

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5087318

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	MERGER
EFFECTIVE DATE:	11/17/2016
CONVEYING PARTY DATA	
Name	Execution Date
EPISTAR CORPORATION	11/17/2016
HUGA OPTOTECH INC.	11/17/2016
FORMOSA EPITAXY INC.	11/17/2016
RECEIVING PARTY DATA	
Name:	EPISTAR CORPORATION
Street Address:	5 LI-HSIN 5TH RD., SCIENCE-BASED INDUSTRIAL PARK
City:	HSINCHU
State/Country:	TAIWAN
Postal Code:	300
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	14309750
Application Number:	14506364
CORRESPONDENCE DATA	
Fax Number:	(703)621-7155
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	7036217140
Email:	mailroom@mg-ip.com,asc@mg-ip.com
Correspondent Name:	MUNCY, GEISLER, OLDS & LOWE, P.C.
Address Line 1:	4000 LEGATO RD., SUITE 310
Address Line 4:	FAIRFAX, VIRGINIA 22033
ATTORNEY DOCKET NUMBER:	5610/0551M
NAME OF SUBMITTER:	JOE MCKINNEY MUNCY
SIGNATURE:	/Joe McKinney Muncy/
DATE SIGNED:	08/07/2018
Total Attachments: 18	
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STATEMENT OF ACCURACY OF TRANSLATION

The undersigned hereby declares the following:

That I am knowledgeable in Chinese and English and hereby state that the attached English translation is a true and accurate translation to the best of my knowledge and belief of the attached Change of Registry which were filed in the Science Park Administration, Ministry of Science and Technology and approved by same on November 17, 2016.

Dated: July 7, 2017

Signature: Pei Chi Wu

Typed Name: Pei-Chi WU

*Attached Documents:

1. Correspondence from the Science Park Administration, Ministry of Science and Technology for the merger of Epistar Corp., Huga Optotech Inc. and Formosa Epitaxy Inc., and its English translation.
2. Change Registration Form of Epistar Corp. and its English translation.

(Translation)
Original

File number :
Retention year cycle :

CORRESPONDENCE

Science Park Administration, Ministry of Science and Technology

Address : No. 2, Xinan Rd., Hsinchu City
30016, Taiwan, R.O.C.
Liaison : LIAO, MIN-YIN
Contact Tel. : 03-5773311, ext. 2417
Fax : 03-5788032
E-mail : minyin@sipa.gov.tw

300 P-post forwarding

E-1B, No. 1, Lixing 1st Rd., Hsinchu City, Hsinchu Science and Industrial Park

Recipient: Epistar Corporation; agent: C.P.A., WANG, WEI-CHEN of
PricewaterhouseCoopers Taiwan

Issue Date : Nov. 17, 2016
Document issuance reference No. : Zhu-shang-zi No. 1050032418
Classification :
Confidentiality and declassifying criterion or duration of confidentiality:
Enclosure : 1 copy of the change registration form

Subject: please be advised that your esteemed company has applied of the merging and sustaining existence change registration filing between Huga Optotech Inc. (with Uniform No.: 16497110) and Formosa Epitaxy Incorporation (with Uniform No.: 70754136) has been approved for registration.

Description:

- I. The filing has been processed per your esteemed company agent PricewaterhouseCoopers Taiwan's October 14, 2016 zi-shui-zi No. 16007838 application and Nov. 15, 2016 retroactively submitted documents.
- II. Name of the ruling recipient: Epistar Corporation (name of representative: LEE, BIING-JYE, I.D. Card: H10219xxxx), registered company office: No. 5, Lixing 5th Road, Hsinchu City, Hsinchu Science and Industrial Park.
- III. Enclosed please find one copy of the change registration form.
- IV. In regard to the portion of the nationwide health insurance, please submit relevant forms on your own to various regional operations section of National Health Insurance Administration, Ministry of Health and Welfare to file for the insurance subscription entity change matters; for pertinent regulations, please log on to said administrations' WWW information Web site (at <http://www.nhi.gov.tw>) for references.
- V. When contesting the ruling, please bring an appeal per the Appeals Act, and per said law's article 14 and article 58 stipulations, the appellant is to prepare the statement of appeal, which is to be forwarded to the administration (at the address: No. 2, Xinan Road, Hsinchu City) within 30 days from the following day the ruling is delivered (heeding to the actual appeal statement receiving date, rather than the posting date), for an appeal to be filed with the Ministry of Science and Technology.

Original : Epistar Corporation; agent: C.P.A., WANG, WEI-CHEN of PricewaterhouseCoopers Taiwan
Also notify : Investment Section, Industry/Commerce Section, Industry/Commerce Section Security Safeguard
Division of the Ministry

WANG, YUNG-CHUANG
Office Director

The case has been authorized to the section head for ruling and execution per the government hierarchy responsibility scheme regulations.

Office document paper for Science Park Administration, Ministry of Science and Technology

正本

檔 號：

保存年限：

科技部新竹科學工業園區管理局 函

地址：30016 新竹市新安路2號

聯絡人：廖敏吟

電話：03-5773311 分機 2417

傳真：03-5788032

電子信箱：minylin@sipa.gov.tw



300 P-郵寄

新竹科學工業園區新竹市力行一路1號E-1B

受文者：晶元光電股份有限公司代理人：資誠聯合會計師事務所王

偉臣會計師

發文日期：中華民國105年11月17日

發文字號：竹商字第1050032418號

速別：

密等及解密條件或保密期限：

附件：變更登記表1份

主旨：貴公司申請與廣鎔光電股份有限公司(統一編號：16497110)及臻圓光電股份有限公司(統一編號：70754136)合併存續變更登記一案，准予登記，請查照。

說明：

- 一、依貴公司代理人資誠聯合會計師事務所105年10月14日資稅字第16007838號申請書及105年11月15日補送文件辦理。
- 二、處分相對人名稱：晶元光電股份有限公司(代表人姓名：李秉傑、身分證照號碼：H10219XXXX)、公司所在地：新竹科學工業園區新竹市力行五路5號。
- 三、檢附變更登記表1份。
- 四、有關全民健康保險部分，請檢送相關表件自行向衛生福利部中央健康保險署各分區業務組，辦理投保單位變更事宜，相關規定請至該署全球資訊網(<http://www.nhi.gov.tw>)參閱。
- 五、如不服本處分者請依訴願法提起訴願，爰依該法第14條及第58條規定，自本處分書送達之次日起30日內(以實際收受訴願書之日期為準，而非投郵日)，繕具訴願書，送由本局(地址：新竹市新安路2號)向科技部提起訴願。

正本：晶元光電股份有限公司代理人：資誠聯合會計師事務所王偉臣會計師

副本：本局投資組、工商組、工商組安全防護科

局長 王永壯

本處依照分層負責規定
授權單位主管執行



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訂

版

CHANGE REGISTRATION FORM

Totaling 6 Pages, Page 1

Pre-check No.	
Business Registration No.	84149786
Contact Telephone No.	(03)5678000
Overseas Investing Business	Yes
Public Offering	Yes
Mainland Capital	Yes
Shareholder(s) of Closely-held Corporation	Blank
Company's Original Name	Epistar Corporation

(Endorsement of Company)

(Endorsement of Person-in-Charge)

Epistar Corporation	LEE, BIING-JYE
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Please use oil-based ink when affixing seal and keep seal within the borders of the box.

1.	Name of Company (after changed)	Epistar Corporation
2.	Location of Company	No. 5, Lixing 5 th Road, Hsinchu City, Hsinchu Science and Industrial Park (300), Taiwan, R.O.C.
3.	Person-in-charge	LEE, BIING-JYE
4.	Value Per Share	NT\$10
5.	Total Capital	NT\$13,000,000,000
✓	6. Total Paid-up Capital	NT\$10,915,491,900
	7. Total Shares	1,300,000,000 shares
✓	8. Total Shares Issued	1. Common Stock - 1,091,549,190 shares 2. Special Stock - shares
	9. Number & Tenure of Director	9-15 persons From June 17, 2016 to June 16, 2019 (Including Independent Director not less than 2 people, also not less than 1/5 of Board of Directors)
	10. <input type="checkbox"/> Number & Tenure of Supervisor or <input checked="" type="checkbox"/> Audit Committee	Person(s) From to The Company is installed with Audit Committee and is comprised with all independent directors. The Committee is acting in lieu of Supervisors
✓	11. Date of Company Articles Established	June 29, 2015

※Change Registration Date No.:

※File No.:

The public affairs seal column

Company Registration Seal of Science Park Administration, Ministry of Science and Technology
() Name of Company () Location of Company () Amendment of Articles () Business Items
() Capital Increase () Capital Reduction () New Share Issuance
() Change of Directors and Supervisors () Manager () Endorsement
() Other (✓) Merger and sustaining
(Special seal of Company Registration Form, Science Park Administration, Ministry of Science and Technology on Nov. 17, 2016)

PATENT

REEL: 046577 FRAME: 0600

1. The application form comes in one format with duplicate, and following the approved processing, one copy is to be retained at the approval processing unit, and one copy is to be returned to the application company for retention.
2. In support of the computerized processing, please type set or computer process it clearly in black ink, and please use the Arabic numbers for the numeral number portions, and please do not fold, mend, paste or erase the application form.
3. *For the applicant, please do not enter the application fields, such as the company change registration date number, and file number.
4. When falsely declaring the capital in breach of the Corporate Law to result in entering the untrue company capitalization, the company director may receive a maximum imprisonment term of up to five years.
5. In support of the postal delivery work, please enter the postal zip code in the mailing address.
6. Please mark ■ before "Number & Tenure of Supervisor", and fill out number and tenure; or mark ■ before "Audit Committee", number and tenure are not necessary in the column 10 in accordance with the articles of company.
7. Closely-held Corporation shall fill out the shareholder count, and of those not contributing in cash shall enter in the articles of incorporation the approved share count awarded and the offset amount (pertaining to share contribution in the form of credit, labor rendered and only applied to Closely-held Corporation).

CHANGE REGISTRATION FORM OF EPISTAR CORPORATION

Check when modified ✓	12. Detail of Current Increasing Share Capital (if the share capital is the merger of item 9, 10, 11, 12 please fill out the column 14)		
	Assets Increasing	1. Cash	NT\$0
		2. Property share(s)	NT\$0
		3. Technique share(s)	NT\$0
		4. Share swap	NT\$0
		5. Share conversion by stock warrant voucher	NT\$0
	Equity Adjustments	6. Additional paid-in capital	NT\$0
		7. Legal reserve	NT\$0
		8. Dividend and bonus	NT\$0
	Merger and Acquisition	9. Merger	NT\$0
		10. Division	NT\$0
		11. Share exchange	NT\$0
	Other	12. Acquisition	NT\$0
		13. Creditor's rights serving as payment of shares	NT\$0
		14. Convertible corporate bonds shares	NT\$0
		15. Credit share(s)	NT\$0
		16. Labor service share(s)	NT\$0
	✓	13. Detail of Current Decreasing Share Capital	1. Losses covered
		2. Refund of shares	NT\$0
		3. Writing off treasury stock	NT\$0
		4. Elimination shares due to merger	NT\$3,308,710
		5. Capital reduction due to division	NT\$0
		6. Redeem from preferred stock	NT\$0
		7. Writing off Restricted Employee New Shares	NT\$0

Following pages: YES ☒

Following pages: NO ☐

The public affairs seal column
(Special seal of Company Registration Form, Science Park Administration, Ministry of Science and Technology on Nov. 17, 2016)

✓	14. Details of Merged Company			
	Type of Acquisition	Acquisition Date	Information Regarding the Company Being Merged	
			Business Registration No.	Name of the Company
	Merger	Dec. 30, 2005	84149104	United Epitaxy Co., Ltd.
	Merger	March 1, 2007	70769216	South Epitaxy Corp.
	Merger	March 1, 2007	70776482	Highlink Technology Corporation
	Share exchange	Dec. 28, 2012	16497110	Huga Optotech Inc.
	Share exchange	Dec. 30, 2014	70754136	Formosa Epitaxy Incorporation
	Merger	June 29, 2015	28113223	CHIP STAR Ltd.
	Merger	Sep. 29, 2016	16497110	Huga Optotech Inc.
	Merger	Sep. 29, 2016	70754136	Formosa Epitaxy Incorporation

Following pages: YES ☒

Following pages: NO ☐

The public affairs seal column
(Special seal of Company Registration Form, Science Park Administration, Ministry of Science and Technology on Nov. 17, 2016)

CHANGE REGISTRATION FORM OF EPISTAR CORPORATION

Check what changed	Business Items		
	No.	Code	Description of Business Items
	1	CC01080	Electronic Parts and Components Manufacturing (Limited to Standard Industrial Classification of the Republic of China: 2642 Light-Emitting Diode Manufacturing, 2649 Other Optoelectronic Materials and Components Manufacturing and 2699 Other Electronic Parts and Components Manufacturing Not Classified)
	2	C802990	Other Chemical Products Manufacturing (Limited to Standard Industrial Classification of the Republic of China: 1990 Other Chemical Products Manufacturing and 2005 In-Vitro Diagnostic Reagent Manufacturing; the products manufactured mentioned above are not toxic chemicals prohibited from manufacturing by "Toxic Chemical Material Administration Law"; not military dynamite detonator, flammable and combustible liquids and fulminate; not explosive products and pyrotechnic composition used for business and also not the manufacturer of raw materials of low and high explosives mentioned above.)
			(I) Researching, developing, manufacturing and selling the following products:
			1. AlGaInP Epi Wafer & Chips
			2. AlGaAs Epi Wafer & Chips
			3. InGaN Epi Wafer & Chips
			4. PHEMT
			5. InP-based HBT
			6. GaAsP Epi Wafer & Chips
			7. GaP Epi Wafer & Chips
			8. AlGaInN Epi Wafer & Chips
			9. GaInAsP Epi Wafer & Chips
			10. Photoelectric Sensor Device
			11. Epi Wafer Used for Microwave Transmission
			12. Epi Wafer & Chips Used for Fiber-optic Communication
			13. Light Emitting Diode Module
			14. System and components applicable of the products mentioned above
			15. Fluorescent Powder
			(II) Also operating the import and export trade business relating to the company's business

Following pages: YES ☒Following pages: NO ☐

The public affairs seal column
(Special seal of Company Registration Form, Science Park Administration, Ministry of Science and Technology on Nov. 17, 2016)

CHANGE REGISTRATION FORM OF CHIP STAR LTD.

Check w/iam	Name List of Director, Supervisor or Other Person-in-charge				
	No.	Position	Name	I.D. Card No.	Shares Held
			Address		
	1	Chairman	LEE, BIING-JYE	H1020	1,704,495
		Hsinchu City (300)			
	2	Director	Everlight Electronics Co., Ltd.	34516147	19,800,175
		No. 6-8, Zhonghua Rd., Shulin Dist., New Taipei City (238)			
	3	Director	CHEN, CHIH-YUAN	A1222	4,455,569
		Taipei City (106)			
	4	Director	WU, NAN-YANG	E1214	3,134,741
		Taipei City (106)			
	5	Director	YEN, PO-WEN	G1201	10,714,991
		Hsinchu County (302)			
	6	Director	CHOU, M ING-CHUN	A1202	866,667
		Hsinchu County (302)			
	7	Independent Director	SHEN, WEI-MIN	A1202	0
		Taichung City (404)			
	8	Independent Director	WU, FENG-HSIANG	P1217	0
		New Taipei City (235)			
	9	Independent Director	LIANG, CHI-YEN	N1212	0
		Taipei City (110)			

Following pages: YES ☒Following pages: NO ☐

The public affairs seal column	
(Special seal of Company Registration Form, Science Park Administration, Ministry of Science and Technology on Nov. 17, 2016)	

Check when	Name List of Manager			
	No.	Name	I.D. Card No.	Date of Employment
	1	CHOU, MING-CHUN	A1202	Jan. 1, 2009
		Hsinchu County (302)		

Check when	Legal Representative			
	No.	Director & Supervisor No.	Name of Legal Representative	Uniform No.
	1	03 ~ 03	Fontainebleau Co., Ltd.	37511341
			4F, No. 161, Sec. 2, Minsheng E. Rd., Zhongshan Dist., Taipei City (104)	
	2	04 ~ 04	Yi-Te Technology Co., Ltd.	12667649
			5F, No. 161, Sec. 2, Minsheng E. Rd., Zhongshan Dist., Taipei City (104)	
	3	05 ~ 05	United Microelectronics Corporation	47217677
			No. 3, Lixing 2 nd Road, Hsinchu City, Hsinchu Science and Industrial Park (300)	

Following pages: YES ☐

Following pages: NO ☒

The public affairs seal column	
(Special seal of Company Registration Form, Science Park Administration, Ministry of Science and Technology on Nov. 17, 2016)	

晶元光電

股份有限公司變更登記表

變更事項 打✓	十二、本次股本增加明細 (股本若為9、10、11、12之併購者，請加填第十四欄)	資產增加	1. 現金	元	
			2. 財產	股、元	
			3. 技術	股、元	
			4. 股份交換	元	
			5. 認股權憑證轉換股份	元	
		權益科目調整	6. 資本公積	元	
			7. 法定盈餘公積	元	
			8. 股息及紅利	元	
		併購	9. 合併	元	
			10. 分割受讓	元	
			11. 股份轉換	元	
			12. 收購	元	
		其他	13. 債權抵繳股款	元	
			14. 公司債轉換股份	元	
			15. 信用	股、元	
			16. 勞務	股、元	
✓	十三、本次股本減少明細	1. 彌補虧損	元	2. 退還股款	元
		3. 註銷庫藏股	元	4. 合併銷除股份	3,308,710 元
		5. 分割減資	元	6. 收回特別股	元
		7. 註銷限制員工權利新股元			元

有續頁請打✓ ☒無續頁請打✓ ☐

公務記載蓋章欄



晶元光電

股份有限公司變更登記表

十四、被併購公司資料明細			
併購種類	併購基準日	被併購公司	
		統一編號	公司名稱
吸收合併	94年12月30日	84149104	國聯光電科技股份有限公司
✓ 吸收合併	96年03月01日	70769216	元碁光電科技股份有限公司
吸收合併	96年03月01日	70776482	連勇科技股份有限公司
股份轉換	101年12月28日	16497110	廣錄光電股份有限公司
股份轉換	103年12月30日	70754136	臻圓光電股份有限公司
吸收合併	104年06月29日	28113223	元芯光電股份有限公司
吸收合併	105年09月29日	16497110	廣錄光電股份有限公司
吸收合併	105年09月29日	70754136	臻圓光電股份有限公司

有續頁請打✓ ☒無續頁請打✓ ☐

公務記載蓋章欄

公司登記表
專用章

晶元光電

股份有限公司變更登記表

所 營 事 業			
變更 時間 打	編號	代 碼	營 業 項 目 說 明
	1	C C 0 1 0 8 0	電子零組件製造業。(限中華民國行業標準分類 2642 發光二極體製造業、2649 其他光電材料及元件製造業及 2699 未分類其他電子零組件製造業)
	2	C 8 0 2 9 9 0	其他化學製品製造業。(限中華民國行業標準分類 1990 其他化學製品製造業及 2005 體外檢驗試劑製造業；非屬「毒性化學物質管理法」禁止製造之毒性化學物；非屬軍用之火藥引信、導火劑、雷汞；非屬經營事業用之炸藥成品、火工製品及前揭 2 項爆炸物之火藥類與炸藥類原料之製造者。)
			(一)研究、開發、製造、銷售下列產品：
			1. 磷化鋁鎵銻磊晶片及晶粒(AlGaInP Epi Wafer & Chips)
			2. 砷化鋁鎵磊晶片及晶粒(AlGaAs Epi Wafer & Chips)
			3. 氮化鎵鎵磊晶片及晶粒(InGaP Epi Wafer & Chips)
			4. 假晶高電子移動率場效電晶體磊晶片(PHEMT)
			5. 異質接面雙載子電晶體磊晶片 (InP-based HBT)
			6. 磷砷化鎵 GaAsP 磊晶片及晶粒
			7. 磷化鎵 GaP 磊晶片及晶粒
			8. 氮化鋁鎵銻 AlGaInN 磊晶片及晶粒
			9. 磷砷化鎵銻 GaInAsP 磊晶片及晶粒
			10. 光電偵測元件
			11. 微波通訊用磊晶片
			12. 光纖通訊用磊晶片及晶粒
			13. 發光二極體及其模組
			14. 上述各產品之系統及應用零組件
			15. 螢光粉
			(二)兼營與本公司業務相關之進出口貿易業務

有續頁請打 ☒無續頁請打 ☐

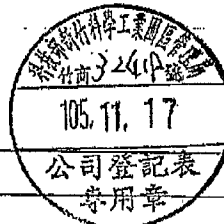
公務記載蓋章欄



晶元光電

股份有限公司變更登記表

董事、監察人 或 其他負責人 名單				
變 更 時 打	編號	職 稱	姓名(或法人名稱)	身分證號(或法人統一編號) 持有股份(股)
(郵遞區號) 住 所 或 居 所 (或 法 人 所 在 地)				
	1	董事長	李秉傑	H1021 1,704,495
		(300) 新竹市		
	2	董事	億光電子工業股份有限公司	34516147 19,800,175
		(238) 新北市樹林區中華路6-8號		
	3	董事	陳致遠	A1222 4,455,569
		(106) 台北市		
	4	董事	吳南陽	E1214 3,134,741
		(106) 台北市		
	5	董事	顏博文	G1201 10,714,991
		(302) 新竹縣		
	6	董事	周銘俊	A1202 866,667
		(302) 新竹縣		
	7	獨立董事	沈維民	A1202 0
		(404) 台中市		
	8	獨立董事	吳豐祥	P1217 0
		(235) 新北市		
	9	獨立董事	梁基岩	N1212 0
		(110) 台北市		

有續頁請打 ☒無續頁請打 ☐

公務記載蓋章欄

公司登記表
專用章

晶元光電

股份有限公司變更登記表

經 理 人 名 單			
變更 時間 打	編號	姓 名	身 分 證 統 一 編 號
		(郵遞區號) 住 所	或 居 所
	1	周銘俊	A1202
		(302) 新竹縣	

所 代 表 法 人			
變更 時間 打	編號	董 監 事 編 號	所 代 表 法 人 名 稱
		(郵遞區號) 法 人 所 在 地	法 人 統 一 編 號
	1	03 ~ 03	楓丹白露股份有限公司
		(104) 台北市中山區民生東路二段161號四樓	
	2	04 ~ 04	誼德光電科技股份有限公司
		(104) 台北市中山區民生東路二段161號五樓	
	3	05 ~ 05	聯華電子股份有限公司
		(300) 新竹科學工業園區新竹市力行二路3號	

有續頁請打 ☐無續頁請打 ☒

公務記載蓋章欄