

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT5083595

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
GLYCOVAXYN AG	03/02/2017
RECEIVING PARTY DATA	
Name:	GLAXOSMITHKLINE BIOLOGICALS SA
Street Address:	RUE DE L'INSTITUT 89
City:	RIXENSART
State/Country:	BELGIUM
Postal Code:	B-1330
PROPERTY NUMBERS Total: 15	
Property Type	Number
Application Number:	14522254
Patent Number:	8895014
Patent Number:	9764018
Patent Number:	8846342
Patent Number:	9585950
Application Number:	15414900
Patent Number:	8871491
Application Number:	14434945
Application Number:	16034906
Application Number:	14440311
Application Number:	14655801
Application Number:	15028483
Application Number:	15304557
Application Number:	15101626
Application Number:	15502748
CORRESPONDENCE DATA	
Fax Number:	(610)917-6934
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6109177817

Email: us_cipkop@gsk.com
Correspondent Name: GLAXOSMITHKLINE
Address Line 1: 1250 SOUTH COLLEGEVILLE ROAD
Address Line 2: GLOBAL PATENTS UP4110
Address Line 4: COLLEGEVILLE, OREGON 19426

ATTORNEY DOCKET NUMBER:	LB65502
NAME OF SUBMITTER:	ARLENE E CANNON
SIGNATURE:	/Arlene E Cannon/
DATE SIGNED:	08/06/2018

Total Attachments: 9

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Deed of Assignment

THIS DEED OF ASSIGNMENT (the "Deed") is made the 200 day of MARCH 2017 (the "Effective Date")

BETWEEN:

GLYCOVAXYN AG a company incorporated in Switzerland, under registration number CHE-112.098.213 MWST of the commercial register of the Canton Zurich, whose registered office is at Grabenstrasse 3, 8952 Schlieren, Switzerland ("GLYCOVAXYN");

and

GLAXOSMITHKLINE BIOLOGICALS S.A. a company incorporated in Belgium under registration number 0440 872 918, whose registered office is at Rue de l'Institut 89, B-1330 Rixensart, Belgium, ("GSK").

RECITALS:

- A Pursuant to section 2.1 of the Asset Transfer Agreement entered into between GLYCOVAXYN and GSK dated 30th June, 2015 (the "**Agreement**"), GLYCOVAXYN assigned all patent rights owned by GLYCOVAXYN to GSK.
- B GSK has requested GLYCOVAXYN to assign all the Transferred Patent Rights owned fully or partly by GLYCOVAXYN to GSK and GLYCOVAXYN has agreed to assign such rights, on the terms and conditions set out in the Agreement.
- C Capitalised words used in this Deed and not otherwise defined shall have the meaning set out in the Agreement.

NOW this Deed provides as follows:

- 1 GLYCOVAXYN hereby confirms the assignment with full title guarantee to GSK absolutely of all its right, title and interest throughout the world in and to:
 - (a) All Transferred Patent Rights owned by GLYCOVAXYN listed in Schedule 1 of this Deed;
 - (b) all rights and powers arising or accrued from the said Transferred Patent Rights including the right to take all such proceedings as may be necessary for the recovery of damages and/or other remedies in respect of all infringements whether committed before or after the date of this Deed; and
 - (c) the right to apply for, prosecute and obtain the patents in any part of the world and to claim priority from the application in respect of any subsequent applications, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any supplementary protection certificate, extension or re-issue thereof with the intent that the grant of any patents or similar protection shall be in the name of and vest in GSK.
- 2 GLYCOVAXYN hereby agrees that the relevant authorities in all countries of the world will issue any patents granted for the inventions described in the Transferred Patents Rights listed in Schedule 1 in the name of GSK or its successors or assigns in accordance with this Deed.
- 3 The parties hereby agree that this Deed shall be effective on the Effective Date.

- 6 This Deed (including its recitals), together with the Agreement, constitute the whole and only agreement between the parties relating to the subject matter of this Deed.
- 7 The Deed may be executed in any number of counterparts, and by the parties on separate counterparts, but shall not be effective until each party has executed at least one counterpart. Each counterpart shall constitute an original of this Deed, but all the counterparts shall constitute one and the same instrument.
- 8 This Deed is to be governed by and construed in accordance with Belgian law without regard to its conflicts of laws principles. Any matter, claim or dispute arising out of or in connection with this Deed, whether contractual or non-contractual, is to be governed by and determined in accordance with Belgian law.

IN WITNESS of which this document has been executed by duly authorised representatives of the parties.

Signed by
MARCUS J. W. DALTON
for and on behalf of
GLYCOVAXYN AG

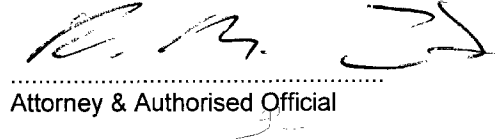
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Attorney & Authorised Official

Date: 2 MARCH 2017

Signed by
RACHEL M. THORNLEY
For and on behalf of
GLAXOSMITHKLINE BIOLOGICALS S.A.

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Attorney & Authorised Official

Date: 2 MARCH 2017

Case No.	Country	Filing No.	Application Number	Application Date	Patent Number	Grant Date
LB65502	Australia		2009215341	19-Feb-2009	2009215341	03-Sep-2015
LB65502	Canada		2716187	19-Feb-2009		
LB65502	China P.R.		200980110137.X	19-Feb-2009	ZL200980110137.X	30-Mar-2016
LB65502	European Patent Convention		09712440.8	19-Feb-2009		
LB65502	Hong Kong		11109025.7	26-Aug-2011		
LB65502	India		6296/DELNP/2010	19-Feb-2009		
LB65502	Israel		207350	19-Feb-2009		
LB65502	Japan	D1	2015-142997	17-Jul-2015		
LB65502	Japan		2010-547265	19-Feb-2009	5782258	24-Jul-2015
LB65502	Republic of Korea		10-2010-7020946	19-Feb-2009		
LB65502	Singapore	D1	10201400320T	03-Mar-2014		
LB65502	Singapore		201005672-9	19-Feb-2009	163829	23-May-2014
LB65502	United States	C1	14/522254	23-Oct-2014		
LB65502	United States		12/735773	19-Feb-2009	8895014	25-Nov-2014
LB65503	Australia		2010322454	16-Nov-2010	2010322454	01-Sep-2016
LB65503	Canada		2780487	16-Nov-2010		
LB65503	China P.R.		201080061239.X	16-Nov-2010	ZL201080061239.X	21-Sep-2016
LB65503	European Patent Convention		10831896.5	16-Nov-2010		
LB65503	Hong Kong		12109680.2	28-Sep-2012		
LB65503	India		4379/CHENP/2012	16-Nov-2010		
LB65503	Israel		219733	16-Nov-2010		
LB65503	Japan	D1	2016-133786	06-Jul-2016		
LB65503	Japan		2012-539870	16-Nov-2010	5968784	15-Jul-2016
LB65503	Republic of Korea		10-2012-7015728	16-Nov-2010		
LB65503	Singapore		201203656-2	16-Nov-2010		
LB65503	United States	C1	14/462261	18-Aug-2014		
LB65503	United States		13/510859	16-Nov-2010	8846342	30-Sep-2014

Case No.	Country	Filing No.	Application Number	Application Date	Patent Number	Grant Date
LB65504	Australia	D1	2016208265	25-Jul-2016		
LB65504	Australia		2011249839	04-May-2011	2011249839	08-Sep-2016
LB65504	Canada		2798381	04-May-2011		
LB65504	China P.R.		201180033560.1	04-May-2011		
LB65504	European Patent Convention		11724567.0	04-May-2011		
LB65504	Hong Kong		13110400.8	06-Sep-2013		
LB65504	India		9358/CHENP/2012	04-May-2011		
LB65504	Israel		222711	04-May-2011		
LB65504	Japan	D1	2016-197813	06-Oct-2016		
LB65504	Japan		2013-508489	04-May-2011		
LB65504	Republic of Korea		2012-7031847	04-May-2011		
LB65504	Singapore		201208153-5	04-May-2011	185433	04-Mar-2016
LB65504	United States	D1	14/494150	23-Sep-2014	9585950	07-Mar-2017
LB65504	United States	D2	15/414900	25-Jan-2017		
LB65504	United States		13/100603	04-May-2011	8871491	28-Oct-2014
LB65505	Australia		2012306345	06-Sep-2012		
LB65505	Canada		2847621	06-Sep-2012		
LB65505	European Patent Convention		12759083.4	06-Sep-2012		
LB65505	Hong Kong		15100196.5	08-Jan-2015		
LB65505	Japan		2014-528983	06-Sep-2012		
LB65505	United States		14/342958	06-Sep-2012		
LB65506	Australia		2013328615	11-Oct-2013		
LB65506	Brazil		BR1120150080529	11-Oct-2013		
LB65506	Canada		2887133	11-Oct-2013		
LB65506	China P.R.		201380065287.X	11-Oct-2013		
LB65506	Egypt		PCT536/2015	11-Oct-2013		
LB65506	Eurasian Patent Convention		201590488	11-Oct-2013		
LB65506	European Patent Convention		13774455.3	11-Oct-2013		
LB65506	India		1360/KOLNP/2015	11-Oct-2013		

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LB65506	Indonesia		P-00201502765	11-Oct-2013		
LB65506	Israel		238024	11-Oct-2013		
LB65506	Japan		2015-536160	11-Oct-2013		
LB65506	Mexico		MX/a/2015/004649	11-Oct-2013		
LB65506	Republic of Korea		10-2015-7012449	11-Oct-2013		
LB65506	Singapore		11201502638Y	11-Oct-2013		
LB65506	South Africa		2015/02433	11-Oct-2013		
LB65506	United States		14/434945	11-Oct-2013		
LB65506	Vietnam		1-2015-01608	11-Oct-2013		
LB65507	Australia		2013343520	07-Nov-2013		
LB65507	Brazil		BR1120150102832	07-Nov-2013		
LB65507	Canada		2889767	07-Nov-2013		
LB65507	China P.R.		201380069524.X	07-Nov-2013		
LB65507	Egypt		690/2015	07-Nov-2013		
LB65507	Eurasian Patent Convention		201590705	07-Nov-2013		
LB65507	European Patent Convention		13788753.5	07-Nov-2013		
LB65507	India		1309/KOLNP/2015	07-Nov-2013		
LB65507	Indonesia		P-00201503304	07-Nov-2013		
LB65507	Israel		238586	07-Nov-2013		
LB65507	Japan		2015-541130	07-Nov-2013		
LB65507	Mexico		MX/a/2015/005797	07-Nov-2013		
LB65507	Republic of Korea		10-2015-7014830	07-Nov-2013		
LB65507	Singapore		11201503308X	07-Nov-2013		
LB65507	South Africa		2015/03097	07-Nov-2013		
LB65507	United States		14/440311	07-Nov-2013		
LB65507	Vietnam		1-2015-02002	07-Nov-2013		
LB65508	Brazil		BR1120150152686	24-Dec-2013		
LB65508	Canada		2896157	24-Dec-2013		
LB65508	China P.R.		201380073162.1	24-Dec-2013		

Case No.	Country	Filing No.	Application Number	Application Date	Patent Number	Grant Date
LB65508	European Patent Convention		13815768.0	24-Dec-2013		
LB65508	India		1897/KOLNP/2015	24-Dec-2013		
LB65508	Japan		2015-550068	24-Dec-2013		
LB65508	United States		14/655801	24-Dec-2013		
LB65653	Australia		2014333700	13-Oct-2014		
LB65653	Brazil		BR1120160077270	13-Oct-2014		
LB65653	Canada		2926523	13-Oct-2014		
LB65653	China P.R.		201480066861.8	13-Oct-2014		
LB65653	Eurasian Patent Convention		201690529	13-Oct-2014		
LB65653	European Patent Convention		14784209.0	13-Oct-2014		
LB65653	India		201637010804	13-Oct-2014		
LB65653	Israel		244791	13-Oct-2014		
LB65653	Japan		2016-521754	13-Oct-2014		
LB65653	Mexico		MX/a/2016/004575	13-Oct-2014		
LB65653	Republic of Korea		10-2016-7012152	13-Oct-2014		
LB65653	Singapore		11201602546R	13-Oct-2014		
LB65653	South Africa		2016/02350	13-Oct-2014		
LB65653	United States		15/028483	13-Oct-2014		
LB65699	Brazil		BR1120160236882	13-Oct-2014		
LB65699	Canada		2945542	13-Oct-2014		
LB65699	China P.R.		201480079804.3	13-Oct-2014		
LB65699	European Patent Convention		14784210.8	13-Oct-2014		
LB65699	India		201617034067	13-Oct-2014		
LB65699	Japan		2016-562754	13-Oct-2014		
LB65699	Mexico		MX/a/2016/013631	13-Oct-2014		
LB65699	United States		15/304557	13-Oct-2014		
VB65864	Australia		2013311534	10-Sep-2013		
VB65864	Brazil		BR1120150048170	10-Sep-2013		
VB65864	Canada		2883000	10-Sep-2013		

Case No.	Country	Filing No.	Application Number	Application Date	Patent Number	Grant Date
VB65864	China P.R.		201380058695.2	10-Sep-2013		
VB65864	European Patent Convention		13762784.0	10-Sep-2013		
VB65864	Hong Kong		16100360.4	13-Jan-2016		
VB65864	India		1365/CHENP/2015	10-Sep-2013		
VB65864	Israel		237522	10-Sep-2013		
VB65864	Japan		2015-530447	10-Sep-2013		
VB65864	Republic of Korea		2015-7005934	10-Sep-2013		
VB65864	Singapore		11201501391Y	10-Sep-2013		
VB65864	United States		14/426496	10-Sep-2013		
VB65871	Argentina		P150100534	23-Feb-2015		
VB65871	Australia		2015220723	23-Feb-2015		
VB65871	Brazil		BR1120160193415	23-Feb-2015		
VB65871	Canada		2940547	23-Feb-2015		
VB65871	Chile		2016-2123	23-Feb-2015		
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VB65871	Colombia		NC2016/0001615	23-Feb-2015		
VB65871	Egypt		1394/2016	23-Feb-2015		
VB65871	Eurasian Patent Convention		201691478	23-Feb-2015		
VB65871	European Patent Convention		15706459.3	23-Feb-2015		
VB65871	Hong Kong					
VB65871	India		PCT/EP2015/053739	23-Feb-2015		
VB65871	Indonesia		P00201605570	23-Feb-2015		
VB65871	Israel		247344	23-Feb-2015		
VB65871	Japan		PCT/EP2015/053739	23-Feb-2015		
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VB65871	New Zealand		723328	23-Feb-2015		
VB65871	Philippines		I2016501671	23-Feb-2015		
VB65871	Republic of Korea		10-2016-7026424	23-Feb-2015		

Case No.	Country	Filing No.	Application Number	Application Date	Patent Number	Grant Date
VB65871	Singapore		11201606889P	23-Feb-2015		
VB65871	South Africa		2016/05858	23-Feb-2015		
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VB65871	Thailand		1601004809	23-Feb-2015		
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VB65872	Australia		2014359277	03-Dec-2014		
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VB65872	Canada		2932225	03-Dec-2014		
VB65872	China P.R.		201480074529.6	03-Dec-2014		
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VB65872	European Patent Convention		14806633.5	03-Dec-2014		
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VB65872	Japan		2016-536196	03-Dec-2014		
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VB65872	United States		15/101626	03-Dec-2014		
VB65873	Argentina		P150102519	06-Aug-2015		
VB65873	Belgium	T	2015/5503	06-Aug-2015	1022565	03-Jun-2016
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VB65873	European Patent Convention		15766054.9	06-Aug-2015		
VB65873	Gulf Cooperation Council		2015/29854	06-Aug-2015		
VB65873	India		201717001815	06-Aug-2015		

Case No.	Country	Filing No.	Application Number	Application Date	Patent Number	Grant Date
VB65873	Japan		2017-506876	06-Aug-2015		
VB65873	Mexico		MX1a/2017/001815	06-Aug-2015		
VB65873	Singapore		11201700325S	06-Aug-2015		
VB65873	United States		15/502748	06-Aug-2015		
VB65874	Patent Cooperation Treaty		PCT/EP2015/081229	24-Dec-2015		
VB65875	Argentina		P150104309	28-Dec-2015		
VB65875	Belgium	T	2015/5846	24-Dec-2015	1022998	28-Oct-2016
VB65875	Gulf Cooperation Council		2015/30670	28-Dec-2015		
VB65875	Patent Cooperation Treaty		PCT/EP2015/081230	24-Dec-2015		