

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT5110174

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT

**CONVEYING PARTY DATA**

Name	Execution Date
DAQING SUN	12/20/2016
LAWRENCE R. MCGEE	12/20/2016
XIAOHUI DU	12/20/2016
LIUSHENG ZHU	12/20/2016
XUELEI YAN	12/20/2016
YOSUP REW	12/20/2016
JOHN EKSTEROWICZ	12/20/2016
JULIO C. MEDINA	12/19/2016
HAIYING ZHOU	12/20/2016
MINNA DELARAE BALBAS	12/19/2016
VALERIA R. FANTIN	12/20/2016

**RECEIVING PARTY DATA**

<b>Name:</b>	ORIC PHARMACEUTICALS, INC.
<b>Street Address:</b>	240 E. GRAND AVENUE
<b>Internal Address:</b>	2ND FLOOR
<b>City:</b>	SOUTH SAN FRANCISCO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94080

**PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16065625

**CORRESPONDENCE DATA**

Fax Number: (650)493-6811

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

Phone: 858-350-2300

Email: patentdocket@wsgr.com, lford@wsgr.com

Correspondent Name: WILSON SONSINI GOODRICH &amp; ROSATI

Address Line 1: 650 PAGE MILL ROAD

Address Line 4: PALO ALTO, CALIFORNIA 94304

**PATENT**

<b>ATTORNEY DOCKET NUMBER:</b>	47134-704.831
<b>NAME OF SUBMITTER:</b>	LORI FORD
<b>SIGNATURE:</b>	/Lori Ford/
<b>DATE SIGNED:</b>	08/24/2018

**Total Attachments: 10**

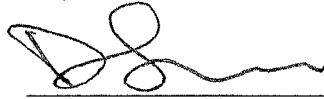
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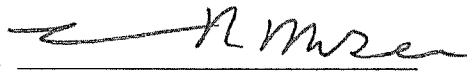
AFFIDAVIT


State of California  
County of San Mateo

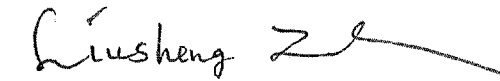
On March 2, 2017, before me, Daniel Kim, Notary Public, personally appeared Daqing Sun, Lawrence R. McGee, Xiaohui Du, Liusheng Zhu, Xuelei Yan, Yosup Rew, John Eksterowicz, Julio C. Medina, Haiying Zhou, Minna Delarae Balbas, and Valeria R. Fantin (together, the "Affiants"), who being duly sworn according to law, depose to the following:

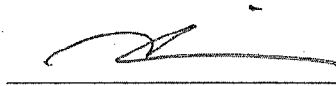
On December 19, 2016, Julio C. Medina and Minna Delarae Balbas signed the attached Patent Assignment for Attorney Docket No. 47134-704.601. On December 20, 2016, Daqing Sun, Lawrence R. McGee, Xiaohui Du, Liusheng Zhu, Xuelei Yan, Yosup Rew, John Eksterowicz, Haiying Zhou, and Valeria R. Fantin signed the attached Patent Assignment for Attorney Docket No. 47134-704.601. The Affiants further certify that the attached is an accurate and true copy of the original Patent Assignment.

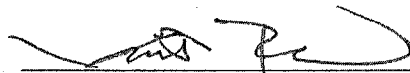
  
\_\_\_\_\_  
Daqing Sun


  
\_\_\_\_\_  
Lawrence R. McGee

  
\_\_\_\_\_  
Xiaohui Du

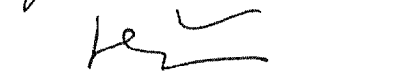
  
\_\_\_\_\_  
Liusheng Zhu

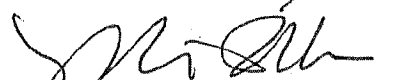
  
\_\_\_\_\_  
Xuelei Yan

  
\_\_\_\_\_  
Yosup Rew

  
\_\_\_\_\_  
John Eksterowicz

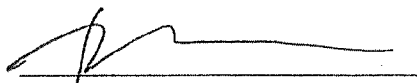
  
\_\_\_\_\_  
Julio C. Medina

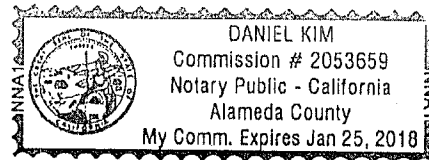
  
\_\_\_\_\_  
Haiying Zhou

  
\_\_\_\_\_  
Minna Delarae Balbas

  
\_\_\_\_\_  
Valeria R. Fantin

Subscribed and sworn to before me this 2<sup>nd</sup> day of March, 2017.

  
\_\_\_\_\_  
Daniel Kim, Notary Public  
My Commission Expires: 1/25/2018



## PATENT ASSIGNMENT

Docket Number 47134-704.601

WHEREAS, the undersigned:

- |   |   |  |   |
|---|---|--|---|
| 1. Daqing SUN<br>804 Cortez Lane<br>Foster City, CA 94404           | 2. Lawrence R. McGEE<br>39 Big Sur Way<br>Pacifica, CA 94044                    | 3. Xiaohui DU<br>2735 Wemberly Drive<br>Belmont, CA 94002                    | 4. Liusheng ZHU<br>752 Orion Lane<br>Foster City, CA 94404    |
| 5. Xuelei YAN<br>1120 Nimitz Lane<br>Foster City, CA 94404          | 6. Yosup REW<br>828 June Lane<br>Foster City, CA 94404                          | 7. John EKSTEROWICZ<br>1845 Church Street<br>San Francisco, CA 94131         | 8. Julio C. MEDINA<br>2879 Roland Ave<br>San Carlos, CA 94070 |
| 9. Haiying ZHOU<br>280 San Felipe Ave, Apt 3<br>San Bruno, CA 94066 | 10. Minna Delarae BALBAS<br>615 Monterey Blvd, Apt 2<br>San Francisco, CA 94127 | 11. Valeria R. FANTIN<br>3133 Frontera Way, Apt. 217<br>Burlingame, CA 94010 |   |

(hereinafter "Inventor(s)), have invented certain new and useful improvements in

**INHIBITORS OF GLUCOCORTICOID RECEPTOR**

- for which a United States patent application is executed on even date herewith;
- for which application serial number \_\_\_\_\_ was filed on \_\_\_\_\_ in the United States Patent and Trademark Office;
- for which application serial number \_\_\_ was filed on \_\_\_ in the U.S. Receiving Office of the Patent Cooperation Treaty;
- for which application serial number \_\_\_ was filed on \_\_\_ in the \_\_\_ Patent Office; and/or
- for which an application was filed upon which a United States Patent issued on \_\_\_, as U.S. Patent No.
- for which a PCT application will be filed on or before December 23, 2016 in the US Receiving Office of the Patent Cooperation Treaty which will claim priority to US Application No. 62/387,253.

(hereinafter, "Application(s)"). The term "Application(s)" also includes all patent applications that share or claim priority to or from the above application(s).

WHEREAS, ORIC Pharmaceuticals, Inc., a corporation of the State OR Commonwealth of Delaware, having a place of business at 240 E. Grand Avenue, 2<sup>nd</sup> Floor, South San Francisco, CA 94080, USA (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s), and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise (hereinafter "Patent(s)").

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

1. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions; (b) in and to said Applications, including the right to claim priority to and from said Application(s); (c) in and to each and every application that is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); (d) in and to said Patent(s) and each and every patent issuing or reissuing from any of the foregoing; (e) in and to each and every reissue, reexamination, renewal or extension of any kind of any of the foregoing; (f) in and to each and every patent and application filed outside the United States and corresponding to any of the foregoing; and (g) in and to all claims for past, present and future infringement of the Patent(s), including all rights to sue for and to receive and recover for Assignee's own use all past, present, and future lost profits, royalties, and damages of whatever nature recoverable from an infringement of the Patent(s).

2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that reasonable expenses incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other

PATENT ASSIGNMENT

Docket Number 47134-704.601

legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.

4. Said Inventor(s) hereby warrant, represent and covenant that said Inventor(s) have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

6. This instrument will be interpreted and construed in accordance with the laws of the State of California, without regard to conflict of law principles. If any provision of this instrument is found to be illegal or unenforceable, the other provisions shall remain effective and enforceable to the greatest extent permitted by law. This instrument may be executed in counterparts, each of which is deemed an original, but all of which together constitute one and the same agreement.

IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:

Date: 12/20/16 Daqing SUN

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California )
County of )
On before me,
Date Here Insert Name and Title of the Officer
personally appeared Daqing SUN
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Signature of Notary Public

Place Notary Seal Above

Date: 20 Dec 2016 Lawrence R. McGEE

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California )
County of )
On before me,
Date Here Insert Name and Title of the Officer
personally appeared Lawrence R. McGEE
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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PATENT ASSIGNMENT

Docket Number 47134-704.601

Date: 12/20/16

Xiaohui DU

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State of California )
County of )
On before me, Here Insert Name and Title of the Officer
personally appeared Xiaohui DU
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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WITNESS my hand and official seal.

Signature Signature of Notary Public

Place Notary Seal Above

Date: 12/20/2016

Liu Sheng ZHU

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California )
County of )
On before me, Here Insert Name and Title of the Officer
personally appeared Liu Sheng ZHU
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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WITNESS my hand and official seal.

Signature Signature of Notary Public

Place Notary Seal Above

Date: Xuelei YAN

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State of California )
County of )
On before me, Here Insert Name and Title of the Officer
personally appeared Xuelei YAN
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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PATENT ASSIGNMENT

Docket Number 47134-704.601

Date: \_\_\_\_\_  
Xiaohui DU

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State of California )  
County of \_\_\_\_\_ )  
On \_\_\_\_\_ before me, \_\_\_\_\_  
Date Here Insert Name and Title of the Officer  
personally appeared Xiaohui DU  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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WITNESS my hand and official seal.

Signature \_\_\_\_\_  
Signature of Notary Public

Place Notary Seal Above

Date: \_\_\_\_\_  
Liusheng ZHU

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State of California )  
County of \_\_\_\_\_ )  
On \_\_\_\_\_ before me, \_\_\_\_\_  
Date Here Insert Name and Title of the Officer  
personally appeared Liusheng ZHU  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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Date: 12/20/2016 \_\_\_\_\_  
Xuelei YAN

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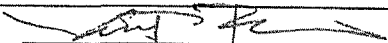
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PATENT ASSIGNMENT

Docket Number 47134-704.601

Date: 12/20/16

  
Yosup REW

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WITNESS my hand and official seal.

Signature \_\_\_\_\_  
Signature of Notary Public

Place Notary Seal Above

Date: 12-20-16

  
John EKSTEROWICZ

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State of California )  
County of \_\_\_\_\_ )  
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Date: \_\_\_\_\_  
Julio C. MEDINA

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State of California )  
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PATENT ASSIGNMENT

Docket Number 47134-704.601

Date: \_\_\_\_\_  
Yosup REW

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Julio C. MEDINA

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personally appeared Julio C. MEDINA  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature \_\_\_\_\_  
Signature of Notary Public

Place Notary Seal Above

PATENT ASSIGNMENT

Docket Number 47134-704.601

Date: 12/20/2016 Haiying ZHOU

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California )
County of )
On before me,
Date Here Insert Name and Title of the Officer
personally appeared Haiying ZHOU
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature
Signature of Notary Public

Place Notary Seal Above

Date: Minna Delarae BALBAS

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California )
County of )
On before me,
Date Here Insert Name and Title of the Officer
personally appeared Minna Delarae BALBAS
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature
Signature of Notary Public

Place Notary Seal Above



Date: 12/20/16 Valeria R. FANTIN  
Valeria R. FANTIN

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California )  
 County of \_\_\_\_\_ )  
 On \_\_\_\_\_ before me, \_\_\_\_\_  
Date Here Insert Name and Title of the Officer  
 personally appeared Valeria R. FANTIN

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/hor/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  
 WITNESS my hand and official seal.  
 Signature \_\_\_\_\_  
Signature of Notary Public

Place Notary Seal Above

RECEIVED AND AGREED TO BY ASSIGNEE: **ORIC Pharmaceuticals, Inc.**

Date: 12/20/2016 By: Darcy Mootz  
 Name: Darcy Mootz  
 Title: CBO