

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
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EPAS ID: PAT5075716

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
PAUL A. SPENCE	05/16/2014
LANDON H. TOMPKINS	05/16/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	MITRAL VALVE TECHNOLOGIES SA
<b>Street Address:</b>	AV. DES ALPS 104
<b>City:</b>	MONTREAUX
<b>State/Country:</b>	SWITZERLAND
<b>Postal Code:</b>	1820
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15912971
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	RAQUEL_JONES@EDWARDS.COM
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<b>Address Line 1:</b>	ONE EDWARDS WAY
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<b>Address Line 4:</b>	IRVINE, CALIFORNIA 92614
<b>ATTORNEY DOCKET NUMBER:</b>	THVVA-8158US03
<b>NAME OF SUBMITTER:</b>	RAQUEL JONES
<b>SIGNATURE:</b>	/RAQUEL JONES/
<b>DATE SIGNED:</b>	07/31/2018
<b>Total Attachments: 3</b>	
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source=8158US03_ExecutedAssignment(SpaceandTompkinstoSA)#page3.tif	

**ASSIGNMENT OF INVENTION AND PATENTS THEREON**

WHEREAS, I (we), Paul A. Spence and Landon H. Tompkins have invented **DEVICES, SYSTEMS AND METHODS OF COMBINING ANCHOR PLACEMENT WITH VALVE IMPLANTATION TO CREATE A ONE STEP IMPLANT PROCEDURE** as fully disclosed in an application for Patent so entitled and filed by me (us) on February 21, 2014 as Application Serial No. 61/943,125 preparatory to obtaining Letters Patent of the United States therefor.

WHEREAS, **Mitral Valve Technologies SA**, a Swiss company, having its principal office at Av. des Alps 104, 1820 Montreaux, Switzerland, desires to acquire the entire interest in and to the subject matter disclosed in said applications and in and to all patents issued or to be issued thereon.

NOW, THEREFORE, to all whom it may concern, be it known that, for and in consideration of the sum of One Dollar (\$1.00) to me (each of us) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I (we) have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said **Mitral Valve Technologies SA** my (our) entire right, title and interest in and to the subject matter disclosed in said applications and in and to all Letters Patent Domestic and Foreign issued or to be obtained thereon, including all rights and interests with priority rights under the Paris Convention for the Protection of Industrial Property, the International Patent Cooperative Union, European Patent Convention, Common Market Convention, or any other Convention or Union for each country of said Convention or Union; and I (we) do hereby authorize and request the Commissioner of Patents to issue the Letters Patent granted on said applications and all future patents granted upon the subject matter disclosed therein to the above-named Assignee, its legal representatives and assigns.

**Full Name of First**

**or Sole Inventor:** Spence Paul A.  
Last Name First Name Middle Name

*Paul A. Spence* May 16 2014  
Signature Date

**Post Office**

**Address:** 5818 Orion Road Louisville, Kentucky 40222  
Post Office Address City, State or County Zip Code

STATE OF KY  
COUNTY OF Jefferson<sup>SS</sup>

On this 16 day of May, 2014 before me personally appeared Paul A. Spence to me known and known by me to be the above-named individual who acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

*M. Andrew Lee*  
Notary Public

(SEAL)

My Commission Expires Oct 17 2017

**Full Name of**

**Second Inventor:** Tompkins Landon H.  
Last Name First Name Middle Name

[Signature] 5/16/14  
Signature Date

**Post Office**

**Address:** 1314 Bluegrass Parkway La Grange, Kentucky 40031  
Post Office Address City, State or County Zip Code

STATE OF KY  
COUNTY OF Jefferson SS

On this 16 day of May, 2014 before me personally appeared Landon H. Tompkins to me known and known by me to be the above-named individual who acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

[Signature]  
Notary Public

(SEAL)

NOTARY PUBLIC EXPIRES Oct. 17 2017

Assignment of Invention and Patents Thereon  
Title: Devices, Systems and Methods of Combining Anchor Placement with Valve Implantation to Create a One Step Implant Procedure