## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT5099063

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
MICHAEL DAVID BENTLEY	11/03/2009
J. MILTON HARRIS	11/09/2009
KUNSANG YOON	11/03/2009
ZHIHAO FANG	11/03/2009
FRANCESCO MARIA VERONESE	11/12/2009

#### RECEIVING PARTY DATA

Name:	SERINA THERAPEUTICS, INC.
Street Address:	601 GENOME WAY, SUITE 3400
City:	HUNTSVILLE
State/Country:	ALABAMA
Postal Code:	35806

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15453686

#### **CORRESPONDENCE DATA**

Fax Number: (205)218-5053

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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**Correspondent Name:** THOMAS G. PETERSON

Address Line 1: 1901 SIXTH AVENUE NORTH, SUITE 2400

Address Line 4: BIRMINGHAM, ALABAMA 35203

NAME OF SUBMITTER:	THOMAS G. PETERSON	
SIGNATURE:	/TGP/	
DATE SIGNED:	08/21/2018	

#### **Total Attachments: 5**

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### ASSIGNMENT OF PATENT APPLICATION

## FOR GOOD AND VALUABLE CONSIDERATION,

The receipt of which is hereby expressly acknowledged, the undersigned do hereby agree to assign and do hereby sell, assign and transfer unto **Serina Therapeutics**, **Inc** ("Assignee"), a corporation organized under the laws of the State of **Delaware**, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain patent applications and inventions relating to

# ACTIVATED POLYOXAZOLINES AND COMPOSITIONS COMPRISING THE SAME

as described in Patent Cooperation Treaty Application No. PCT/US08/002626 and filed February 28, 2008 and the invention(s) and improvement(s) set forth therein, any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said applications and their equivalents in foreign jurisdictions, all applications claiming priority to or the benefit of said applications, including patent applications filed in foreign jurisdictions or under any international convention (specifically including any national phase filing of PCT/US08/002626 as listed on appendix A), and all the rights and privileges under any and all Patent that may be granted therefore in any country, and any reissues, or reexaminations, or extensions of patent granted from any of the above and their equivalents in foreign jurisdictions. I/We request that any and all Patents issuing from said applications be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

I/We agree that, when requested, we will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

I/We authorize and empower said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

I/We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

I/We covenant with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

I/We hereby authorize our legal representative or other third party to insert any required information above and the application serial numbers of any application claiming priority to or benefit of Application No. PCT/US08/002626 as listed in Appendix A.

# Appendix A

Country	Filing Date	Application No	Status
CN	10-27-2009	Unknown	pending
EP	09-25-2009	08726203.6	pending
IN	09-25-2009	5699/CHENP/2009	pending
JP	08-27-2009	Unknown	pending
KR	09-25-2009	10-2009-7020124	pending
US	08-27-2009	12/529,001	pending

I

N WITNESS WHEREOF, I/W ave hereunto signed on the day and ye et forth below.
Inventor (signature)  November 3, 2009  DATE
Michael David Bentley (Inventor's Typed Name)
STATE OF ALABAMA )
COUNTY OF MADISON ) SS
On this 3rd day of November, 2009, before me personally came the above named Michael David Bentley who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he executed the same of his own free will for the use and purposes therein set forth.
Evaneure 91 Chr NOTARY PUBLIC
My Commission Expires: 11-2-2013
Miller Hami Nov. 9, 2009 Inventor (signature)  DATE
J. Milton Harris (Inventor's Typed Name)
STATE OF ALABAMA )
COUNTY OF MADISON ) SS
On this 9th day of November, 2009, before me personally came the above named J. Milton Harris who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he executed the same of his own free will for the use and purposes therein set forth.
Wanevie Gran NOTARY PUBLIC

PATENT REEL: 046639 FRAME: 0448

My Commission Expires: November 2, 2013

Inventor (signature)	November 3, 2009  DATE
Kunsang Yoon	
(Inventor's Typed Name)	
STATE OF ALABAMA	)
COUNTY OF MADISON	) SS )
On this 3rd day of Novamber	, 2009, before me personally came the above named Kunsan
	it, and who acknowledged to me that he executed the same individual
	Warreno 36 en NOTARY PUBLIC
	My Commission Expires: November 2,2013
Inventor (signature)	November 3, 2009 DATE
Zhihao Fang	
(Inventor's Typed Name)	
STATE OF ALABAMA	
COUNTY OF MADISON	) SS )
i	, 2009, before me personally came the above named Zhihao Fang e on the basis of satisfactory evidence to be the same individual who who acknowledged to me that he executed the same of his own free forth.
	Elamarce go em

PATENT REEL: 046639 FRAME: 0449

My Commission Expires: November 2, 2013

Franch Weenen	Mosula 12.2009
Inventor (signature)	DATE
<u>Francesco Maria Veronese</u> (Inventor's Typed Name)	

Executed in Huntsville, Alabama, on the date specified next to the signature of Francesco Maria Veronese

State of Alabama County of Madison

On November 12<sup>1\*</sup>, 2009 Francesco Veronese personally appeared before me, who is personally known to me (eGreen) to be the signer of the above instrument, and he/she acknowledged that he/she signed it.

Notary Public

My commission expires

November 2, 2013

**PATENT** REEL: 046639 FRAME: 0450

**RECORDED: 08/21/2018**