

PATENT ASSIGNMENT COVER SHEET

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Stylesheet Version v1.2

EPAS ID: PAT5032997

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
GERRIT KLAERNER	07/07/2016
ERIC F. CONNOR	06/30/2016
RANDI K. GBUR	06/29/2018
MATTHEW J. KADE	07/06/2016
PAUL H. KIERSTEAD	07/06/2016
JERRY M. BUYSSE	06/29/2016
MICHAEL J. COPE	07/01/2016
KALPESH N. BIYANI	06/29/2016
SON H. NGUYEN	06/29/2016
SCOTT M. TABAKMAN	06/29/2016
RECEIVING PARTY DATA	
Name:	TRICIDA, INC
Street Address:	7000 SHORELINE COURT, SUITE 201
City:	SOUTH SAN FRANCISCO
State/Country:	CALIFORNIA
Postal Code:	94080
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16002306
CORRESPONDENCE DATA	
Fax Number:	(314)259-2020
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	314-259-2000
Email:	susan.murphy@bclplaw.com
Correspondent Name:	BRYAN CAVE LEIGHTON PAISNER LLP (TRICIDA
Address Line 1:	211 NORTH BROADWAY
Address Line 2:	SUITE 3600
Address Line 4:	ST. LOUIS, MISSOURI 63102-2750
ATTORNEY DOCKET NUMBER:	TRICIDA-1.PPH1 (2393314)

PATENT

NAME OF SUBMITTER:	ABIGAIL COTTON
SIGNATURE:	/Abigail Cotton/
DATE SIGNED:	07/02/2018
Total Attachments: 13 source=Confirmatory_Assignment_Tricida_1_PCT-US2014-041152#page1.tif source=Confirmatory_Assignment_Tricida_1_PCT-US2014-041152#page2.tif source=Confirmatory_Assignment_Tricida_1_PCT-US2014-041152#page3.tif source=Confirmatory_Assignment_Tricida_1_PCT-US2014-041152#page4.tif source=Confirmatory_Assignment_Tricida_1_PCT-US2014-041152#page5.tif source=Confirmatory_Assignment_Tricida_1_PCT-US2014-041152#page6.tif source=Confirmatory_Assignment_Tricida_1_PCT-US2014-041152#page7.tif source=Confirmatory_Assignment_Tricida_1_PCT-US2014-041152#page8.tif source=Confirmatory_Assignment_Tricida_1_PCT-US2014-041152#page9.tif source=Confirmatory_Assignment_Tricida_1_PCT-US2014-041152#page10.tif source=Confirmatory_Assignment_Tricida_1_PCT-US2014-041152#page11.tif source=Confirmatory_Assignment_Tricida_1_PCT-US2014-041152#page12.tif source=Confirmatory_Assignment_Tricida_1_PCT-US2014-041152#page13.tif	

CONFIRMATORY ASSIGNMENT

WHEREAS, I/We, Gerrit KLAERNER, Eric F. CONNOR, Randi K. GBUR, Matthew J. KADE, Paul H. KIERSTEAD, Jerry M. BUYSSE, Michael J. COPE, Kalpesh N. BIYANI, Son H. NGUYEN, and Scott M. TABAKMAN (each such individual hereinafter individually and collectively referred to as "ASSIGNOR") have invented an improvement in PROTON-BINDING POLYMERS FOR ORAL ADMINISTRATION described in PCT international patent application assigned Serial No. PCT/US2014/041152, filed June 5, 2014, and I/we authorized the filing of the patent application (hereinafter, the "Patent Application") for the invention claimed therein;

AND, WHEREAS, Tricida, Inc., a Delaware corporation, with a principal place of business located at 7000 Shoreline Court, Suite 201, South San Francisco, California 94080 (hereinafter referred to as "ASSIGNEE"), has acquired certain rights thereunder and is desirous of obtaining confirmation of such rights;

NOW, THEREFORE, I/We, Gerrit KLAERNER, Eric F. CONNOR, Randi K. GBUR, Matthew J. KADE, Paul H. KIERSTEAD, Jerry M. BUYSSE, Michael J. COPE, Kalpesh N. BIYANI, Son H. NGUYEN, and Scott M. TABAKMAN hereby confirm having created the invention(s) disclosed in the Patent Application (hereinafter "the Invention") during the course and scope of my/our employment with the Assignee and, insofar as is necessary in law, we each hereby confirm having assigned the entire right, title, and interest in and to said Invention (together with any rights to claim priority from such Patent Application) to the Assignee prior to the filing date of each of the respective Patent Application pursuant to the terms of our respective employment agreements with ASSIGNEE, and any and all patents (including extensions thereof) claiming priority, in

whole or in part therefrom, which have been or may be granted on any of the aforesaid Patent Application or on said Invention or any part thereof;

TO BE HELD AND ENJOYED by said ASSIGNEE, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by me had no sale and assignment of said interest been made;

AND I/WE hereby authorize and request the Commissioner of Patents of the United States of America and the patent granting authority in any other jurisdiction to issue any and all patents which may be granted upon said Patent Application or any of them, or upon said Invention or any part thereof, to said ASSIGNEE;

AND I/WE hereby agree for myself and for my heirs, executors and administrators, to execute without further consideration any further lawful documents and any further assurances, and any provisional, non-provisional, divisional, continuing, reissue, or other applications for patents of any country, that may be deemed necessary by said ASSIGNEE fully to secure to said ASSIGNEE its interest as aforesaid in and to said invention or any part thereof, and in and to said several patents or any of them;

AND I/WE hereby covenant for myself and my legal representatives, and agree with said ASSIGNEE, its successors and assigns, that I have granted no right or license to make, use or sell said invention, to anyone except said ASSIGNEE, that prior to the execution of this deed my right, title, and interest in said invention had not been otherwise encumbered, and that I have not executed and will not execute any instrument in conflict herewith.

[Remainder of page left intentionally blank]

IN WITNESS WHEREOF, I have hereunto set my hand.

7/17/16
Date


Gerrit KLAERNER

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

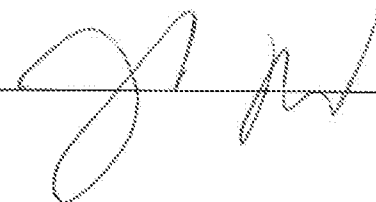
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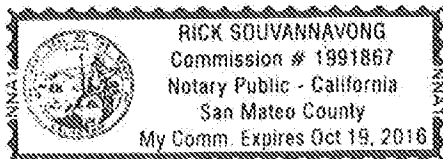
STATE OF California)
COUNTY OF San Mateo)

On this 7 day of July, 2016, before me, Rick Souvannavong, a Notary Public, personally appeared Gerrit Klaerner who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature 



IN WITNESS WHEREOF, I have hereunto set my hand.

30th June 2016
Date

ERIC CONNOR
Eric F. CONNOR

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

ACKNOWLEDGMENT

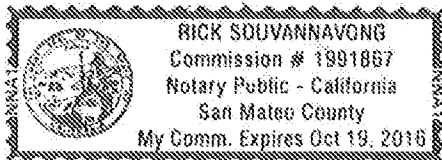
STATE OF California)
COUNTY OF San Mateo)

On this 30 day of June, 2016, before me, Rick Souvannavong, a Notary Public, personally appeared Eric F. Connor who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Handwritten Signature]



IN WITNESS WHEREOF, I have hereunto set my hand.

29 Jun 2016
Date

Randi K. Gbur
Randi K. Gbur

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ACKNOWLEDGMENT

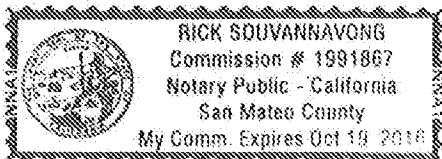
STATE OF California)
COUNTY OF San Mateo)

On this 29 day of JUNE, 2016, before me, Rick Souvannavong, a Notary Public, personally appeared Randi K. Gbur who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Handwritten Signature]



IN WITNESS WHEREOF, I have hereunto set my hand.

6 July 2016
Date


Matthew J. KADE

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

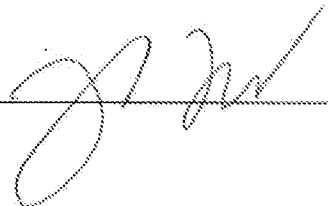
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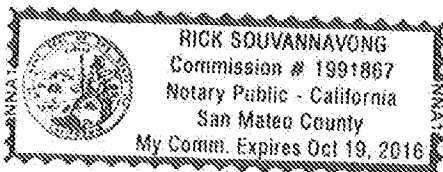
STATE OF California)
COUNTY OF San Mateo)

On this 6th day of July, 2016, before me, Rick Souvannavong, a Notary Public, personally appeared Matthew J. Kade who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/hér/their authorized capacity(ies), and that by his/hér/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITENSS my hand and official seal.

Signature 



IN WITNESS WHEREOF, I have hereunto set my hand.

06 July 2016
Date

Paul H. Kierstead
Paul H. KIERSTEAD

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

ACKNOWLEDGMENT

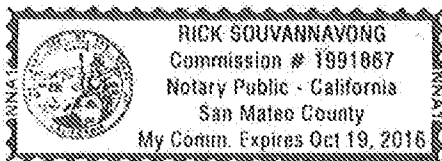
STATE OF California)
COUNTY OF San Mateo)

On this 6th day of July, 2016, before me, Rick Souvannavong, a Notary Public, personally appeared Paul H. Kierstead who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature *Rick Souvannavong*



IN WITNESS WHEREOF, I have hereunto set my hand.

29 June 2016
Date

Jerry M. Buysse
Jerry M. BUYSSE

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ACKNOWLEDGMENT

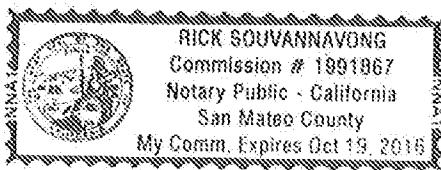
STATE OF California)
COUNTY OF San Mateo)

On this 29 day of June, 2016, before me, Rick Souvannavong, a Notary Public, personally appeared Jerry M. Buysse who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/hér/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITENSS my hand and official seal.

Signature JM



IN WITNESS WHEREOF, I have hereunto set my hand.

15th July 2016
Date

Michael J. Cope
Michael J. CORE

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ACKNOWLEDGMENT

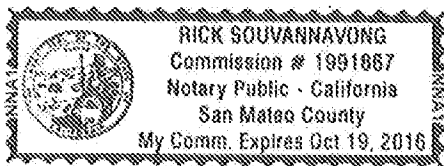
STATE OF California)
COUNTY OF San Mateo)

On this 15 day of July, 2016, before me, Rick Souvannavong, a Notary Public, personally appeared Michael J. Cope who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/hér/their authorized capacity(ies), and that by his/hér/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITENSS my hand and official seal.

Signature [Handwritten Signature]



IN WITNESS WHEREOF, I have hereunto set my hand.

29 June 2016
Date

Kalpesh N. BIYANI

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ACKNOWLEDGMENT

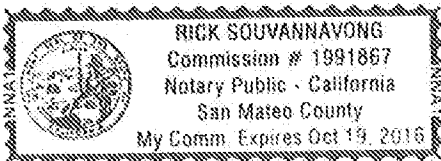
STATE OF California)
COUNTY OF San Mateo)

On this 29 day of June, 2016, before me, Rick Souvannavong, a Notary Public, personally appeared Kalpesh Biyani who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/hér/their authorized capacity(ies), and that by his/hér/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITENSS my hand and official seal.

Signature [Handwritten Signature]



IN WITNESS WHEREOF, I have hereunto set my hand.

29 JUN 2016
Date

Son H. Nguyen
Son H. NGUYEN

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ACKNOWLEDGMENT

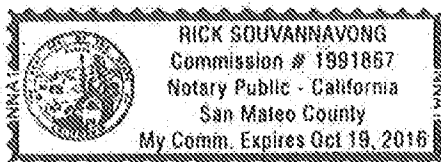
STATE OF California)
COUNTY OF San Mateo)

On this 29 day of June, 2016, before me, Rick Souvannavong, a Notary Public, personally appeared Son H. Nguyen who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITENSS my hand and official seal.

Signature *Rick Souvannavong*



IN WITNESS WHEREOF, I have hereunto set my hand.

June 29, 2016
Date

[Signature]
Scott M. TABAKMAN

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ACKNOWLEDGMENT

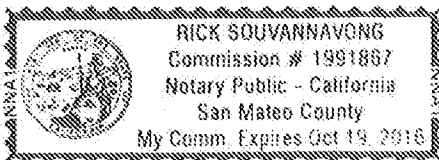
STATE OF California)
COUNTY OF San Mateo)

On this 29 day of June, 2016, before me, Rick Souvannavong, a Notary Public, personally appeared Scott M. Tabakman who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/s/he/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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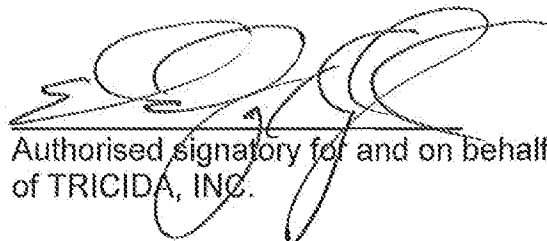
WITENSS my hand and official seal.

Signature [Signature]



IN WITNESS WHEREOF, I have hereunto set my hand.

Date 7/7/2016


Authorized signatory for and on behalf
of TRICIDA, INC.

Name: Edward J. Hejlek

Title: Senior Vice President & General
Counsel

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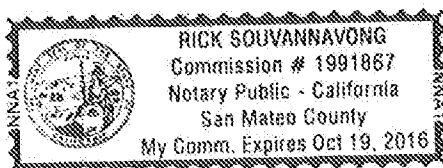
ACKNOWLEDGMENT

STATE OF California)
COUNTY OF San Mateo)

On this 7 day of July, 2016, before me, Rick Souvannavong, a Notary Public, personally appeared Edward J. Hejlek who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/hers/their authorized capacity(ies), and that by his/hers/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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WITNESS my hand and official seal.



Signature 