#### 505014171 07/20/2018

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 EPAS ID: PAT5060919 Stylesheet Version v1.2

**SUBMISSION TYPE: NEW ASSIGNMENT NATURE OF CONVEYANCE: ASSIGNMENT** 

### **CONVEYING PARTY DATA**

Name	Execution Date
VIRENDER K. SHARMA	02/17/2015
SHAI POLICKER	02/17/2015

### **RECEIVING PARTY DATA**

Name:	ENDOSTIM, INC.
Street Address:	4101 MCEWEN RD.
Internal Address:	SUITE 336
City:	DALLAS
State/Country:	TEXAS
Postal Code:	75244

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16041426

# CORRESPONDENCE DATA

Fax Number: (714)464-5413

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 9497952386

Email: michael@novelip.com

**Correspondent Name: SONA DALAL** 

Address Line 1: 191 WEST SECOND STREET Address Line 4: SANTA ANA, CALIFORNIA 92701

ATTORNEY DOCKET NUMBER: ENDO131\_CON3 NAME OF SUBMITTER: SONA DALAL SIGNATURE: /SONA DALAL/ 07/20/2018 **DATE SIGNED:** 

**Total Attachments: 2** 

source=ENDO131ConAssignmentSigned#page1.tif source=ENDO131ConAssignmentSigned#page2.tif

> **PATENT** REEL: 046417 FRAME: 0668 505014171

#### **ASSIGNMENT**

WHEREAS, we, below named, listed and undersigned inventors,

Co-Inventor: Virender K. Sharma, a citizen of the United States of America, residing at 6531 N. 60<sup>th</sup> Street, Paradise Valley, AZ 85253, United States of America; and

Co-Inventor: Shai Policker, a citizen of the State of Israel, residing at 258 West Clinton Avenue, Tenafly, NJ 07670, United States of America

have invented certain new and useful improvements in "Systems and Methods for Treating Gastroesophageal Reflux Disease", for which an application for Letters Patent in the United States has been filed on July 21, 2014 and has been assigned Serial No. 14/337,006, which is a continuation application of United States Patent Application Number 13/447,168, of the same title, filed on April 14, 2012, and issued on September 9, 2014 as United States Patent Number 8,831,729, which relies on United States Provisional Patent Application Number 61/475,368, entitled "Electrical Stimulation Systems and Methods" and filed on April 14, 2011, for priority. PCT application number PCT/US12/33695, filed on April 14, 2012 and entitled "Systems and Methods for Treating Gastroesophageal Reflux Disease", also relies on United States Provisional Patent Application Number 61/475,368 for priority.

United States Patent Application Number 13/447,168 is also a continuation-in-part application of United States Patent Application Number 13/041,063, entitled "Device and Implantation System for Electrical Stimulation of Biological Systems", filed on March 4, 2011, and issued on April 29, 2014 as United States Patent Number 8,712,529, which relies on United States Provisional Patent Application Numbers 61/444,489, of the same title and filed on February 21, 2011; 61/422,967, entitled "Methods and Systems for Improving the Operation of An Active Implantable Medical Device" and filed on December 14, 2010; 61/414,378, entitled "Method and System for Implanting a Medical Device Into A Human Body and Electrically Stimulating Human Tissue", filed on November 16, 2010; 61/384,105, entitled "Device and Implantation System for Electrical Stimulation of Biological Tissues" and filed on September 17, 2010; 61/371,146, of the same title and filed on August 5, 2010; 61/328,702, of the same title and filed on April 28, 2010; 61/318,843, of the same title and filed on March 30, 2010; and 61/310,755, of the same title and filed on March 5, 2010, for priority (collectively all applications are referred to as "Applications"):

AND WHEREAS, EndoStim, Inc., (hereinafter "ASSIGNEE"), a corporation, with its principal place of business at 4041 Forest Park Avenue, Suite 220, St. Louis, MO 63108, desires to acquire the entire right, title, and interest in and to the said Applications:

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said Applications, and all provisional applications relating thereto, and all divisions, renewals and continuations or continuations-in-part thereof, and all Letters Patent of the United States which may be granted thereon and all reissues, reexaminations, and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said Applications in any country or countries foreign to the United States, and all Letters Patent which may be granted for said Applications in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said Applications to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said Applications, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reexamination, and reissue applications, make all rightful oaths and declarations and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said Applications in all countries.

PATENT REEL: 046417 FRAME: 0669

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed his signature.  This day of feb , 20/5  Signature of Vivender K. Sharma
COUNTY OF LA LORGE SS.
On 2/17/5 , before me, LINDA THOMAS , Notary Public, personally appeared Virender K. Sharma who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the above-mentioned jurisdiction that the foregoing paragrap is true and correct.
WITNESS my hand and official seal.  ISE ACT JUNEAU THOMAS
ISE LINDA THOMAS  Notary Public - Notary Seal State of Missouri, St Louis County  Commission # 14493219  My Commission Expires Jun 22, 2018
IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed his signature.  This
STATE OF ss.
On 3/17/15 before me, LINDA THOMAS, Notary Public, personally appeared Shai Policker who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the above-mentioned jurisdiction that the foregoing paragrap is true and correct.
WITNESS my hand and official seal.
[SEAL] Justin William Notary Signature
LINDA THOMAS  Notary Public - Notary Seal  State of Missouri, St Louis County  Commission # 14493219  My Commission Expires Jun 22, 2016