# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT4989667

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMEN T

#### **CONVEYING PARTY DATA**

Name	Execution Date
LAWRENCE IRWIN GLASS	08/18/2014
MICHAEL JOHN BICKERDIKE	08/15/2014
MICHAEL FREDERICK SNAPE	05/05/2016
PATRICIA PEREZ DE COGRAM	08/14/2014

# **RECEIVING PARTY DATA**

Name:	NEUREN PHARMACEUTICALS LIMITED	
Street Address:	59 WELLINGTSON ST. FREEMANS BAY	
Internal Address:	LEVEL 1	
City:	AUCKLAND	
State/Country:	NEW ZEALAND	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15869672

# **CORRESPONDENCE DATA**

Fax Number: (925)310-2061

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (925) 310-2060

Email: bborson@borsonlaw.com **Correspondent Name:** D. BENJAMIN BORSON Address Line 1: 1078 CAROL LANE

Address Line 2: SUITE 200

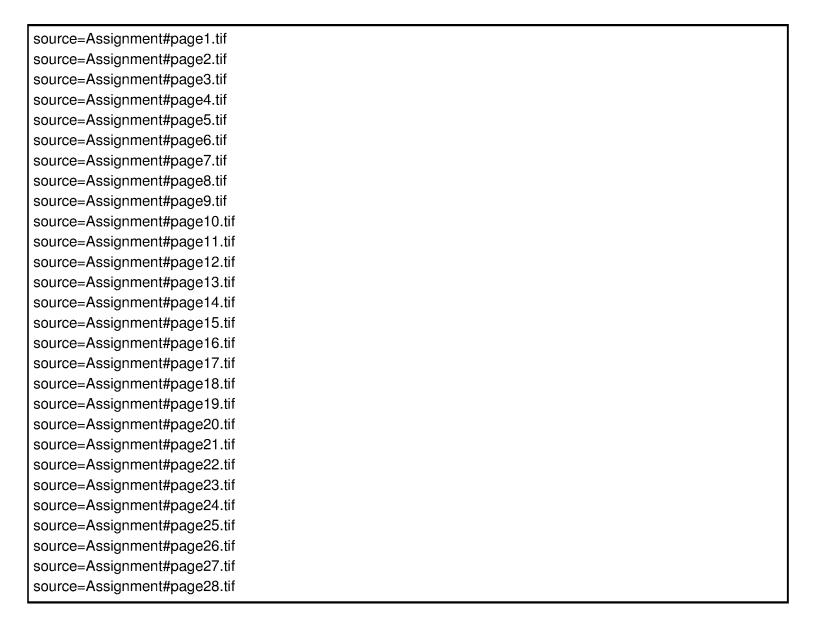
Address Line 4: LAFAYETTE, CALIFORNIA 94549

ATTORNEY DOCKET NUMBER:	NRNZ-01050US16
NAME OF SUBMITTER:	D BENJAMIN BORSON
SIGNATURE:	/D. Benjamin Borson/
DATE SIGNED:	06/04/2018
	This document serves as an Oath/Declaration (37 CFR 1.63).
	·

**Total Attachments: 28** 

**PATENT** REEL: 046290 FRAME: 0033

504942921



#### JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:

(1)	Lawrence Irwin Glass	
a resident of	Takoma Park, MD	; and
(2) _	Michael John Bickerdike	
a resident of	Auckland, New Zealand	; and,
(3)	Michael Frederick Snape	2
a resident of	Surrey, United Kingdom	; and,
(4)	Patricia Perez de Cogram	
a resident of	Santiago Centro, Chile	; and,

have invented certain new and useful improvements and have filed the following application(s) disclosing the invention(s):

(a) Title: Neuroprotective Bicyclic Compounds and Methods for their use in Treating Autism Spectrum Disorders and Neurodevelopment Disorders Application No. PCT/US14/47801

Filing Date: July 23, 2014 Priority Date: July 25, 2013

Docket No. NRNZ-01050WO3

(b) Title: Neuroprotective Bicyclic Compounds and Methods for their use in Treating Autism SpectrumDisorders and Neurodevelopment Disorders

Application No.: 61/958,329 Filing Date: July 25, 2013 Docket No.: NRNZ-01050US11

WHEREAS Neuren Pharmaceuticals Limited (hereinafter termed "Assignee"), a corporation, having a place of business at Level 1, 59 Wellington St., Freemans Bay, Auckland, Country of New Zealand, wishes to acquire the entire right, title and interest in and to said application(s) and the invention(s) disclosed therein, and in and to all embodiments of the invention(s), heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for through the Patent Cooperation Treaty or through any country or granted in any country.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. This Assignment is effective as of July 25, 2013. Said Inventors did as of that date, sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application(s) and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of invention or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any

Attorney Docket No.: NRNZ-01050WO3

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other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
- 5. Said inventors understand and acknowledge that they have the right to seek independent counsel regarding whether or not to enter into this agreement ("make this Assignment"). Said inventors are not under an obligation to seek independent counsel, and if they elect not to do so, they understand and acknowledge that they take responsibility for their decision.
- 6. This Assignment may be executed in counterparts. If said Assignment is so executed, then all such counterparts together shall be considered a single instrument.

(1) 18 August 2014 _	V
Date	Signature of: Lawrence Irwin Glass
(1) Witnessed by: <u>Charla T.</u>	Blass 8/18/2014 Date
(1) Name (Printed): <u>CNAVIA</u>	1.6/455
(1) Occupation: hielmsed (	T. Glass Date Vinical Social Worker
(1) City/Town of Residence: Ta Ko	ma Park, MD.
NOTARY PUBLIC:	
(1) State (or Province) of	
(1) County (or City) of	ss)
(1) Country of	)
(1) On, befo	ore me,
personally appeared	, personally known to me or proved ce, to be the person(s) whose name(s) is/are subscribed
to me on the basis of satisfactory eviden	ged to me that he/she/they executed the same in
his/her/their authorized capacity(ies), an	d that by his/her/their signature(s) on the instrument the hich person(s) acted, executed the instrument.
(1) WITNESS my hand and official seal	· •
Signature of Notary Public	Place Notary Seal Above
(1) My Commission Expires:	
(1) Date: By	•

Attorney Docket No.: NRNZ-01050WO3

3 of 7

Date	Signature of: Michael Jol	ın Bickerdike
(2) Witnessed by:		
(2) Name (Printed):	Da	e
(2) City/Town of Residence:		·
NOTARY PUBLIC:		
(2) State (or Province) of		
(2) County (or City) of	ss. 	
(2) Country of		
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to me on the basis of satisfactory on the within instrument and ackr his/her/their authorized capacity(i	evidence, to be the person(s) whose n nowledged to me that he/she/they exerces), and that by his/her/their signature	ame(s) is/are subscrib cuted the same in c(s) on the instrument
person(s), or the entity upon bena	If of which person(s) acted, executed	me mstrument.
		me mstrament.
		me instrument.
person(s), or the entity upon bena (2) WITNESS my hand and offici		me instrument.
	ial seal.	ry Seal Above
(2) WITNESS my hand and offici	ial seal.	

Attorney Docket No.: NRNZ-01050WO3

Date	Signature of: Michael Frederick Snape
(3) Witnessed by:	
(3) Name (Printed):	Date
(3) Occupation:	
(3) City/Town of Reside	ence:
NOTARY PUBLIC:	
(3) State (or Province) of	
(3) County (or City) of _	SS.
(3) Country of	
(3) On	, before me,, personally known to me or proved
to me on the basis of satis	sfactory evidence, to be the person(s) whose name(s) is/are subscribed and acknowledged to me that he/she/they executed the same in apacity(ies), and that by his/her/their signature(s) on the instrument the
	oon behalf of which person(s) acted, executed the instrument.
person(s), or the entity up	
person(s), or the entity up	
person(s), or the entity up	and official seal.
person(s), or the entity up (3) WITNESS my hand a	ic Place Notary Seal Above

Attorney Docket No.; NRNZ-01050WO3

(4)	
Date	Signature of: Patricia Perez de Cogram
(4) Witnessed by:	
	Date
	);
(4) City/Town of	Residence:
NOTARY PUBLIC	
(4) State (or Provin	ce) of)
200 October 2011 October	SS.
(4) County (or City	) of
(4) Country of	
(4) On	, before me,,
personally appeare	i, personally known to me or proved
	of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed
	ment and acknowledged to me that he/she/they executed the same in ized capacity(ies), and that by his/her/their signature(s) on the instrument the
	tity upon behalf of which person(s) acted, executed the instrument.
(A) WITHING	and and afficial and
(4) WITNESS my	nand and official seal.
Signature of Notary	Public Place Notary Seal Above
(4) My Commissio	a Expires:
(4) Date:	By:

Attorney Docket No.: NRNZ-01050WO3

6 of 7

IN WITNESS WHEREOF, the said Assignee hereby acknowledges receipt of this instrument and hereby consents to the above referenced Assignment to said Assignee:

(Assignee)	Date	Signatu	re of:
		Print Na	ome
(Assignee) (Assignee)	Witnessed by: Name (Printed): Occupation: City/Town of Residence:		Date
•	PUBLIC: State (or Province) of County (or City) of	SS.	
satisfactory instrument authorized	evidence, to be the person	, personally app , personally known to n(s) whose name(s) is/a that he/she/they execute his/her/their signature(s	or me or proved to me on the basis of the subscribed on the within ed the same in his/her/their on the instrument the person(s), or
(Assignee)	WITNESS my hand and o	official seal.	
<del>.</del>	of Notary Public  My Commission Expires:		Place Notary Seal Above
(Assignee)	Date:	By:	

# JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:

(1) a resident of	Lawrence Irwin Glass Takoma Park, MD	; and
(2)	Michael John Bickerdike	
a resident of	Auckland, New Zealand	; and,
(3)	Michael Frederick Snape	
a resident of	Surrey, United Kingdom	; and,
(4)	Patricia Perez de Cogram	
a resident of	Santiago Centro, Chile	; and;
		,

have invented certain new and useful improvements and have filed the following application(s) disclosing the invention(s):

(a) Title: Neuroprotective Bicyclic Compounds and Methods for their use in Treating Autism SpectrumDisorders and Neurodevelopment Disorders Application No. PCT/US14/47801 Filing Date: July 23, 2014 Priority Date: July 25, 2013 Docket No. NRNZ-01050WO3

(b) Title: Neuroprotective Bicyclic Compounds and Methods for their use in Treating Autism SpectrumDisorders and Neurodevelopment Disorders

Application No.: 61/958,329 Filing Date: July 25, 2013 Docket No.: NRNZ-01050US11

WHEREAS Neuren Pharmaceuticals Limited (hereinafter termed "Assignee"), a corporation, having a place of business at Level 1, 59 Wellington St., Freemans Bay, Auckland, Country of New Zealand, wishes to acquire the entire right, title and interest in and to said application(s) and the invention(s) disclosed therein, and in and to all embodiments of the invention(s), heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for through the Patent Cooperation Treaty or through any country or granted in any country.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. This Assignment is effective as of July 25, 2013. Said Inventors did as of that date, sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application(s) and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of invention or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any

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other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; shall be paid for by said Assignee.
- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
- 5. Said inventors understand and acknowledge that they have the right to seek independent counsel regarding whether or not to enter into this agreement ("make this Assignment"). Said inventors are not under an obligation to seek independent counsel, and if they elect not to do so, they understand and acknowledge that they take responsibility for their decision.
- 6. This Assignment may be executed in counterparts. If said Assignment is so executed, then all such counterparts together shall be considered a single instrument.

(1)	Date	Signature	of: Lawrence Irwin Gl	ass
(1) W	itnessed by:			
	ame (Printed):		Date	
	ecupation:			
	ity/Town of Residence:			
NOTA	RY PUBLIC:			
(1) Stat	te (or Province) of	)		
(1) Cou	unty (or City) of	ss. )		
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to me of on the value his/her/	ally appearedon the basis of satisfactory of within instrument and acknowledge of their authorized capacity (is), or the entity upon behalons.	evidence, to be the per owledged to me that hest, and that by	son(s) whose name(s) e/she/they executed the	is/are subscribed e same in he instrument the
(1) WI	TNESS my hand and offici	al seal.		
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	re of Notary Public		Place Notary Seal	Above
(1) My	Commission Expires:			
(1) Date	e:	By:		
				<del></del>

Attorney Docket No.; NRNZ-01050WO3

3 of 7

(2) 15 AUGUST 2014 Date	Signature	of: Michael John Bickerdike
(2) Witnessed by:		15/8/14.
(2) Name (Printed): _ Classe	(See ess	Date
(2) Occupation:	in Pane	
(2) City/Town of Residence:	Auckan	
NOTARY PUBLIC:		
(2) State (or Province) of	)	
(2) County (or City) of	SS	
(2) Country of	)	
(2) Onpersonally appeared	, before me,	
to me on the basis of satisfactory e on the within instrument and acknown	vidence, to be the per owledged to me that he s), and that by his/her f of which person(s) a	rson(s) whose name(s) is/are subscribed ne/she/they executed the same in r/their signature(s) on the instrument the
Signature of Notary Public	and the second s	Place Notary Seal Above
(2) My Commission Expires:		
(2) Date:	_ By:	

Attorney Docket No.: NRNZ-01050WO3

4 of 7

(3)	Signat	ure of: Michael Frederick Snape
	Digitat	are or. Whenaer Frederick Shape
(3) Witnessed by:		
(3) Name (Printed): _		Date
	dence:	
NOTARY PUBLIC:	,	
(3) State (or Province)	of	)
	SS)	
(3) Country of	)	
(3) On	, before me,	
to me on the basis of sat on the within instrument his/her/their authorized	isfactory evidence, to be the tand acknowledged to me the capacity(ies), and that by his	, personally known to me or proved person(s) whose name(s) is/are subscribed nat he/she/they executed the same in s/her/their signature(s) on the instrument the (s) acted, executed the instrument.
(3) WITNESS my hand	and official seal.	
•		
Signature of Notary Pub	lic	Place Notary Seal Above
(3) My Commission Exp	oires:	
(3) Date:		

Attorney Docket No.: NRNZ-01050WO3

5 of 7

(4)	Date	Signature of: Patricia Perez de Cogram
(4)	Witnessed by:	
(4)	Name (Printed):	Date
(4)	Occupation:	
(4)	City/Town of Residence:	
NO	TARY PUBLIC:	
(4)	State (or Province) of	
(4)	County (or City) of	ss.
(4)	Country of	
on this/ pers	the within instrument and ac her/their authorized capacity	
Sign	nature of Notary Public	Place Notary Seal Above
(4)	My Commission Expires:	
(4)	Date:	Ву:

Attorney Docket No.: NRNZ-01050WO3

(Assignce) Signature of: Print Name (Assignee) Witnessed by:\_\_\_\_\_ Date (Assignee) Name (Printed): (Assignee) Occupation: (Assignee) City/Town of Residence: NOTARY PUBLIC: (Assignee) State (or Province) of \_\_\_\_\_ (Assignee) County (or City) of \_\_\_\_\_\_ (Assignee) On \_\_\_\_\_\_, before me, \_\_\_\_\_, personally appeared , personally known to me or proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed on the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which person(s) acted, executed the instrument. (Assignee) WITNESS my hand and official seal. Place Notary Seal Above Signature of Notary Public (Assignee) My Commission Expires: (Assignee) Date: \_\_\_\_\_\_ By:\_\_\_\_\_

IN WITNESS WHEREOF, the said Assignee hereby acknowledges receipt of this

instrument and hereby consents to the above referenced Assignment to said Assignee:

Attorney Decket No.: NRNZ-01050WO3

## JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:

(1)	Lawrence Irwin Glass	
a resident of	Takoma Park, MD	; and
. (2)	Michael John Bickerdike	 
a resident of	Auckland, New Zealand	 ; and,
(3)	Michael Frederick Snape	
a resident of	Surrey, United Kingdom	; and,
(4)	Patricia Perez de Cogram	٠
( ' /	A WALLEY TOTAL OF CONTINUE	

have invented certain new and useful improvements and have filed the following application(s) disclosing the invention(s):

(a) Title: Neuroprotective Bicyclic Compounds and Methods for their use in Treating Autism SpectrumDisorders and Neurodevelopment Disorders Application No. PCT/US14/47801

Filing Date: July 23, 2014 Priority Date: July 25, 2013 Docket No. NRNZ-01050WO3

(b) Title: Neuroprotective Bicyclic Compounds and Methods for their use in Treating Autism SpectrumDisorders and Neurodevelopment Disorders

Application No.: 61/958,329 Filing Date: July 25, 2013 Docket No.: NRNZ-01050US11

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1 of 7

Attorney Docket No.: NRNZ-01050WO3

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other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.
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- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
- 5. Said inventors understand and acknowledge that they have the right to seek independent counsel regarding whether or not to enter into this agreement ("make this Assignment"). Said inventors are not under an obligation to seek independent counsel, and if they elect not to do so, they understand and acknowledge that they take responsibility for their decision.
- 6. This Assignment may be executed in counterparts. If said Assignment is so executed, then all such counterparts together shall be considered a single instrument.

Attorney Docket No.: NRNZ-01050WO3

Date	Sign	nature of: Lawrence	e Irwin Glas	S
(1) Witnessed by:				
(1) Name (Printed):		Date		<b></b>
(1) Occupation:				<del></del>
(1) City/Town of Residen	ce:			. I
NOTARY PUBLIC:		,		
(1) State (or Province) of _				•
(1) County (or City) of	ss)			
(1) Country of	)	•		
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Signature of Notary Public (1) My Commission Expire	es:	Place No	otary Seal At	oove
(1) Date:				

Attorney Docket No.: NRNZ-01050WO3

3 of 7

Date	Signati	ure of: Michael John Bicke	erdike
(2) Witnessed by:			
(2) Name (Printed):		Date	
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(2) City/Town of Residence:	· · · · · · · · · · · · · · · · · · ·		
NOTARY PUBLIC:	÷		
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(2) WITNESS my hand and officia	al seal.		
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			***
Signature of Notary Public		Place Notary Seal.	Above
(2) My Commission Expires:			
(2) Date:	By:		
•			

Attorney Docket No.: NRNZ-01050WO3

4 of 7

(3) 5th May 2016		nage	
Date	Signature of	f: Michael Frederick Sna	pe
(3) Witnessed by:	Jan Rosshula	5th May 2016	·
	Cordelia Van Rensburg	Date	
(3) Occupation:	HR administrator	·	· · · · · · · · · · · · · · · · · · ·
(3) City/Town of Residence	Bramley, UK		
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NOTARY PUBLIC:			
(3) State (or Province) of	SS		
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(3) WITNESS my hand and o	official seal.		
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Signature of Notary Public		Place Notary Seal Abo	ove
(3) My Commission Expires:		· · · · · · · · · · · · · · · · · · ·	

Attorney Docket No.: NRNZ-01050WO3

5 of 7

Date	Signature of: Patricia Perez de Cogram
(4) Witnessed by:	Date
(4) Name (Printed):	Date
(4) Occupation:	
(4) City/Town of Residence:	<del></del>
NOTARY PUBLIC:	
(4) State (or Province) of	
(4) County (or City) of	ss)
(4) Country of	
(4) On	, before me,,
on the within instrument and a his/her/their authorized capaci	, before me,, personally known to me or prove ory evidence, to be the person(s) whose name(s) is/are subscribed acknowledged to me that he/she/they executed the same in ity(ies), and that by his/her/their signature(s) on the instrument the
person(s), or the entity upon b	ehalf of which person(s) acted, executed the instrument.
person(s), or the entity upon b  (4) WITNESS my hand and of	
(4) WITNESS my hand and of	fficial seal.
(4) WITNESS my hand and of Signature of Notary Public	fficial seal.

Attorney Docket No.: NRNZ-01050WO3

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IN WITNESS WHEREOF, the said Assignee hereby acknowledges receipt of this instrument and hereby consents to the above referenced Assignment to said Assignee: (Assignee) Signature of: Print Name (Assignee) Name (Printed): (Assignee) Occupation: (Assignee) City/Town of Residence: NOTARY PUBLIC: (Assignee) State (or Province) of (Assignee) County (or City) of \_\_\_\_\_\_) (Assignee) On \_\_\_\_\_\_, before me, \_\_\_\_\_, personally appeared , personally known to me or proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed on the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which person(s) acted, executed the instrument. (Assignee) WITNESS my hand and official seal. Signature of Notary Public Place Notary Seal Above

Attorney Docket No.: NRNZ-01050WO3

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(Assignee) My Commission Expires:

(Assignee) Date: By:

## JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:

(1)	Lawrence Irwin Glass	د
a resident of	Takoma Park, MD	; and
(2)	Michael John Bickerdike	•
a resident of	Auckland, New Zealand	; and,
(3)	Michael Frederick Snape	
a resident of	Surrey, United Kingdom	; and,
(4)	Patricia Perez de Cogram	
a resident of	Santiago Centro, Chile	; and,

have invented certain new and useful improvements and have filed the following application(s) disclosing the invention(s):

(a) Title: Neuroprotective Bicyclic Compounds and Methods for their use in Treating Autism SpectrumDisorders and Neurodevelopment Disorders Application No. PCT/US14/47801 Filing Date: July 23, 2014 Priority Date: July 25, 2013 Docket No. NRNZ-01050WO3

(b) Title: Neuroprotective Bicyclic Compounds and Methods for their use in Treating Autism SpectrumDisorders and Neurodevelopment Disorders

Application No.: 61/958,329 Filing Date: July 25, 2013 Docket No.: NRNZ-01050US11

WHEREAS Neuren Pharmaceuticals Limited (hereinafter termed "Assignee"), a corporation, having a place of business at Level 1, 59 Wellington St., Freemans Bay, Auckland, Country of New Zealand, wishes to acquire the entire right, title and interest in and to said application(s) and the invention(s) disclosed therein, and in and to all embodiments of the invention(s), heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for through the Patent Cooperation Treaty or through any country or granted in any country.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. This Assignment is effective as of July 25, 2013. Said Inventors did as of that date, sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application(s) and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of invention or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any

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other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
- 5. Said inventors understand and acknowledge that they have the right to seek independent counsel regarding whether or not to enter into this agreement ("make this Assignment"). Said inventors are not under an obligation to seek independent counsel, and if they elect not to do so, they understand and acknowledge that they take responsibility for their decision.
- 6. This Assignment may be executed in counterparts. If said Assignment is so executed, then all such counterparts together shall be considered a single instrument.

Attorney Docket No.: NRNZ-01050WO3

(1)	Date	Signature of: Lawrence Irwin Glass
	Witnessed by:	Date
(1)	Name (Printed):	
(1)	Occupation:	
(1)	City/Town of Residence:	
NC	OTARY PUBLIC:	
(1)	State (or Province) of	
(1)	County (or City) of	ss.
(1)	Country of	
to on his	rsonally appeared me on the basis of satisfactory the within instrument and ack s/her/their authorized capacity( rson(s), or the entity upon beha	, before me,, personally known to me or proved evidence, to be the person(s) whose name(s) is/are subscribed lowledged to me that he/she/they executed the same in es), and that by his/her/their signature(s) on the instrument the lf of which person(s) acted, executed the instrument.
(1)	WITNESS my hand and offic	ai seai.
<u> </u>	gnature of Notary Public	Place Notary Seel Above
) i	gnature of motary ruone	Place Notary Seal Above
(1)	My Commission Expires:	
(1)	) Date:	Ву:

Attorney Docket No.: NRNZ-01050WO3

(2)	Date	Signat	ture of: Michael John Bickerdike
(2)	Witnessed by:		Date
(2)	Name (Printed):		Late
(2)	Occupation:	7. VI. A. B. L.	· · · · · · · · · · · · · · · · · · ·
(2)	City/Town of Residence:		
NO	TARY PUBLIC:	:	
(2)	State (or Province) of		
(2)	County (or City) of	)	
(2)	Country of	)	
on this/pers	he within instrument and ackno her/their authorized capacity(ies son(s), or the entity upon behalf WITNESS my hand and official	wledged to me t s), and that by hi of which persor	, personally known to me or proved e person(s) whose name(s) is/are subscribed that he/she/they executed the same in is/her/their signature(s) on the instrument the n(s) acted, executed the instrument.
Sig	nature of Notary Public		Place Notary Seal Above
(2)	My Commission Expires:		·
(2)	Date:	Ву:	

Attorney Docket No.: NRNZ-01050WO3

(3)	Date	Signature of: Michael Frederick Snape
(3)	Witnessed by:	Date
(3)	Name (Printed):	Date
(3)		
(3)	City/Town of Residence:	
NO	TARY PUBLIC:	
(3)	State (or Province) of	
	County (or City) of	SS.
(3)	Country of	
person to non this/pers	sonally appeared	, before me,, personally known to me or proved evidence, to be the person(s) whose name(s) is/are subscribed lowledged to me that he/she/they executed the same in les), and that by his/her/their signature(s) on the instrument the lf of which person(s) acted, executed the instrument.  al seal.
Sig	nature of Notary Public	Place Notary Seal Above
(3)	My Commission Expires:	
(3)	Date:	By:

Attorney Docket No.: NRNZ-01050WO3

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(4) 21 AUGUST 2014
(4) 21 Augus T 2014  Date  Signature of: Patricia Perez de Cogram
(4) Witnessed by: Mall North August 21/2014
(4) Name (Printed): JUANA COSTAN-EDA
(4) Occupation: Project Manager — Fraunhofer Chile Research
(4) City/Town of Residence: Santiago de Chile
•
NOTARY PUBLIC:
(4) State (or Province) of
(4) County (or City) of
(4) Country of
(4) On, before me,,
personally appeared
(4) WITNESS my hand and official seal.
Signature of Notary Public Place Notary Seal Above
(4) My Commission Expires:

Attorney Docket No.: NRNZ-01050WO3

IN WITNESS WHEREOF, the said Assignee hereby acknowledges receipt of this instrument and hereby consents to the above referenced Assignment to said Assignee:

(Assignee)	Date	Signature of:
		Print Name
(Assignee)	Witnessed by:	Date
(Assignee)	Name (Printed):	Date
		ence:
NOTARY F	PUBLIC:	
(Assignee)	State (or Province) of	f
(Assignee)	County (or City) of	ss. )
satisfactory instrument a authorized o	evidence, to be the pe and acknowledged to capacity(ies), and that	, before me,, personally appeared, personally known to me or proved to me on the baserson(s) whose name(s) is/are subscribed on the within me that he/she/they executed the same in his/her/their by his/her/their signature(s) on the instrument the person(serson(s) acted, executed the instrument.
(Assignee)	WITNESS my hand a	and official seal.
Signature of	f Notary Public	Place Notary Seal Above
(Assignee)	My Commission Expi	ires:
( 5 )		

Attorney Docket No.: NRNZ-01050WO3