardawj
Address: 11600 Peavey Street
Glen Allen, VA 23059
By: _____
Date: _____

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

County of _____ }
State of _____ }
as. Name: Il Sun Yoo
Address: 42-51 Hunter Street, Apt. 5-D
Long Island City, NY 11101
By: _____
Date: _____

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

County of _____ }
State of _____ }
as. Name: Yihui Tang
Address: 2711 Bellforest Ct-404
Vienna, VA 22180
By: *Yihui Tang*
Date: 10/07/2016

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public

County of _____ }
State of _____ }
as. Name: Jeremy Garstle
Address: 2373 Broadway, Apt. 807
New York, NY 10024
By: _____
Date: _____

Subscribed and sworn to before me this _____ day of _____, 20____
_____, Notary Public