

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT5032663

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|---|---------------------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | ASSIGNMENT |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| JOERN BOROWSKI | 02/26/2015 |
| RECEIVING PARTY DATA | |
| Name: | LUCAS AUTOMOTIVE GMBH |
| Street Address: | CARL-SPAETER-STRASSE 8 |
| City: | KOBLENZ |
| State/Country: | GERMANY |
| Postal Code: | 56070 |
| PROPERTY NUMBERS Total: 1 | |
| Property Type | Number |
| Application Number: | 15521948 |
| CORRESPONDENCE DATA | |
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| ATTORNEY DOCKET NUMBER: | 1-58890 |
| NAME OF SUBMITTER: | DOUGLAS V. PAVELKO |
| SIGNATURE: | /Douglas V. Pavelko/ |
| DATE SIGNED: | 07/02/2018 |
| Total Attachments: 4 | |
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Sagar Sambhaji Kumbhar et al.)
Serial No. 15/521,948) Examiner
Filed: April 26, 2017) Confirmation No. 7086
For: Electromechanical Brake Actuator,) Attorney Docket 1-58890
And Subassembly Therefor Data)

Mail Stop Assignment Recordation Services
Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

RECORDATION OF ASSIGNMENT BY OBLIGATED ASSIGNEE

Honorable Commissioner:

Pursuant to MPEP §306.01, and in compliance with the requirements of 37 C.F.R. §1.46, Applicant and Obligated Assignee LUCAS AUTOMOTIVE GMBH submits herewith sufficient evidence to establish an obligation to assign all rights and other proprietary interests in and to the above-referenced patent application by inventor Joern Borowski and thus, an Assignment of ownership.

Applicant and Obligated Assignee, LUCAS AUTOMOTIVE GMBH submits evidence in the form of a letter and payment statement executed on February 26, 2015 (partially redacted), evincing payment for invention rights pursuant to the German Employee Inventions Act and a translation thereof sufficient to establish a transfer of rights from Joern Borowski to LUCAS AUTOMOTIVE GMBH.

Applicant submits this documentary chain for Recordation as an Assignment of All Right, Title, and Interest in Patent Application No. 15/521,948, filed April 26, 2017 from Joern Borowski to LUCAS AUTOMOTIVE GMBH.

Respectfully submitted,

/Douglas V. Pavelko/

Douglas V. Pavelko

Reg. No. 36,888

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Per Hauspost: 27

Joern Borowski
Albert-Schweitzer-Str. 10

53757 Sankt Augustin



09.02.2015

Inanspruchnahme und Vereinbarung zur Abbedingung §§ 14 und 16 ArbNErfG
Aktenzeichen: P14-016606

Sehr geehrter Herr Borowski,

Hiermit bestätigen wir die Inanspruchnahme gemäß §§ 6 und 7 des Gesetzes über Arbeitnehmererfindungen (ArbNErfG) der von Ihnen gemeldeten Dienstleistung mit dem im Betreff angegebenen TRW-Aktenzeichen, womit alle vermögenswerten Rechte an dieser Dienstleistung auf uns übergehen. Über den weiteren Verlauf der Schutzrechtsanmeldung werden wir Sie informiert halten.

In diesem Zusammenhang zahlen wir gemäß den TRW Richtlinien bezüglich der Arbeitnehmererfindervergütung einen einmaligen Betrag in Höhe von [REDACTED] € brutto, der auf die an dieser Dienstleistung beteiligten Erfinder entsprechend ihres jeweiligen prozentualen Anteils am Zustandekommen der Erfindung aufgeteilt wird. In Ihrem Fall [REDACTED] ergibt sich ein Betrag in Höhe von [REDACTED] € brutto. Im Gegenzug befreien Sie uns von den Pflichten zur Freigabe von Auslandsschutzrechten (§ 14 (2) ArbNErfG) und zur Übertragung von Schutzrechtsanmeldungen oder Schutzrechten (§ 15 (1) ArbNErfG).

Bitte senden Sie zu Ihrem Einverständnis dieses Schreiben unterschrieben innerhalb von 14 Tagen an die Patentabteilung (Hauspost: 44) zurück. Sobald alle an dieser Dienstleistung beteiligten Erfinder zugestimmt haben, werden wir die Zahlung veranlassen. Diese einmalige Zahlung ist eine freiwillige Leistung und an die vorstehenden Bedingungen geknüpft.

Für Rückfragen stehen wir Ihnen jederzeit gerne zur Verfügung.

Mit freundlichen Grüßen
Lucas Automotive GmbH

T. Wagner

J.A. Andrea Naunheim

einverstanden:

J. Borowski

Ort, Datum

Unterschrift

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Joern Borowski
Albert-Schweitzer-Str. 10

53757 Sankt Augustin



February 9, 2015

Utilization of and agreement to the waiver of §§ 14 and 16 ArbNErfG [Employee Inventions Act]
Reference No.: P14-016606

Dear Mr. Borowski:

We hereby confirm the utilization pursuant to §§ 6 and 7 of the Employee Inventions Act (ArbNErfG) of the service invention announced by you having the TRW reference number indicated in the subject line above, whereby all asset-value rights to this service invention devolve upon us. We will keep you informed of the further course of the patent application.

In this connection, we will pay, pursuant to the TRW guidelines on employee inventor compensation, a one-time fee in the amount of ██████████ (gross), which will be divided among the inventors involved in this service invention according to their respective percentage shares in the development of the invention. In your case ████████, this amounts to EUR ██████ (gross). In return, you release us from the duties with regard to the release of foreign protective rights (§ 14(2) ArbNErfG) and with regard to the transfer of patent applications or protective rights (§ 16(1) ArbNErfG).

Please return your signed agreement to this letter within 14 days to the Patent Department (interoffice mail: 44). As soon as all inventors involved in this service invention have agreed, we will execute the payment. This one-time payment is a voluntary benefit and is subject to the above conditions.

Please contact us if you have any questions.

Best regards,

Lucas Automotive GmbH

[signature]

[signature]

In Representation
Thomas Wagner

As Authorized
Andrea Naunheim

Agreed:

February 26, 2015

Place, Date

[signature]

Signature

Managing Directors: Dr. Jan Krüger, Andreas Dahms
Chairman of the Supervisory Board: Jürgen Balo Fiza, Koblenz Local Court No. HRB 79
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PATENT

RECORDED: 07/02/2018

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