

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5063294

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
KAZUMA TERAMOTO	09/17/2015
RECEIVING PARTY DATA	
Name:	JOLED INC.
Street Address:	3-23, KANDANISHIKICHO
Internal Address:	CHIYODA-KU
City:	TOKYO
State/Country:	JAPAN
Postal Code:	101-0054
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15416376
CORRESPONDENCE DATA	
Fax Number:	(703)518-5499
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	7036841111
Email:	bjhgroup@ipfirm.com
Correspondent Name:	BENJAMIN J. HAUPTMAN
Address Line 1:	2318 MILL ROAD
Address Line 2:	SUITE 1400
Address Line 4:	ALEXANDRIA, VIRGINIA 22314
ATTORNEY DOCKET NUMBER:	5999-001
NAME OF SUBMITTER:	BENJAMIN J. HAUPTMAN
SIGNATURE:	/Benjamin J. Hauptman/
DATE SIGNED:	07/24/2018
Total Attachments: 20	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
: Kazuma TERAMOTO et al. : Confirmation No. 8615
: U.S. Patent Application No. 15/416,376 : Group Art Unit: 2897
: Filed: January 26, 2017 : Examiner: Chambliss, Alonzo
For: DISPLAY DEVICE HAVING A RECESS PORTION IN AN INSULATING FILM AND
A LIGHT EMITTING LAYER (as amended)

ASSIGNMENT RECORDAL COVER PAGE

The enclosed documents serve as evidence that the right to obtain a patent for the subject matter disclosed in the above-captioned application had been assigned

from

inventor **Kazuma TERAMOTO**

to

JOLED Inc.

3-23, Kandanishikicho, Chiyoda-ku,

Tokyo 101-0054, Japan.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	
	:	
Kazuma TERAMOTO et al.	:	Confirmation No. 8615
	:	
U.S. Patent Application No. 15/416,376	:	Group Art Unit: 2897
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Filed: January 26, 2017	:	Examiner: Chambliss, Alonzo
For: DISPLAY DEVICE HAVING A RECESS PORTION IN AN INSULATING FILM AND A LIGHT EMITTING LAYER (as amended)		

LEGAL MEMORANDUM

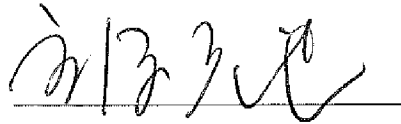
I, Hirokatsu Takahashi, the undersigned, hereby declare that:

- 1) I am a Japanese Attorney at Law at Nishimura and Asahi, Otemon Tower, 1-1-2 Otemachi, Chiyoda-ku, Tokyo 100-8124, Japan.
- 2) I rely on the following items in this Legal Memorandum:
 - a) Japanese Patent Law Article 35(2) (a copy and a certified English translation thereof are attached herewith);
 - b) the attached Certificate (in Japanese and with a certified English translation attached herewith) issued by JOLED Inc. of 3-23, Kandanshikicho, Chiyoda-ku, Tokyo 101-0054, Japan, showing that co-inventor Kazuma TERAMOTO was employed by JOLED Inc. between January 5th, 2015, and October 10th, 2016;
 - c) the attached Declaration by Shin Sakai, a Japanese Patent Attorney at S & S International PPC, of Suzuye & Suzuye Bldg., 1-12-9 Toranomom, Minato-ku, Tokyo 105-0001 Japan, showing that co-inventor TERAMOTO, on or around September 17, 2015, i.e., during the employment by JOLED Inc., co-invented the invention described and claimed in Japanese Patent Application No. 2016-013513 which was eventually filed in the USPTO as the above-captioned application, i.e., US Patent Application No. 15/416,376 (the "Invention").

- d) JOLED Inc.'s internal intellectual property management rule (in Japanese and with a certified English translation attached herewith) that was in force and effect on and around the date the Invention was invented and was applicable to co-inventor TERAMOTO during the entire employment by JOLED Inc., showing that all rights to, including the right to obtain a patent for, a JOLED Inc.'s employee's invention shall be succeeded from such employee to JOLED Inc.
 - e) Payment statements for compensation for the Invention to co-inventor TERAMOTO dated January 12th, 2017 and July 26th, 2017 (in Japanese and with a certified English translation attached herewith).
- 3) In view of items d) and e), which show that co-inventor TERAMOTO transferred to JOLED Inc. all rights to, including the right to obtain a patent for, the Invention and received compensation therefor from JOLED Inc., and items b) and c), which show that co-inventor TERAMOTO co-invented the Invention described and claimed in Japanese Patent Application No. 2016-013513 and the above-captioned application, while being employed by JOLED Inc., and pursuant to the Japanese law through item a), I conclude that a court of competent jurisdiction in Japan, by the weight of authority in that jurisdiction, would hold that all rights to, including the right to obtain a patent for, the Invention had been assigned from co-inventor TERAMOTO to JOLED Inc. in Japan and foreign countries under the Japanese law, and would award the title of the Invention in Japanese Patent Application No. 2016-013513 and the above-captioned application to JOLED Inc.
- 4) This Memorandum is limited to the matters referred to herein and shall not be construed as extending to any other matter not referred to herein.
- 5) I have assumed the accuracy and completeness of the information obtained from JOLED Inc., including the disclosed documents listed in items b) through e) at section 2) above (the "Disclosed Documents"), and that no material documents or facts have been omitted or withheld or are misleading in any way. I have also assumed that the Disclosed Documents are, or are substantially, identical to the genuine and/or executed original documents, and that no amendments, modifications, expirations, terminations or other changes that are material and

that were not disclosed have been made to, or have occurred with respect to such Disclosed Documents. I have not conducted any further investigations or considerations of the Disclosed Documents, nor have I verified the enforceability of the Disclosed Documents provided for my review.

Dated: June 26, 2018



Hirokatsu Takahashi
Attorney at Law

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:
	:
Kazuma TERAMOTO et al.	: Confirmation No. 8615
	:
U.S. Patent Application No. 15/416,376	: Group Art Unit: 2897
	:
Filed: January 26, 2017	: Examiner: Chambliss, Alonzo
For: DISPLAY DEVICE HAVING A RECESS PORTION IN AN INSULATING FILM AND A LIGHT EMITTING LAYER (as amended)	

DECLARATION IN SUPPORT OF LEGAL MEMORANDUM

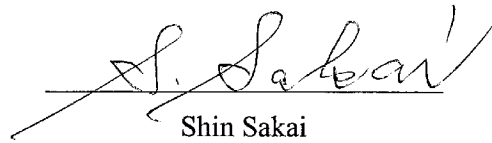
I, Shin Sakai, the undersigned, hereby declare that:

1. I am a Japanese Patent Attorney
at S & S International PPC
of Suzuye & Suzuye Bldg., 1-12-9 Toranomom, Minato-ku, Tokyo 105-0001 Japan.
2. I discussed the contents of the invention with Mr. Kazuma TERAMOTO when preparing a patent application entrusted by JOLED Inc. of 3-23, Kandanishikicho, Chiyoda-ku, Tokyo 101-0054, Japan, on or around September 17, 2015. This application was eventually filed in the Japan Patent Office on January 27, 2016, as Japanese Patent Application No. 2016-013513. I understood that US Patent Application No. 15/416,376 was later filed by JOLED Inc. based on the aforementioned Japanese application, and that Mr. Kazuma TERAMOTO is a co-inventor of the subject US application No. 15/416,376.
3. I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Docket No.: 5999-001

Application No. 15/416,376

Dated: June 6, 2018

A handwritten signature in black ink, appearing to read "S. Sakai", written over a horizontal line.

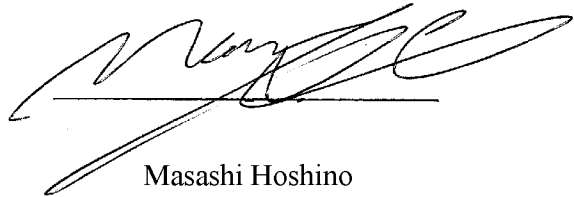
Shin Sakai
Patent Attorney

CERTIFIED TRANSLATION

I hereby certify that I am familiar with the English and Japanese languages and to the best of my knowledge the following is a true and accurate translation of the Japanese Patent Law Article 35(2) in Japanese attached hereto.

Signed this

28th day of June, 2018



Masashi Hoshino
General Manager of Administration Div.
S & S International PPC

Japanese Patent Law

Article 35

(2) In the case of an invention by an employee, etc., any provision in any agreement, employment regulation or any other stipulation providing in advance that the right to obtain a patent or that the patent rights for any invention made by an employee, etc. shall vest in the employer, etc., or that an exclusive license for the said invention shall be granted to the employer, etc., shall be null and void unless the said invention is an employee invention.

日本特許法

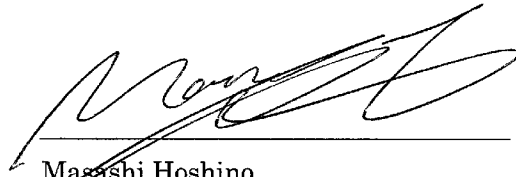
第三十五条

2 従業者等がした発明については、その発明が職務発明である場合を除き、あらかじめ使用者等に特許を受ける権利若しくは特許権を承継させ又は使用者等のため専用実施権を設定することを定めた契約、勤務規則その他の定めのある条項は、無効とする。

CERTIFICATE

I, the undersigned, Masashi Hoshino, residing at 8-11, Iwabuchimachi, Kita-ku, Tokyo, Japan, hereby certify that to the best of my knowledge and belief the following is a true and accurate translation from Japanese into English made by me of Certificate of Employment.

Dated July 6, 2018



Masashi Hoshino
General Manager of
International Administration Div.
S & S International PPC

Certificate of Employment

To whom it may concern:

This is to certify the person detailed below was employed by our company as an engineer to the date of resignation.

Name: Kazuma Terumoto (Mr.)

Division: Advanced Technology Research and Development Group 3 Team 1 Unit 1

Operations/responsibilities: Development of OLED devices (Processing technology)

Date of Enrollment: January 5, 2015

Date of Resignation: October 10, 2016

Reason for Resignation: By death

June 5, 2018

Zip code 101 - 0054

JOLED Inc.

3 - 23, Kandanishikicho,

Chiyoda-ku, Tokyo 101 - 0054 Japan

President and CEO

Nobuhiro Higashiiriki (Seal)

在籍証明書

下記の者の、退職日までにおける在籍を証明する。

氏名：寺本 和真

所属：先端技術開発部門 第三グループ 第一チーム 第一ユニット

入社年月日：2015年1月5日

退職年月日：2016年10月10日

退職理由：死亡による

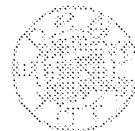
2018年5月22日

〒101-0054

東京都千代田区神田錦町3-23 10階

株式会社 JOLED

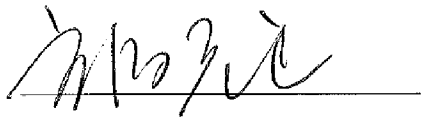
代表取締役 東入来 信博



Certified Translation

I hereby certify that I am familiar with the English and Japanese languages and to the best of my knowledge the following is a true and accurate translation of the excerpt of the Incentive Payment Statement in Japanese attached hereto.

Signed this 26th day of June, 2018



Hirokatsu Takahashi
Attorney at Law

報奨金支給明細書 抜粋

先端技術開発部門第三グループ
第一チーム第一ユニット
140000273 寺本 和真 殿

2017年1月12日
株式会社 JOLED
管理部門知財チーム

報奨金支給明細書 (抜粋)

貴殿の報奨金支給明細は以下の通りです。

報奨の対象期間

提案処分日・出願日 2016年01月01日～2016年09月30日

支給予定日 : 2017年1月12日
支給方法 : 指定口座振込

No.	受付番号/ 出願番号	発明の名 称等	報奨種別	寄与率	源泉税額	支給金額
1	15L0132JP01 特願 2016- 013513	表示装置	【出願報 奨】JP 第1 国出願	50/100	\0	\20,000

(140000273) 寺本 和真 殿

2017年7月26日
株式会社 JOLED
管理部門知財チーム

報奨金支給明細書 (抜粋)

貴殿の報奨金支給明細は以下の通りです。

報奨の対象期間

提案処分日・出願日 2017年01月01日～2017年03月31日

支給日 : 発行日から2カ月以内

支給方法 : 指定口座振込

No.	受付番号/出願番号	発明の名称等	報奨種別	寄与率	源泉税額	支給金額
1	15L0132US01 15/416376	表示装置	【出願】 JP 対応外 国出願有	50/100	\0	\25,000

Incentive Payment Statement (Excerpt)

Advanced Technology Development Division, Third Group
First Team, First Unit
140000273 Mr. Kazuma Teramoto

January 12, 2017
JOLED Inc.
Management Division
Intellectual Property Team

Incentive Payment Statement (Excerpt)

Your Incentive Payment Statement is as follows:

Period covered by this Incentive Payment

Proposal Processing Date/Application Date January 1, 2016-September 30, 2016

Scheduled Payment Date: January 12, 2017

The Way of Payment: Via Direct Deposit

No.	Receipt Number/Application Number	Name of Invention	Invention Type	Contribution Rate	Withholding Tax Amount	Amount of Payment
1	15L0132JP01 Toku Gan 2016-013513	Display Devices	【Application Reward】 Application in the First Country (JP)	50/100	0	20,000

(140000273) Mr. Kazuma Teramoto

July 26, 2017
JOLED Inc.
Management Division
Intellectual Property Team

Incentive Payment Statement (Excerpt)

Your Incentive Payment Statement is as follows:

Period covered by this Incentive Payment

Proposal Processing Date/Application Date January 1, 2017-March 31, 2017

Payment Date: Within 2 months from Issuance of this Statement

The Way of Payment: Via Direct Deposit

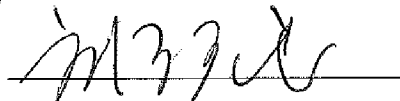
No.	Receipt Number/Application Number	Name of Invention	Invention Type	Contribution Rate	Withholding Tax Amount	Amount of Payment
1	15L0132US01 15/416376	Display Devices	【Application】 Application in the foreign countries corresponding to the JP Application	50/100	\0	\25,000

Certified Translation

I hereby certify that I am familiar with the English and Japanese languages and to the best of my knowledge the following is a true and accurate translation of the excerpt of the JOLED Intellectual Property Rights Management Rule in Japanese attached hereto.

Signed this

20th day of June, 2018



Hirokatsu Takahashi
Attorney at Law

JOLED 知的財産権管理規則（「本規則」）（抜粋）

1.2 定 義

- (1) JOLED グループ：株式会社 JOLED（以下、「JOLED」という。）及び JOLED が議決権付株式の 50%超を保有するなど実質的に支配権を有する関係会社の総称。
- (3) 発明等：発明、考案、意匠の創作。
- (4) 職務発明：従業員等がなした発明等の内、その性質上会社の業務範囲に属し、かつ当該発明等をするに至った行為が会社における従業員等の現在又は過去の職務に属するもの。
- (5) 従業員等：JOLED 及び JOLED グループの従業員（正社員、契約社員、臨時社員、嘱託、パートタイマー及び第三者から派遣された派遣社員、JOLED グループへの出向社員及び JOLED グループからの出向社員、その他会社と雇用関係又はそれに準ずる関係にある者）及び役員等（取締役、監査役、執行役、相談役及び顧問、その他役員待遇又はそれに準じる待遇の者）。

1.9 施 行 日

本規則は、2015 年 1 月 5 日（以下、「施行日」という。）に制定され、同日より施行する。

2.2.1 発明等の帰属

- (1) 従業員等がなした職務発明については、当該職務発明の完成と同時に、当該職務発明に係る知的財産権が何らの意思表示又は手続を必要とせず、当該従業員等が所属する JOLED グループの各社に対し当然に承継されるものとする。
- (3) 複数の従業員等が協力をして職務発明を完成させた場合、当該従業員等は、各従業員等が実効的に寄与した割合（以下、「寄与率」という。）を決定しなければならない。JOLED グループは、一つの職務発明が複数の従業員等によるものである場合、本規則に基づく報奨金を当該寄与率に応じて支払うが、各従業員等の寄与率が未申告その他の事由（天変地異等の特殊事情を含む。）により不明であるときは、これを均等とみなすことができる。

JOLED Intellectual Property Rights Management Rule (this "Rule") (Exerpt)

Article 1.2 Definition

(1) JOLED Group: JOLED Inc. (hereinafter referred to as "JOLED") and its affiliated companies of which JOLED holds more than 50% of voting stock or JOLED has substantial control.

(3) Invention, Etc.: An invention, device or design creation.

(4) Invention By Employee: Any Invention, Etc. made by Employees, etc., which belongs to a company's business scope due to its nature, and any Invention, Etc. made leading up to any activity which belongs to the present or past job duties of the Employees, Etc. in the company.

(5) Employee, etc.: an employee of any JOLED Group (including full-time employees, contract employees, temporary employees, fixed-term employees, part-timers, employees dispatched from a third party, employees seconded from a third party and employees seconded from the JOLED Group, and any other persons in an employment relationship or equivalent with the JOLED Group), as well as directors etc. (directors, corporate auditors, executive officers, advisors, and other persons treated as directors or the equivalent).

Article 1.9 Effective Date

This Rule is enacted on January 5, 2015 (hereinafter referred to as "Enforcement Date") and shall be in force from the Enforcement Date.

Article 2.2.1 Attribution of invention etc.

(1) With respect to an Invention By Employee made by an Employee, Etc., at the same time as the completion of such Invention By Employee, the intellectual property right relating to such Invention By Employee shall be succeeded to the entity of the JOLED Group to which such Employee, Etc. belongs without any declaration of intent or procedure for such succession.

(3) When multiple Employees, Etc. cooperate to complete an Invention By Employee, the Employees, Etc. shall determine the ratio (hereinafter referred to as "Contribution Rate") that each of the Employees, Etc. effectively contributed. When an Invention By Employee is made by multiple Employees, Etc., the JOLED Group shall pay incentives based on this Rule according to the Contribution Rate. If the Contribution Rate of any of the Employees, Etc. is uncertain because of non-declaration of the Contribution Rate or any other reason (including special circumstances such as natural disasters, etc.), the Contribution Rate will be regarded as equal.