

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5030077

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
BINBIN LIU	05/15/2018
JIANKE WENREN	05/15/2018
FUJIAN DAI	05/15/2018
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	ZHEJIANG SUNNY OPTICAL CO., LTD
<b>Street Address:</b>	NO. 66-68 SHUNYU ROAD, YUYAO, ZHEJIANG PROVINCE
<b>City:</b>	NINGBO CITY
<b>State/Country:</b>	CHINA
<b>Postal Code:</b>	315400
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	16067116
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(703)518-5499
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	7036841111
<b>Email:</b>	cpg@ipfirm.com
<b>Correspondent Name:</b>	HAUPTMAN HAM, LLP
<b>Address Line 1:</b>	2318 MILL ROAD
<b>Address Line 2:</b>	SUITE 1400
<b>Address Line 4:</b>	ALEXANDRIA, VIRGINIA 22314
<b>ATTORNEY DOCKET NUMBER:</b>	C5158-019
<b>NAME OF SUBMITTER:</b>	JOSHUA L. PRITCHETT
<b>SIGNATURE:</b>	/Joshua L. Pritchett/
<b>DATE SIGNED:</b>	06/28/2018
This document serves as an Oath/Declaration (37 CFR 1.63).	
<b>Total Attachments: 6</b>	
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source=EfiledAssgnment\_Statement\_and\_substitute-statement#page6.tif

### ASSIGNMENT STATEMENT

As a below named inventor, I hereby declare that:

I believe I am the original joint inventor of a claimed invention in the application for which this declaration is being submitted and for which a patent is sought on the invention entitled:

OPTICAL LENS ASSEMBLY

(hereinafter referred to as INVENTION), the application of which is attached hereto unless the following is checked

( ) filed on 2018-06-28 as United States Application No. 16/067,116 ,  
or was filed on \_\_\_\_\_ as PCT International Application, No. \_\_\_\_\_ .

The above-identified application claims the priorities of Chinese Patent Application No. 201710390727.9 and 201720608670.0 , entitled "OPTICAL LENS ASSEMBLY" (in Chinese "光学镜片组") filed on May 27, 2017,

The above-identified application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

I hereby authorize the firm of Insight Intellectual Property Attorneys, whose full post office address is 48A Zhichun Road, 19th Floor, Beijing, 100098, China, or the agent(s) of record in the United States to insert above the serial number and filing date of said application when known.

WHEREAS, we, LIU, Binbin, WENREN, Jianke and DAI, Fujian (hereinafter referred to as ASSIGNORS), all having a mailing address of No. 66-68 Shunyu Road, Yuyao, Ningbo City, Zhejiang Province 315400, China, are the joint inventors of the INVENTION;

WHEREAS, Zhejiang Sunny Optical Co., Ltd (hereinafter referred to as ASSIGNEE), having a usual place of business at No. 66-68 Shunyu Road, Yuyao, Ningbo City, Zhejiang Province 315400, China, is desirous of acquiring the entire right, title and interest in and to the INVENTION and in and to any letters patent that may be granted therefor in the United States;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said INVENTION, said application and any and all letters patent which may be granted for said INVENTION in the United States of America and its territorial possessions including any extensions or adjustments in term thereof, and in any and all divisions, reissues and continuations thereof, including the right to sue and collect damages for past and present infringement of said letters patent; said INVENTION, application and all letters patent on said INVENTION to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said INVENTION to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States letters patent on said INVENTION, for litigation regarding said letters patent, or for the purpose of protecting title to said INVENTION or letters patent therefor.

FURTHER, ASSIGNORS agree that ASSIGNORS will communicate to said ASSIGNEE or its representatives any facts known to us respecting said INVENTION, and testify in any legal proceeding, sign all lawful papers, execute all papers relating to a continuation, division, continuation-in-part, extension, non-provisional or substitute thereof, including any corresponding right to claim priority from the patent application in the United States and its

territorial possessions and any reissue, re-examination or extension of said letters patent, execute all necessary assignment papers to cause any and all of said letters patents to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said INVENTION in the United States and its territorial possessions.

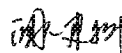
FURTHER, ASSIGNORS and ASSIGNEE hereby authorize the firm of Insight Intellectual Property Attorneys, whose full post office address is 48A Zhichun Road, 19<sup>th</sup> Floor, Beijing, 100098, China, or the agent(s) of record in the United States to correct errors in this Assignment, or to insert any further identification or other information necessary or desirable to make this Assignment suitable for recordal in the United States Patent and Trademark Office.

Legal Name of Inventor: LIU, Binbin

\_\_\_\_\_  
Signature of Inventor

\_\_\_\_\_  
Date

Legal Name of Inventor: WENREN, Jianke

\_\_\_\_\_  


Signature of Inventor

\_\_\_\_\_  
2018.4.15

Date

Legal Name of Inventor: DAI, Fujian

\_\_\_\_\_  


Signature of Inventor

\_\_\_\_\_  
18.5.15

Date

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY  
OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)**

<b>Title of Invention</b>	OPTICAL LENS ASSEMBLY		
This statement is directed to:			
<input checked="" type="checkbox"/> The attached application,			
OR			
<input type="checkbox"/> United States application or PCT international application number _____ filed on _____			
<b>LEGAL NAME of inventor to whom this substitute statement applies:</b>			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Binbin LIU			
Residence (except for a deceased or legally incapacitated inventor):			
City	Zhejiang	State	Country China
Mailing Address (except for a deceased or legally incapacitated inventor):			
No. 66-68 Shunyu Road, Yuyao, Ningbo City			
City	Zhejiang	State	Zip 315400 Country China
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only),			
<input checked="" type="checkbox"/> Assignee,			
<input type="checkbox"/> Person to whom the inventor is under an obligation to assign,			
<input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or			
<input type="checkbox"/> Joint Inventor.			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**PATENT**  
**REEL: 046234 FRAME: 0493**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**SUBSTITUTE STATEMENT**

Circumstances permitting execution of this substitute statement:

- ☐ Inventor is deceased,
- ☐ Inventor is under legal incapacity,
- ☒ Inventor cannot be found or reached after diligent effort, or
- ☐ Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- ☒ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.

OR

- ☐ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

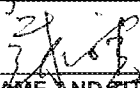
**PERSON EXECUTING THIS SUBSTITUTE STATEMENT:**

Name:

Fujian Dai

Date (Optional):

Signature:

**APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:**

If the applicant is a juristic entity, list the applicant name and the title of the signer:

Zhejiang Sunny Optical Co., Ltd

Applicant Name:

Title of Person Executing

This Substitute Statement: General Manager Assistant

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City Zhejiang

State

Country China

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

No. 66-68 Shunyu Road, Yuyao, Ningbo City

City Zhejiang

State

Zip 315400

Country China

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.