

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5047179

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
CARRIER CORPORATION	06/22/2018

RECEIVING PARTY DATA

Name:	CARRIER COMMERCIAL REFRIGERATION, INC.
Street Address:	ONE CARRIER PLACE
City:	FARMINGTON
State/Country:	CONNECTICUT
Postal Code:	06032

PROPERTY NUMBERS Total: 39

Property Type	Number
Application Number:	11394916
Application Number:	12855325
Application Number:	12095214
Application Number:	12296295
Application Number:	12094511
Application Number:	11395641
Application Number:	12093379
Application Number:	13214493
Application Number:	08843770
Application Number:	09120787
Application Number:	10031643
Application Number:	09979534
Application Number:	09979693
Application Number:	09229917
Application Number:	60135063
Application Number:	12094344
Application Number:	61220789
Application Number:	13263166
Application Number:	61558172
Application Number:	14356756

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Property Type	Number
Application Number:	61492515
Application Number:	14122340
Application Number:	61556987
Application Number:	14356427
Application Number:	15926462
Application Number:	61668706
Application Number:	14412903
Application Number:	61665434
Application Number:	14410456
Application Number:	62056036
Application Number:	14864273
Application Number:	62095882
Application Number:	15525437
Application Number:	62205189
Application Number:	15224202
Application Number:	62269231
Application Number:	62406633
Application Number:	62451279
Application Number:	62547268

CORRESPONDENCE DATA

Fax Number: (312)321-4299

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Correspondent Name: STEPHEN CONRAD SMITH

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ATTORNEY DOCKET NUMBER:	16005-1017
NAME OF SUBMITTER:	STEPHEN C. SMITH
SIGNATURE:	/Stephen C. Smith/
DATE SIGNED:	07/12/2018

Total Attachments: 12

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PATENT ASSIGNMENT AGREEMENT

This Patent Assignment Agreement (the "Assignment") dated as of June 22, 2018 (the "Effective Date"), is made by and between Carrier Corporation, a Delaware corporation ("Assignor") and Carrier Commercial Refrigeration, Inc., a Delaware corporation ("Assignee").

WITNESSETH:

WHEREAS, Middleby Marshall, Inc. and Assignor, together with certain of their respective affiliates, entered into a certain Stock Purchase Agreement, dated as of May 18, 2018 (the "Acquisition Agreement"); and

WHEREAS, pursuant to the Acquisition Agreement, Assignor agreed to assign to Assignee all of Assignor's right, title and interest in, to and under certain patents listed in Schedule A hereto (the "Patents").

NOW, THEREFORE, in consideration of the mutual promises and covenants set forth herein and in the Acquisition Agreement, and for other good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, and intending to be legally bound, the parties hereby agree as follows:

Section 1.1 Assignment. Assignor hereby transfers and assigns to Assignee, and Assignee hereby accepts from Assignor, all of Assignor's right, title and interest in, to and under the Patents, including the right to sue and recover for past, present and future infringement thereof.

Section 1.2 Cooperation. Assignor shall, at Assignee's sole cost and expense, provide all cooperation reasonably requested by Assignee in order to effectuate the transactions contemplated by this Assignment, including its recordation in relevant state and national patent offices.

Section 1.3 EXCLUSION OF WARRANTIES. EXCEPT AS EXPRESSLY PROVIDED IN THE ACQUISITION AGREEMENT, THERE ARE NO REPRESENTATIONS OR WARRANTIES, EXPRESS OR IMPLIED, REGARDING THIS ASSIGNMENT OR THE SUBJECT MATTER HEREOF, AND ANY REPRESENTATIONS OR WARRANTIES RELATING TO THIS ASSIGNMENT OR THE SUBJECT MATTER HEREOF ARE HEREBY DISCLAIMED.

Section 1.4 General Provisions. This Assignment and the Acquisition Agreement constitute the entire agreement between the parties with respect to the subject matter of this Assignment and supersede any prior discussion, correspondence, negotiation, proposed term sheet, letter of intent, agreement, understanding or arrangement, and there are no agreements, understandings, representations or warranties, express or implied, written or oral, between the parties with respect to the subject matter of this Assignment, other than those set forth or referred to in this Assignment. In the event of any conflict between the terms of this Assignment and the terms of the Acquisition Agreement, the terms of the Acquisition Agreement shall control. This Assignment shall not be interpreted to broaden the scope of Purchaser's rights with respect to the

Trademarks beyond those rights provided in the Acquisition Agreement. This Assignment may not be modified or amended except by an instrument or instruments in writing signed by the party against whom enforcement of any such modification or amendment is sought. No failure or delay by any party in exercising any right, power or privilege hereunder shall operate as a waiver thereof nor shall any single or partial exercise thereof preclude any other or further exercise thereof or the exercise of any other right, power or privilege. This Assignment shall be binding upon and inure to the benefit of the parties to this Assignment and their respective successors and assigns.

Section 1.5 Governing Law; Jurisdiction and Venue; Specific Performance. This Assignment will be construed and governed in accordance with Sections 11.3 and 11.11 of the Acquisition Agreement as though set out in full herein, mutadis mutandis.

Section 1.6 Counterparts. This Assignment may be executed in one or more counterparts, and by different parties in separate counterparts, each of which when executed shall be deemed to be an original but all of which when together will constitute one and the same agreement. Delivery of an executed counterpart of a signature page to this Assignment by facsimile or other electronic method shall be as effective as delivery of a manually executed counterpart of this Assignment.

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Assignor

Carrier Corporation

By:

M. R. Davis

Name (printed):

Michael R. Davis

Title:

Authorized Representative

Assignee

Carrier Commercial Refrigeration, Inc.

By:

Name (printed):

Title:

[Patent Assignment Agreement Signature Page]

Assignor

Carrier Corporation

By: _____

Name (printed): _____

Title: _____

Assignee

Carrier Commercial Refrigeration, Inc.

By:  _____

Name (printed): Eric L. Markey

Title: Authorized Signatory

[Patent Assignment Agreement Signature Page]

**SCHEDULE A
PATENTS**

[Attachment 3.16(a)(ii) Part 2 to the SPA Disclosure Schedules]

Patent Ref	Title	Country	Application Number	Application Type	Patent No.	Status	Owner
22025DE01	Mixing Nozzle	Germany (Federal Republic of)	05853902.4	Designated EP	602005044961.2	Granted	Carrier Corporation
22025EP01	Mixing Nozzle	European Patent	05853902.4	Designated PCT	1968881	Completed	Carrier Corporation
22025FR01	Mixing Nozzle	France	05853902.4	Designated EP	1968881	Granted	Carrier Corporation
22025GB01	Mixing Nozzle	United Kingdom	05853902.4	Designated EP	1968881	Granted	Carrier Corporation
22025US01	Mixing Nozzle	United States of America	11/394,916	Designated PCT	7798367	Granted	Carrier Corporation
22025US02	Mixing Nozzle	United States of America	12/855,325	Divisional	8083100	Granted	Carrier Corporation
22025WO01	Mixing Nozzle	Patent Cooperation Treaty	US05/045087	Priority		Converted	Carrier Corporation
22026US01	Ratio Control in Postmix Dispenser	United States of America	12/095,214	Designated PCT	8006866	Granted	Carrier Corporation
22026WO01	Ratio Control in Postmix Dispenser	Patent Cooperation Treaty	PCT/US05/045088	Priority		Converted	Carrier Corporation
22027CN01	No-Drip Check Valves	China	200680054198.5	Designated PCT	ZL200680054198.5	Granted	Carrier Corporation
22027DE01	No-Drip Check Valve	Germany (Federal Republic of)	067504464	Designated EP	2010807	Granted	Carrier Corporation
22027EP01	No-Drip Check Valve	European Patent	06750446.4	Designated PCT	2010807	Completed	Carrier Corporation
22027FR01	No-Drip Check Valve	France	067504464	Designated EP	2010807	Granted	Carrier Corporation
22027US01	No-Drip Check Valves	United States of America	12/296,295	Designated PCT	7905243	Granted	Carrier Corporation
22027WO01	No-Drip Check Valve	Patent Cooperation Treaty	US06/014408	Priority		Converted	Carrier Corporation
22028DE01	Beverage Dispenser with On-Demand Refrigeration	Germany (Federal Republic of)	05858677.7	Designated EP	1969298	Granted	Carrier Corporation
22028EP01	Beverage Dispenser with On-Demand Refrigeration	European Patent	05858677.7	Designated PCT	1969298	Completed	Carrier Corporation
22028FR01	Beverage Dispenser with On-Demand Refrigeration	France	05858677.7	Designated EP	1969298	Granted	Carrier Corporation
22028GB01	Beverage Dispenser with On-Demand Refrigeration	United Kingdom	05858677.7	Designated EP	1969298	Granted	Carrier Corporation

PATENT

Patent Ref	Title	Country	Application Number	Application Type	Patent No.	Status	Owner
22028US01	Beverage Dispenser with On-Demand Refrigeration	United States of America	12/094,511	Designated PCT	8973786	Granted	Carrier Corporation
22028WO01	Beverage Dispenser with On-Demand Refrigeration	Patent Cooperation Treaty	PCT/US05/45091	Priority		Converted	Carrier Corporation
22029US01	Concentrate Holder	United States of America	11/395,641	Continuation-in-part	8,905,267	Granted	Carrier Corporation
22030CN01	Flowmeter Assembly	China	200580052274.4	Designated PCT	ZL200580052274.4	Granted	Carrier Corporation
22030MX01	Flowmeter Assembly	Mexico	MX/a/2008/007228	Designated PCT	307438	Granted	Carrier Corporation
22030US01	Flowmeter Assembly	United States of America	12/093,379	Designated PCT	8025184	Granted	Carrier Corporation
22030US02	Flowmeter Assembly	United States of America	13/214,493	Divisional	8424719	Granted	Carrier Corporation
22030WO01	Flowmeter Assembly	Patent Cooperation Treaty	PCT/US05/45090	Priority		Converted	Carrier Corporation
26223DE01	TWO-SIDED COOKING APPARATUS HAVING AN ELECTRONIC GAP ADJUSTMENT MECHANISM	Germany (Federal Republic of)	19817624-4	Secondary	19817624.4	Granted	Carrier Corporation
26223US01	TWO-SIDED COOKING APPARATUS HAVING AN ELECTRONIC GAP ADJUSTMENT MECHANISM	United States of America	843,770	Priority	5791234	Granted	Carrier Corporation
26223US02	CLAMSHHELL COOKING APPARATUS HAVING AN ELECTRONIC GAP ADJUSTMENT MECHANISM	United States of America	09/120,787	Continuation-in-part	5910207	Granted	Carrier Corporation
26223US03	CLAMSHHELL COOKING APPARATUS HAVING AN ELECTRONIC GAP ADJUSTMENT MECHANISM	United States of America	09/120,787	Priority	5910207	Granted	Carrier Corporation

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Patent Ref	Title	Country	Application Number	Application Type	Patent No.	Status	Owner
26315EP01	VALVE AND DOOR ASSEMBLY FOR SEMI-FROZEN FOOD DISPENSING MACHINE	European Patent	00937663.3	Priority	1178735	Granted	Carrier Corporation
26315US01	VALVE AND DOOR ASSEMBLY FOR SEMI-FROZEN FOOD DISPENSING MACHINE	United States of America	10/031,643	Secondary	6553779	Granted	Carrier Corporation
26316US01	IMPROVED BEATER/DASHER FOR SEMI-FROZEN FOOD DISPENSING MACHINES	United States of America	09/979,534	Designated PCT	6494055	Granted	Carrier Corporation
26316WO01	IMPROVED BEATER/DASHER FOR SEMI-FROZEN FOOD DISPENSING MACHINES	Patent Cooperation Treaty	PCTUS0014029	Priority		Converted	Carrier Corporation
26317US01	APPARATUS AND A METHOD FOR IN PLACE CLEANING FOR A SEMI-FROZEN FOOD DISPENSING MACHINE	United States of America	09/979,693	Priority	6490872	Granted	Carrier Corporation
26712EP01	Improved Beater/Dasher for Semi-Frozen, Frozen Food Dispensing Machines	European Patent	00930835.4	Priority	1178734	Granted	Carrier Corporation
26716US01	Clamshell Cooking Apparatus with Automatic Gap Size Determination	United States of America	09/229,917	Priority	6016743	Granted	Carrier Corporation
26748DE01	Apparatus and a Method for Clean-in-Place for a Semi-Frozen Food Dispensing Machine	Germany (Federal Republic of)	00936084.3	Designated EP	60016586.8	Granted	Carrier Corporation

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Patent Ref	Title	Country	Application Number	Application Type	Patent No.	Status	Owner
26748FR01	Apparatus and a Method for Clean-in-Place for a Semi-Frozen Food Dispensing Machine	France	00936084.3	Designated EP	1179168	Granted	Carrier Corporation
26748US01	Apparatus and a Method for Clean-in-Place for a Semi-Frozen Food Dispensing Machine	United States of America	60/135,063	Priority		Expired	Carrier Corporation
47438US01	Data Input System in Postmix Dispenser	United States of America	12/094,344	Designated PCT	8689677	Granted	Carrier Corporation
47438WO01	Data Input System in Postmix Dispenser	Patent Cooperation Treaty	US05/045089	Priority		Converted	Carrier Corporation
54117CN01	Semi-Frozen Product Dispensing Apparatus	China	201080028215.4	Designated PCT	ZL201080028215.4	Granted	Carrier Corporation
54117DE01	Semi-Frozen Product Dispensing Apparatus	Germany (Federal Republic of)	10792494.6	Designated EP	602010041713.1	Granted	Carrier Corporation
54117EP01	Semi-Frozen Product Dispensing Apparatus	European Patent	10792494.6	Designated PCT	2445356	Completed	Carrier Corporation
54117FR01	Semi-Frozen Product Dispensing Apparatus	France	10792494.6	Designated EP	2445356	Granted	Carrier Corporation
54117GB01	Semi-Frozen Product Dispensing Apparatus	United Kingdom	10792494.6	Designated EP	2445356	Granted	Carrier Corporation
54117US01	Semi-Frozen Product Dispensing Apparatus	United States of America	61/220,789	Priority		Expired	Carrier Corporation
54117US02	Semi-Frozen Product Dispensing Apparatus	United States of America	13/263,166	Designated PCT		Published	Carrier Corporation
54117WO01	Semi-Frozen Product Dispensing Apparatus	Patent Cooperation Treaty	PCT/US10/36035	Secondary		Converted	Carrier Corporation
56039US01	DUAL VALVE INJECTOR ASSEMBLY FOR DISPENSING A FOOD PRODUCT	United States of America	61/558,172	Priority		Expired	Carrier Corporation
56039US02	DUAL VALVE INJECTOR ASSEMBLY FOR DISPENSING A FOOD PRODUCT	United States of America	14/356,756	Designated PCT		Published	Carrier Corporation
56039WO01	DUAL VALVE INJECTOR ASSEMBLY FOR DISPENSING A FOOD PRODUCT	Patent Cooperation Treaty	PCT/US12/64046	Secondary		Converted	Carrier Corporation

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Patent Ref	Title	Country	Application Number	Application Type	Patent No.	Status	Owner
56205US01	Universal Bag Connector	United States of America	61/492,515	Priority		Expired	Carrier Corporation
56205US02	Universal Bag Connector	United States of America	14/122,340	Designated PCT		Published	Carrier Corporation
56205WO01	Universal Bag Connector	Patent Cooperation Treaty	PCT/US12/37909	Secondary		Converted	Carrier Corporation
58681CN01	HEAT EXCHANGER AND METHOD OF MAKING THEREOF	China	201280054853.2	Designated PCT		Published	Carrier Corporation
58681CN02	HEAT EXCHANGER AND METHOD OF MAKING THEREOF	China		Divisional		To Be Filed	Carrier Corporation
58681EP01	HEAT EXCHANGER AND METHOD OF MAKING THEREOF	European Patent	12791342.4	Designated PCT		Published	Carrier Corporation
58681US01	HEAT EXCHANGER AND METHOD OF MAKING THEREOF	United States of America	61/556,987	Priority		Expired	Carrier Corporation
58681US02	HEAT EXCHANGER AND METHOD OF MAKING THEREOF	United States of America	14/356,427	Designated PCT	9,943,088	Granted	Carrier Corporation
58681US03	HEAT EXCHANGER AND METHOD OF MAKING THEREOF	United States of America	15/926,462	Divisional		Application	Carrier Corporation
58681WO01	HEAT EXCHANGER AND METHOD OF MAKING THEREOF	Patent Cooperation Treaty	PCT/US12/62358	Secondary		Converted	Carrier Corporation
60722US01	Multi Position Beverage Dispenser	United States of America	61/668,706	Priority		Expired	Carrier Corporation
60722US02	Multi-Position Beverage Dispenser	United States of America	14/412,903	Designated PCT	9,675,206	Granted	Carrier Corporation
60722WO01	Multi Position Beverage Dispenser	Patent Cooperation Treaty	PCTUS1339177	Secondary		Converted	Carrier Corporation
61491CN01	Frozen Food Dispensing Machine and Method of Operation	China	201380033618.1	Designated PCT		Application	Carrier Corporation
61491DE01	Frozen Food Dispensing Machine and Method of Operation	Germany (Federal Republic of)	13721193.4	Designated EP	2866579	Granted	Carrier Corporation

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Patent Ref	Title	Country	Application Number	Application Type	Patent No.	Status	Owner
61491EP01	Frozen Food Dispensing Machine and Method of Operation	European Patent	13721193.4	Designated PCT	2866579	Completed	Carrier Corporation
61491FR01	Frozen Food Dispensing Machine and Method of Operation	France	13721193.4	Designated EP	2866579	Granted	Carrier Corporation
61491GB01	Frozen Food Dispensing Machine and Method of Operation	United Kingdom	13721193.4	Designated EP	2866579	Granted	Carrier Corporation
61491US01	Frozen Food Dispensing Machine and Method of Operation	United States of America	61/665,434	Priority		Expired	Carrier Corporation
61491US02	Frozen Food Dispensing Machine and Method of Operation	United States of America	14/410,456	Designated PCT	9,848,620	Granted	Carrier Corporation
61491WO01	Frozen Food Dispensing Machine and Method of Operation	Patent Cooperation Treaty	PCTUS1337756	Secondary		Converted	Carrier Corporation
77372US01	RE-FILLABLE SYRUP BIN FOR BEVERAGE MACHINE	United States of America	62/056,036	Priority		Expired	Carrier Corporation
77372US02	RE-FILLABLE SYRUP BIN FOR BEVERAGE MACHINE	United States of America	14864273	Secondary		Allowed	Carrier Corporation
77972US01	Freezing Cylinder Beater	United States of America	62/095,882	Priority		Expired	Carrier Corporation
77972US02	Freezing Cylinder Beater	United States of America	15/525,437	Designated PCT		Published	Carrier Corporation
77972WO01	Freezing Cylinder Beater	Patent Cooperation Treaty	PCT/US2015/064940	Secondary		Converted	Carrier Corporation
77972CN01	Freezing Cylinder Beater	China	201580070686.4	Designated PCT		Published	Carrier Corporation
77972EP01	Freezing Cylinder Beater	European Patent	15817695.8	Designated PCT		Published	Carrier Corporation
81857US01	SCRAPER BLADES	United States of America	62/205,189	Priority		Expired	Carrier Corporation

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Patent Ref	Title	Country	Application Number	Application Type	Patent No.	Status	Owner
81857US02	SCRAPER BLADES	United States of America	15/224,202	Secondary		Published	Carrier Corporation
91542US01	Smart Kitchen Information Management	United States of America	62/269,231	Priority		Expired	Carrier Corporation
91542WO01	Smart Kitchen Information Management	Patent Cooperation Treaty	PCT/US16/66288	Secondary		Published	Carrier Corporation
95312US01	HOSE FITTING ASSEMBLY FOR FROZEN FOOD DISPENSING MACHINE AND METHOD OF ASSEMBLY	United States of America	62/406633	Priority		Expired	Carrier Corporation
95312WO01	HOSE FITTING ASSEMBLY FOR FROZEN FOOD DISPENSING MACHINE AND METHOD OF ASSEMBLY	Patent Cooperation Treaty	PCT/US2017/055757	Secondary		Published	Carrier Corporation
95866US01	SHAKE PRODUCT BLENDING PROCESS	United States of America	62451279	Priority		Expired	Carrier Corporation
95866WO01	SHAKE PRODUCT BLENDING PROCESS	Patent Cooperation Treaty	PCT/US2018/015436	Secondary		Application	Carrier Corporation
98156US01	Evaporator with Multiple Wrap Outer Shell	United States of America	62/547,268	Priority		Application	Carrier Corporation

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