# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5034966

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
KEVIN E. GATES	07/03/2018

## **RECEIVING PARTY DATA**

Name:	CATERPILLAR INC.
Street Address:	510 LAKE COOK ROAD
Internal Address:	SUITE 100
City:	DEERFIELD
State/Country:	ILLINOIS
Postal Code:	60015

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16026388

### **CORRESPONDENCE DATA**

**Fax Number:** (309)675-1236

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 3096362223

Email: US Docket Clerk@cat.com

Correspondent Name: CATERPILLAR INC. INTELLECTUAL PROPERTY D

Address Line 1: 100 N.E. ADAMS STREET

Address Line 2: AH 9510

Address Line 4: PEORIA, ILLINOIS 61629-9510

ATTORNEY DOCKET NUMBER:	17-1908
NAME OF SUBMITTER:	HEATHER D. CLARK
SIGNATURE:	/Heather D. Clark/
DATE SIGNED:	07/03/2018

**Total Attachments: 2** 

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PATENT 504988220 REEL: 046259 FRAME: 0271

SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 27495.04033 Client Ref. No. 17-1908-67219

#### **ASSIGNMENT**

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

#### METHOD FOR OPTIMIZING MINING PRODUCTION

for which I/We will or have filed a patent application; and

WHEREAS, Caterpillar Inc., a corporation of Delaware, whose post office address is 510 Lake Cook Road, Suite 100, Deerfield, It. 60015 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, and in and to the patent application for this invention and any and all patents to be issued upon this application throughout the world:

NOW THEREFORE, be it known that for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all patents which may be granted thereon, and all reissues thereof, as well as all rights to claim priority in any country or jurisdiction or patent office on the basis of this application, and all applications for patents which may hereafter be filed for this invention in any country or jurisdiction or patent office and all patents which may be granted on this invention in any country or jurisdiction or patent office, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request any official of any country or jurisdiction or patent office whose duty it is to issue patents on applications as described above, to issue all patents for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment:

AND, IWE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to ald Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in any country or jurisdiction or patent office, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;

AND, I/WE HEREBY authorize the attorneys/representatives that I/WE have empowered to prosecute this

1. FULL NAME OF SOLE OR FIRST ASSIGNOR	ASSIGNOS SEGNATURE	
Kevin E. Gates	Tema & Dall	1 7 Jul 2019
11.3.50 40.5		CITIZENSHIP
Unit 52, 12 Bryce Street, St. Lucía, Queensland, Australia 4067		US

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SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 37495.04033 Client Ref. No. 17-1908-67219

1. WATNESS NAME PUKLIP TONKS	WITNESS SIGNATURE	3/544/2018
ADDRESS 503/30 TANK	Steet	CITIZENSHIP AUSTLALI A .
BRISBANE CITY	, OLD, HOOD AUSLANA	
2 WITNESS NAME	WITHESS SIGNATURE	DATE
Stoph TREES	Sheep	3.07.2018
ADDRESS 30 Lawson place		crizenship Australia
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**RECORDED: 07/03/2018**