

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5041186

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SOLENO THERAPEUTICS, INC.	12/04/2017
RECEIVING PARTY DATA	
Name:	CAPNIA, INC.
Street Address:	1235 RADIO ROAD
Internal Address:	SUITE 110
City:	REDWOOD CITY
State/Country:	CALIFORNIA
Postal Code:	94065
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14150625
CORRESPONDENCE DATA	
Fax Number:	(202)842-7899
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(650) 843-5962
Email:	zIPPatentDocketingMailboxUS@cooley.com, pellison@cooley.com
Correspondent Name:	LISA A. AMII, COOLEY LLP
Address Line 1:	ATTN: IP DOCKETING DEPARTMENT
Address Line 2:	1299 PENNSYLVANIA AVENUE NW, SUITE 700
Address Line 4:	WASHINGTON, D.C. 20004
ATTORNEY DOCKET NUMBER:	CAPN-017/01US 328512-2168
NAME OF SUBMITTER:	LISA A. AMII
SIGNATURE:	/Lisa A. Amii/
DATE SIGNED:	07/09/2018
Total Attachments: 15	
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ASSIGNMENT OF PATENT RIGHTS
(Company to Company)

Soleno Therapeutics, Inc., a corporation of Delaware having its principal place of business at 1235 Radio Road, Suite 110, Redwood City, California 94065 (herein referred to as "Assignor") owns the entire right, title and interest in any Letters Patent(s) ("said patent(s)") and any Patent application(s) ("said application(s)") set forth in *Schedule A*, which is attached hereto, as well as any invention(s) ("said invention(s)") disclosed in said application(s) and said patent(s).

WHEREAS, Capnia, Inc., a corporation of Delaware having its principal place of business at 1235 Radio Road, Suite 110, Redwood City, California its successors, legal representatives and assigns (the "Assignee"), is desirous of acquiring the entire right, title, and interest in and to said invention(s), said application(s), and said patent(s) listed in *Schedule A*, the right to file applications on said invention(s), the entire right, title and interest in and to any application(s), the right to recover any and all past, present, and future damages, including provisional or other royalties, for any and all past, present, and future infringements of said application(s) and said patent(s), and the entire right, title, and interest in and to any and all Letters Patent or Patents, United States or foreign, to be obtained for said invention(s) and said application(s);

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to said invention(s), and application(s), and said patent(s) listed in *Schedule A*, the right to file applications on said invention(s), the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said application(s), including divisions, continuations, and continuations-in-part of said application(s), the right to recover any and all past, present, and future damages, including provisional or other royalties, for any and all past, present, and future infringements of said application(s) and said patent(s), the entire right, title and interest in and to any and all Letters Patent or Patents, United States or foreign, to be obtained for said invention(s) and said application(s), the entire right, title and interest in and to any and all reissues and extensions of said patent(s), and all rights under the Hague Convention, the Paris Convention for the Protection of Industrial Property, and under the Patent Cooperation Treaty, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made;

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignor is the sole and lawful owner of the entire right, title and interest in and to said invention(s), said application(s), and said

patent(s), and that the same are unencumbered, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth;

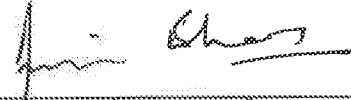
AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns that the Assignor will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said invention(s), said application(s), said patent(s), any application claiming priority to said application(s), any reissue or extension of said patent(s), and any United States or foreign Letters Patent or Patents for said invention(s) or said application(s), including interference and derivation proceedings, and any post-grant proceedings (e.g., opposition proceedings, post-grant reviews, *Inter partes* reviews, supplemental examinations, etc.), is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent or Patents for said invention(s), without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignor hereby requests the Commissioner of Patents of the United States, and other patent officies, to issue any and all aforementioned patent(s) to the Assignee, as the Assignee of said invention(s) and the Letters Patent to be issued thereon for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

In witness whereby, executed by the undersigned on the date(s) opposite the undersigned name(s).

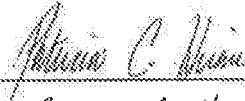
For and on behalf of ASSIGNOR:

December 4, 2017
Date


Name: ANISH ROHATWALA
Title: CEO
On behalf of Soleno Therapeutics, Inc.


Witness:

4 DECEMBER 2017
Date


PATRICIA C. HIRANO

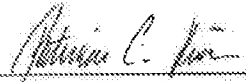
For and on behalf of ASSIGNEE:

Date: December 4, 2017 By:


Name: ANISH ROHATWALA
Title: CEO
On behalf of Capnia, Inc.

Witness:

4 DECEMBER 2017
Date


PATRICIA C. HIRANO

SCHEDULE A

Client-Matter	Cooley Docket No.	Title/Mark	Application No.	Application Date	Registration No.	Registration Date	Country	Category Description
328512-2033	CAPN-00200US	BREATH-END-TIDAL GAS MONITOR	10561581	6/19/2003	8,021,503	8/20/2011	United States of America	PCT National Phase
328512-2034	CAPN-00201US	BREATH-END-TIDAL GAS MONITOR	13153166	6/5/2011	9,095,276	8/4/2015	United States of America	Divisional
328512-2035	CAPN-00202US	BREATH-END-TIDAL GAS MONITOR	14912822	7/29/2015			United States of America	Divisional
328512-2118	CAPN-01200US	COLLECTION AND ANALYSIS OF A VOLUME OF EXHALED GAS USING BREATH RATE COMPENSATION	81578811	12/21/2011			United States of America	Provisional
328512-2119	CAPN-01201AU	COLLECTION AND ANALYSIS OF A VOLUME OF EXHALED GAS WITH COMPENSATION FOR THE FREQUENCY OF A BREATHING PARAMETER	2012359370	10/20/2012	2012366870	8/31/2017	Australia	PCT National Phase
328512-2120	CAPN-01201ER	CORRECTION OF COLLECTION AND ANALYSIS OF EXHALED GAS WITH BREATHING FREQUENCY COMPENSATION	1120140151359	10/20/2012			Brazil	PCT National Phase
328512-2121	CAPN-01201CA	ANALYSIS OF A VOLUME OF EXHALED GAS WITH COMPENSATION FOR THE FREQUENCY OF A BREATHING PARAMETER	2960247	10/20/2012			Canada	PCT National Phase
328512-2122	CAPN-01201CN	DATABASE FOR CORRECTION OF COLLECTION AND ANALYSIS OF EXHALED GAS WITH BREATHING FREQUENCY COMPENSATION	2012800700206	12/20/2012			China	PCT National Phase

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Client-Matter	Cooley Docket No.	Title/Mark	Application No.	Application Date	Registration No.	Registration Date	Country	Category Description
328512-2124	CAPN-01201EP	DATABASE FOR CORRECTION OF COLLECTION AND ANALYSIS OF EXHALED GAS WITH BREATHING PARAMETER FREQUENCY COMPENSATION	12860711.8	12/20/2012			European Patent Office	PCT National Phase
328512-2125	CAPN-01201IL	DATABASE FOR CORRECTION OF COLLECTION AND ANALYSIS OF EXHALED GAS WITH BREATHING PARAMETER FREQUENCY COMPENSATION	293758	12/20/2012			Israel	PCT National Phase
328512-2126	CAPN-01201IN	ANALYSIS OF A VOLUME OF EXHALED GAS USING BREATH RATE COMPENSATION	80810ELNFP2014	12/20/2012			India	PCT National Phase
328512-2127	CAPN-01201JP	COLLECTION AND ANALYSIS OF A VOLUME OF EXHALED GAS WITH COMPENSATION FOR THE FREQUENCY OF A BREATHING PARAMETER	2014-548619	12/20/2012			Japan	PCT National Phase
328512-2128	CAPN-01201KR	COLLECTION AND ANALYSIS OF A VOLUME OF EXHALED GAS USING BREATH RATE COMPENSATION	10-2014-102647C	12/22/2012			Republic of Korea	PCT National Phase
328512-2129	CAPN-01201MX	COLLECTION AND ANALYSIS OF A VOLUME OF EXHALED GAS USING BREATH RATE COMPENSATION	MX/3/2014/007542	12/20/2012			Mexico	PCT National Phase
328512-2131	CAPN-01201RU	COLLECTION AND ANALYSIS OF A VOLUME OF EXHALED GAS USING BREATH RATE COMPENSATION	2014129561	12/20/2012			Russian Federation	PCT National Phase
328512-2133	CAPN-01201US	COLLECTION AND ANALYSIS OF A VOLUME OF EXHALED GAS WITH COMPENSATION FOR THE FREQUENCY OF A BREATHING PARAMETER	12722369	12/20/2012			United States of America	Non-Provisional from Provisional

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Client-Matter	Cooley Docket No.	Title/Mark	Application No.	Application Date	Registration No.	Registration Date	Country	Category Description
326512-2134	CAPN-01201VN	COLLECTION AND ANALYSIS OF A VOLUME OF EXHALED GAS WITH COMPENSATION FOR THE FREQUENCY OF A BREATHING PARAMETER	1201402405	12/23/2012			Vietnam	PCT National Phase
326512-2135	CAPN-01201WO	COLLECTION AND ANALYSIS OF A VOLUME OF EXHALED GAS USING BREATH RATE COMPENSATION	PCT/US2012/071055	12/20/2012			Patent Cooperation Treaty	National
326512-2390	CAPN-01202AU	COLLECTION AND ANALYSIS OF A VOLUME OF EXHALED GAS WITH COMPENSATION FOR THE FREQUENCY OF A BREATHING PARAMETER	2017216570	12/20/2012			Australia	Divisional
326512-2399	CAPN-01202CN	DATABASE FOR CORRECTION OF COLLECTION AND ANALYSIS OF EXHALED GAS WITH BREATHING PARAMETER FREQUENCY COMPENSATION					China	Divisional
326512-2136	CAPN-01202US	COLLECTION AND ANALYSIS OF A VOLUME OF EXHALED GAS WITH COMPENSATION FOR THE FREQUENCY OF A BREATHING PARAMETER					United States of America	Continuation
326512-2387	CAPN-01202CN	DATABASE FOR CORRECTION OF COLLECTION AND ANALYSIS OF EXHALED GAS WITH BREATHING PARAMETER FREQUENCY COMPENSATION					China	Divisional
326512-2398	CAPN-01204CN	DATABASE FOR CORRECTION OF COLLECTION AND ANALYSIS OF EXHALED GAS WITH BREATHING PARAMETER FREQUENCY COMPENSATION					China	Divisional

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Client Matter	Cooley Docket No.	Title/Mark	Application No.	Application Date	Registration No.	Registration Date	Country	Category Description
328512-2154	CAPN-017591US	SELECTION AND ANALYSIS OF PHYSIOLOGICALLY VALID BREATHS FOR BREATH GAS ANALYSIS	61750365	1/8/2014			United States of America	Provisional
328512-2155	CAPN-017591AU	BREATH SELECTION FOR ANALYSIS	2014205494	1/8/2014			Australia	PCT National Phase
328512-2156	CAPN-017591BR	BREATH SELECTION FOR ANALYSIS	1120150164340	1/8/2014			Brazil	PCT National Phase
328512-2157	CAPN-017591CA	BREATH SELECTION FOR ANALYSIS	2897553	1/8/2014			Canada	PCT National Phase
328512-2158	CAPN-017591CN	BREATH SELECTION FOR ANALYSIS	2014660082923	1/8/2014			China	PCT National Phase
328512-2159	CAPN-017591EP	BREATH SELECTION FOR ANALYSIS	14737650.9	1/8/2014			European Patent Office	PCT National Phase
328512-2160	CAPN-017591HK	BREATH SELECTION FOR ANALYSIS	15105290.8	1/8/2014			Hong Kong	Registration of BRANCH
328512-2161	CAPN-017591IL	METHODS FOR ANALYZING INHALED GAS	2399CC	1/8/2014			Israel	PCT National Phase
328512-2162	CAPN-017591IN	BREATH SELECTION FOR ANALYSIS	68749DELINP2015	1/8/2014			India	PCT National Phase
328512-2163	CAPN-017591JP	BREATH SELECTION FOR ANALYSIS	2014-531865	1/8/2014			Japan	PCT National Phase
328512-2164	CAPN-017591KR	BREATH SELECTION FOR ANALYSIS	1000157001300	1/8/2014			Republic of Korea	PCT National Phase
328512-2165	CAPN-017591MX	BREATH SELECTION FOR ANALYSIS	80042015008838	1/8/2014			Mexico	PCT National Phase
328512-2166	CAPN-017591RU	BREATH SELECTION FOR ANALYSIS	2015133209	1/8/2014			Russian Federation	PCT National Phase
328512-2168	CAPN-017591US	AIA Transition BREATH SELECTION FOR ANALYSIS	14150625	1/8/2014			United States of America	Non-Provisional from Provisional
328512-2169	CAPN-017591VN	BREATH SELECTION FOR ANALYSIS	1201502810	1/8/2014			Vietnam	PCT National Phase
328512-2170	CAPN-017591WO	BREATH SELECTION FOR ANALYSIS	PCT/US2014/010746	1/8/2014			Patent Cooperation Treaty	National
328512-2171	CAPN-018060US	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	91763866	3/15/2013			United States of America	Provisional
328512-2172	CAPN-018061US	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	91794254	3/15/2013			United States of America	Provisional

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Client Matter	Cooley Docket No.	Title/Mark	Application No.	Application Date	Registration No.	Registration Date	Country	Category Description
328512-2173	CAPN-01802AU	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	2014216287	2/12/2014			Australia	PCT National Phase
328512-2174	CAPN-01802BR	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	1120150183269	2/12/2014			Brazil	PCT National Phase
328512-2175	CAPN-01802CA	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	28006626	01/02/2014			Canada	PCT National Phase
328512-2176	CAPN-01802CN	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	201380018191.2	2/12/2014			China	PCT National Phase
328512-2177	CAPN-01802EP	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	14751436.8	2/12/2014			European Patent Office	PCT National Phase
328512-2178	CAPN-01802HK	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	16106308.8	01/02/2014			Hong Kong	Re-registration of GB/CN
328512-2179	CAPN-01802IL	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	240607	2/12/2014			Israel	PCT National Phase
328512-2180	CAPN-01802IN	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	70090DELNP/2015	2/12/2014			India	PCT National Phase
328512-2181	CAPN-01802JP	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	2015-557227	01/02/2014			Japan	PCT National Phase
328512-2182	CAPN-01802KR	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	1020157025283	2/12/2014			Republic of Korea	PCT National Phase
328512-2183	CAPN-01802MX	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	MX/09/2015016391	2/12/2014			Mexico	PCT National Phase
328512-2184	CAPN-01802RU	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	2015139004	2/12/2014			Russian Federation	PCT National Phase

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Client-Matter	Cooley Docket No.	Title/Mark	Application No.	Application Date	Registration No.	Registration Date	Country	Category Description
328512-2188	CAPN-0180215	AIA Transfer SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	141179.391	2/12/2014			United States of America	Non-Provisional from Provisional
328512-2187	CAPN-0180220N	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	1201603275	2/12/2014			Vietnam	PCT National Phase
328512-2189	CAPN-0180220O	SAMPLING AND STORAGE REGISTRY DEVICE FOR BREATH GAS ANALYSIS	PCT/US2014/016105	2/12/2014			Patent Cooperation Treaty	National
328512-2189	CAPN-0180220J	BREATH ANALYSIS SYSTEM WITH ANTI-MIXING FEATURES	61872.270	6/30/2013			United States of America	Provisional
328512-2190	CAPN-01801AU	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	2014312040	3/28/2014			Australia	PCT National Phase
328512-2191	CAPN-01801BR	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	1120180040661	8/19/2014			Brazil	PCT National Phase
328512-2192	CAPN-01801CA	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	2922847	6/29/2014			Canada	PCT National Phase
328512-2193	CAPN-01801CN	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	201460054258.8	9/28/2014			China	PCT National Phase
328512-2194	CAPN-01801EP	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	14340780.4	8/29/2014			European Patent Office	PCT National Phase
328512-2195	CAPN-01801IL	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	204303	6/29/2014			Israel	PCT National Phase
328512-2196	CAPN-01801IN	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	291617008203	3/28/2014			India	PCT National Phase
328512-2197	CAPN-01801JP	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	2016-637819	8/29/2014			Japan	PCT National Phase

SCHEDULE A

Class- Maker	Cooley Docket No.	Title/Mark	Application No.	Application Date	Registration No.	Registration Date	Country	Category Description
328512-2186	CAPN- 01901KR	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	1020167008139	8/29/2014			Republic of Korea	PCT National Phase
328512-2188	CAPN- 01901MX	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	80012016032623	8/29/2014			Mexico	PCT National Phase
328512-2200	CAPN- 01901RU	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	2016111849	8/29/2014			Russian Federation	PCT National Phase
328512-2201	CAPN- 01901SG	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	112016014380	8/29/2014			Singapore	Normal via PCT National Phase
328512-2202	CAPN- 01901US	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	144671,019	8/29/2014			United States of America	Non-Provisional from Provisional
328512-2203	CAPN- 01901WO	COLUMNAR FLOW GAS SAMPLING AND MEASUREMENT SYSTEM	PCT/US2014/033567	8/28/2014			Patent Cooperation Treaty	National
328512-2204	CAPN- 02000US	UNIVERSAL BREATH ANALYSIS SAMPLING DEVICE	611872,314	8/30/2013			United States of America	Provisional
328512-2205	CAPN- 02001AU	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	2016312042	8/29/2014			Australia	PCT National Phase
328512-2206	CAPN- 02001BR	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	BR1120140040961	8/29/2014			Brazil	PCT National Phase
328512-2207	CAPN- 02001CA	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	2922349	8/29/2014			Canada	PCT National Phase
328512-2208	CAPN- 02001CN	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	201480054540.9	8/29/2014			China	PCT National Phase
328512-2209	CAPN- 02001EP	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	14636956.8	8/29/2014			European Patent Office	PCT National Phase
328512-2210	CAPN-02001IL	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	244304	8/28/2014			Israel	PCT National Phase
328512-2211	CAPN- 02001IN	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	201617008369	8/29/2014			India	PCT National Phase

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Client- Number	Cooley Docket No.	Trademark	Application No.	Application Date	Registration No.	Registration Date	Country	Category Description
328512-2212	CAPN- 02201JP	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	2016-537920	8/29/2014			Japan	PCT National Phase
328512-2213	CAPN- 02201KR	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	1020167009190	8/29/2014			Republic of Korea	PCT National Phase
328512-2214	CAPN- 02201MX	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	MX/2016/002828	8/29/2014			Mexico	PCT National Phase
328512-2215	CAPN- 02201RU	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	2016111661	8/29/2014			Russian Federation	PCT National Phase
328512-2216	CAPN- 02201SG	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	112016011400	8/29/2014			Singapore	Normal via PCT National Phase
328512-2217	CAPN- 02201US	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	14479,878	8/29/2014			United States of America	Non-Provisional from Provisional
328512-2218	CAPN- 02201WO	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	PCT/US2014/053559	8/29/2014			Patent Cooperation Treaty	National
328512-2268	CAPN- 02202SG	UNIVERSAL BREATH SAMPLING AND ANALYSIS DEVICE	102017032410	8/29/2014			Singapore	Divisional
328512-2220	CAPN- 02206US	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	611872,415	8/29/2013			United States of America	Provisional
328512-2221	CAPN- 02201AU	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	2014813644	8/29/2014			Australia	PCT National Phase
328512-2222	CAPN- 02201BR	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	BR 1120160040946	8/29/2014			Brazil	PCT National Phase
328512-2223	CAPN- 02201CA	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	2922356	8/29/2014			Canada	PCT National Phase
328512-2224	CAPN- 02201CN	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	2014800548866	8/29/2014			China	PCT National Phase
328512-2225	CAPN- 02201EP	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	14839687.1	8/29/2014			European Patent Office	PCT National Phase

SCHEDULE A

Client-Matter	Casey Docket No.	Title/Mark	Application No.	Application Date	Registration No.	Registration Date	Country	Category Description
328512-2228	CAPN-022014L	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	244327	8/29/2014			Israel	PCT National Phase
328512-2227	CAPN-022014H	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	2016117009069	8/29/2014			India	PCT National Phase
328512-2228	CAPN-022014P	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	2016-537922	8/29/2014			Japan	PCT National Phase
328512-2229	CAPN-022014R	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	1020167008191	8/29/2014			Republic of Korea	PCT National Phase
328512-2230	CAPN-022014M	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	MX/2016/002627	8/29/2014			Mexico	PCT National Phase
328512-2231	CAPN-022014J	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	2016111654	8/29/2014			Russian Federation	PCT National Phase
328512-2232	CAPN-022014G	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	112016014423	8/29/2014			Singapore	Normal via PCT National Phase
328512-2233	CAPN-022014S	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	14473389	8/29/2014			United States of America	Non-Professional from Professional
328512-2234	CAPN-022014W	NEONATAL CARBON DIOXIDE MEASUREMENT SYSTEM	PCT/US2014/053572	8/29/2014			Patent Cooperation Treaty	National
328512-2235	CAPN-024001S	SEGMENTATION AND ANALYSIS OF EXHALED BREATH FOR AIRWAY DISORDERS ASSESSMENT SELECTION	619989290	3/20/2014			United States of America	Professional
328512-2248	CAPN-024014U	SEGMENTATION AND ANALYSIS OF EXHALED BREATH FOR AIRWAY DISORDERS ASSESSMENT	2015231055	3/20/2015			Australia	PCT National Phase

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Client-Matter	Casey Docket No.	Title/Mark	Application No.	Application Date	Registration No.	Registration Date	Country	Category Description
328512-2249	CAPN-024/01CA	SELECTION, SEGMENTATION, AND ANALYSIS OF EXHALED BREATH FOR AIRWAY DISORDERS ASSESSMENT	2943243	3/20/2015			Canada	PCT National Phase
328512-2250	CAPN-024/01CN	SELECTION, SEGMENTATION, AND ANALYSIS OF EXHALED BREATH FOR AIRWAY DISORDERS ASSESSMENT	201580023183.1	3/20/2015			China	PCT National Phase
328512-2251	CAPN-024/01EP	SELECTION, SEGMENTATION, AND ANALYSIS OF EXHALED BREATH FOR AIRWAY DISORDERS ASSESSMENT	15764503.7	3/20/2015			European Patent Office	PCT National Phase
328512-2252	CAPN-024/01IN	SELECTION, SEGMENTATION, AND ANALYSIS OF EXHALED BREATH FOR AIRWAY DISORDERS ASSESSMENT	201617034345	3/20/2015			India	PCT National Phase
328512-2253	CAPN-024/01JP	SELECTION, SEGMENTATION, AND ANALYSIS OF EXHALED BREATH FOR AIRWAY DISORDERS ASSESSMENT	2016-557981	3/20/2015			Japan	PCT National Phase
328512-2236	CAPN-024/01US	SELECTION, SEGMENTATION, AND ANALYSIS OF EXHALED BREATH FOR AIRWAY DISORDERS ASSESSMENT	14864.728	3/23/2015			United States of America	Non-Provisional from Provisional
328512-2237	CAPN-024/01WO	SELECTION, SEGMENTATION, AND ANALYSIS OF EXHALED BREATH FOR AIRWAY DISORDERS ASSESSMENT	PCT/US2015/021852	3/20/2015			Patent Cooperation Treaty	National
328512-2238	CAPN-025/00US	IVIG TREATMENT METHODS FOR SELECTION OF PATIENTS, MONITORING FOR HEMOLYSIS AND DOSE DETERMINATION	62042.762	8/27/2014			United States of America	Provisional

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Client-Matter	Casey Docket No.	Title/Mark	Application No.	Application Date	Registration No.	Registration Date	Country	Category Description
328512-2239	CAPN-028501US	METHODS FOR IMMUNE GLOBULIN ADMINISTRATION	14/838,241	8/27/2015			United States of America	Non-Provisional from Provisional
328512-2240	CAPN-02850190	METHODS FOR IMMUNE GLOBULIN ADMINISTRATION	PCT/US2015/047296	8/27/2015			Patent Cooperation Treaty	National
328512-2241	CAPN-02850195	BREATH ANALYSIS SYSTEMS AND METHODS FOR SCREENING FOR INFECTIOUS DISEASE	82/086,094	10/20/2014			United States of America	Provisional
328512-22379	CAPN-028501AU	BREATH ANALYSIS SYSTEMS AND METHODS FOR SCREENING FOR INFECTIOUS DISEASE	20153356325	10/20/2015			Australia	PCT National Phase
328512-22387	CAPN-028501CA	BREATH ANALYSIS SYSTEMS AND METHODS FOR SCREENING FOR INFECTIOUS DISEASES	29665142	10/20/2015			Canada	PCT National Phase
328512-22381	CAPN-028501CN	BREATH ANALYSIS SYSTEMS AND METHODS FOR SCREENING FOR INFECTIOUS DISEASE	201550352714.3	10/20/2015			China	PCT National Phase
328512-22382	CAPN-028501EP	SYSTEMS AND METHODS FOR SCREENING FOR INFECTIOUS DISEASE	15852238.3	10/20/2015			European Patent Office	PCT National Phase
328512-22383	CAPN-028501IN	BREATH ANALYSIS SYSTEMS AND METHODS FOR SCREENING FOR INFECTIOUS DISEASE	201717916477	10/20/2015			India	PCT National Phase
328512-22384	CAPN-028501JP	SYSTEMS AND METHODS FOR SCREENING FOR INFECTIOUS DISEASE	2017-538553	10/20/2015			Japan	PCT National Phase
328512-2242	CAPN-028501US	BREATH ANALYSIS SYSTEMS AND METHODS FOR SCREENING FOR INFECTIOUS DISEASES	14/919,494	10/20/2015			United States of America	Non-Provisional from Provisional

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Client- Number	Docket No.	Mark	Application No.	Application Date	Registration No.	Registration Date	Country	Category Description
328512-2243	CAPN. 028501WC	BREATH ANALYSIS SYSTEMS AND METHODS FOR SCREENING FOR INFECTIOUS DISEASE	PCT/US2015/055527	10/20/2015			Patent Cooperation Treaty	Provisional
328512-2245	CAPN. 028500US	BREATH ANALYSIS TECHNOLOGY FOR POTENTIAL DIAGNOSTIC TESTING IN NEOMATES	627264,279	12/7/2015			United States of America	Provisional
328512-2246	CAPN. 028500US	BREATH ANALYSIS AND BREATH SIMULATION	627330,781	5/2/2016			United States of America	Provisional

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