

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5028285

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DIANE MARY COE	08/30/2013
STEPHEN ALLAN SMITH	08/28/2013
RECEIVING PARTY DATA	
Name:	GLAXOSMITHKLINE LLC
Street Address:	251 LITTLE FALLS DRIVE
City:	WILMINGTON
State/Country:	DELAWARE
Postal Code:	19808
PROPERTY NUMBERS Total: 3	
Property Type	Number
Application Number:	16008421
Application Number:	15497852
Application Number:	14872620
CORRESPONDENCE DATA	
Fax Number:	(610)917-6934
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	610-270-6812
Email:	us_cipkop@gsk.com
Correspondent Name:	GLAXOSMITHKLINE
Address Line 1:	1250 SOUTH COLLEGEVILLE ROAD
Address Line 2:	UP4110
Address Line 4:	COLLEGEVILLE, PENNSYLVANIA 19426
ATTORNEY DOCKET NUMBER:	PB65234TC3
NAME OF SUBMITTER:	LEAH M. OCTAVIO
SIGNATURE:	/Leah M. Octavio/
DATE SIGNED:	06/28/2018
Total Attachments: 6	
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ASSIGNMENT

WHEREAS IWE, Diane Mary COE a citizen of United Kingdom of Stevenage, Hertfordshire, United Kingdom and Stephen Allan SMITH a citizen of United Kingdom c/o Stevenage, Hertfordshire, United Kingdom, (hereinafter called "the inventor(s)") have invented or discovered certain improvements in "NOVEL COMPOUNDS" (hereinafter referred to as "the invention and improvements") for which priority applications 61/692,853 & 61/774,094 were filed respectively on 24 August 2012 and 07 March 2013 in the United States of America, and for which a PCT international application PCT/US2013/056107 was filed on 22 August 2013, designating the United States of America and for which an application under US 35 U.S.C.111 (a) was filed on 22 August 2013 and given the serial number 13/973,466.

WHEREAS the invention, being made in the course of my employment, belongs to my/our employer at that time, namely GLAXOSMITHKLINE SERVICES UNLIMITED a company incorporated in England whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, and

WHEREAS GLAXOSMITHKLINE SERVICES UNLIMITED has authorised and requested our making the application, and

WHEREAS GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, a company incorporated in England whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, is desirous of acquiring from GLAXOSMITHKLINE SERVICES UNLIMITED the whole right, title and interest in and to the invention and in and to the application, and

WHEREAS GLAXO GROUP LIMITED a company incorporated in England whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, is desirous of acquiring from GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED the whole right, title and interest in and to the invention and in and to any applications for the invention and any Letters Patent to be obtained therefor, in all countries, including the United States of America, its territories and possessions;

WHEREAS GLAXOSMITHKLINE LLC a company incorporated in the State of Delaware, USA, whose trading address is 5 Crescent Drive, Philadelphia, Pennsylvania 19112, United States of America, is desirous of acquiring from GLAXO GROUP LIMITED the whole right, title and interest in and to the invention and in and to any applications for the invention and any Letters Patent to be obtained therefor, in all countries, including the United States of America, its territories and possessions;

NOW, THEREFORE, to all whom it may concern be it known that I/we, the inventor(s), hereby confirm the ownership by GLAXOSMITHKLINE SERVICES UNLIMITED of the invention and the application by operation of law and, if under the law of the United States of America I/we the inventor(s) have any ownership right, title and interest in and to the invention and the application, (which I/we do not believe to be the case and claim no ownership right, title or interest in and to the invention and to the application based on the law of the United Kingdom), I/we the inventor(s) nevertheless hereby assign and transfer such ownership right, title and interest in and to the invention and to the application, including the right to claim priority from the application in respect of any subsequent applications, to GLAXOSMITHKLINE SERVICES UNLIMITED. GLAXOSMITHKLINE SERVICES UNLIMITED in turn hereby assigns and transfers to GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED its whole right, title and interest in and to the invention and in and to the application.

GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED in turn hereby assigns and transfers to GLAXO GROUP LIMITED and GLAXO GROUP LIMITED hereby assigns and transfers to GLAXOSMITHKLINE LLC its whole right, title and interest in all countries, including the United States of America, its territories and possessions in and to the invention and in and to the application including the right to claim priority from the application in respect of any subsequent applications, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any Supplementary Protection

Certificate, extension or re-issue thereof, and I/we the inventor(s) and GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED and GLAXO GROUP LIMITED hereby authorise and request any patent arising therefrom, in all countries, including the United States of America, its territories and possessions, be issued to GLAXOSMITHKLINE LLC;

AND GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED and GLAXO GROUP LIMITED hereby, and I/we the inventor(s) for myself/ourselves and my/our respective executors and legal representatives hereby, agree at the request and cost of GLAXOSMITHKLINE LLC to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are necessary or desirable to more effectually secure to and vest in GLAXOSMITHKLINE LLC, its successors and assigns, the whole right, title and interest in and to the invention and in and to the application hereby assigned and transferred in all countries, including the United States of America, its territories and possessions.

IN WITNESS whereof and with effect from the 24 August 2012, the inventor(s) and the Attorney & Authorised Official of GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, GLAXO GROUP LIMITED and GLAXOSMITHKLINE LLC have hereunto set their respective hands.

Inventor Name

Signature

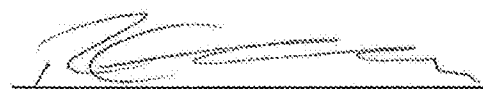
Date

Diane Mary COE: Diane COE 24th August 2012

Stephen Allan SMITH: _____

SIGNED by Richard Lewis EASEMAN

As the Attorney & Authorised Official of GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, GLAXO GROUP LIMITED and GLAXOSMITHKLINE LLC:



Signature

Date: 16 SEP 2013

ASSIGNMENT

WHEREAS I/WE, Diane Mary COE a citizen of United Kingdom of Stevenage, Hertfordshire, United Kingdom and Stephen Allan SMITH a citizen of United Kingdom c/o Stevenage, Hertfordshire, United Kingdom, (hereinafter called "the inventor(s)") have invented or discovered certain improvements in "NOVEL COMPOUNDS" (hereinafter referred to as "the invention and improvements") for which priority applications 61/692,853 & 61/774,094 were filed respectively on 24 August 2012 and 07 March 2013 in the United States of America, and for which a PCT international application PCT/US2013/056107 was filed on 22 August 2013, designating the United States of America and for which an application under US 35 U.S.C.111 (a) was filed on 22 August 2013 and given the serial number 13/973,466.

WHEREAS the invention, being made in the course of my employment, belongs to my/our employer at that time, namely GLAXOSMITHKLINE SERVICES UNLIMITED a company incorporated in England whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, and

WHEREAS GLAXOSMITHKLINE SERVICES UNLIMITED has authorised and requested our making the application, and

WHEREAS GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, a company incorporated in England whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, is desirous of acquiring from GLAXOSMITHKLINE SERVICES UNLIMITED the whole right, title and interest in and to the invention and in and to the application, and

WHEREAS GLAXO GROUP LIMITED a company incorporated in England whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, is desirous of acquiring from GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED the whole right, title and interest in and to the invention and in and to any applications for the invention and any Letters Patent to be obtained therefor, in all countries, including the United States of America, its territories and possessions;

WHEREAS GLAXOSMITHKLINE LLC a company incorporated in the State of Delaware, USA, whose trading address is 5 Crescent Drive, Philadelphia, Pennsylvania 19112, United States of America, is desirous of acquiring from GLAXO GROUP LIMITED the whole right, title and interest in and to the invention and in and to any applications for the invention and any Letters Patent to be obtained therefor, in all countries, including the United States of America, its territories and possessions;

NOW, THEREFORE, to all whom it may concern be it known that I/we, the inventor(s), hereby confirm the ownership by GLAXOSMITHKLINE SERVICES UNLIMITED of the invention and the application by operation of law and, if under the law of the United States of America I/we the inventor(s) have any ownership right, title and interest in and to the invention and the application, (which I/we do not believe to be the case and claim no ownership right, title or interest in and to the invention and to the application based on the law of the United Kingdom). I/we the inventor(s) nevertheless hereby assign and transfer such ownership right, title and interest in and to the invention and to the application, including the right to claim priority from the application in respect of any subsequent applications, to GLAXOSMITHKLINE SERVICES UNLIMITED. GLAXOSMITHKLINE SERVICES UNLIMITED in turn hereby assigns and transfers to GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED its whole right, title and interest in and to the invention and in and to the application.

GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED in turn hereby assigns and transfers to GLAXO GROUP LIMITED and GLAXO GROUP LIMITED hereby assigns and transfers to GLAXOSMITHKLINE LLC its whole right, title and interest in all countries, including the United States of America, its territories and possessions in and to the invention and in and to the application including the right to claim priority from the application in respect of any subsequent applications, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any Supplementary Protection

Certificate, extension or re-issue thereof, and I/we the inventor(s) and GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED and GLAXO GROUP LIMITED hereby authorise and request any patent arising therefrom, in all countries, including the United States of America, its territories and possessions, be issued to GLAXOSMITHKLINE LLC;

AND GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED and GLAXO GROUP LIMITED hereby, and I/we the inventor(s) for myself/ourselves and my/our respective executors and legal representatives hereby, agree at the request and cost of GLAXOSMITHKLINE LLC to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are necessary or desirable to more effectually secure to and vest in GLAXOSMITHKLINE LLC, its successors and assigns, the whole right, title and interest in and to the invention and in and to the application hereby assigned and transferred in all countries, including the United States of America, its territories and possessions.

IN WITNESS whereof and with effect from the 24 August 2012, the inventor(s) and the Attorney & Authorised Official of GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, GLAXO GROUP LIMITED and GLAXOSMITHKLINE LLC have hereunto set their respective hands.

Inventor Name

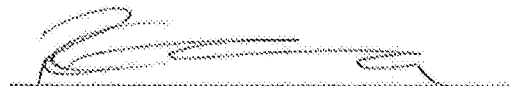
Signature

Date

Diane Mary COE: _____

Stephen Allan SMITH: Stephen Allan Smith 28th August 2013SIGNED by Richard Lewis EASEMAN

As the Attorney & Authorised Official of GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, GLAXO GROUP LIMITED and GLAXOSMITHKLINE LLC:

Date: 16 SEP 2013

Signature