# 505034391 08/02/2018

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5081142

| SUBMISSION TYPE:      | NEW ASSIGNMENT                              |
|-----------------------|---|
| NATURE OF CONVEYANCE: | ASSIGNMENT OF SECURITY AGREEMENT AND NOTICE |
| SEQUENCE:             | 1   |

## **CONVEYING PARTY DATA**

| Name                  | Execution Date |
|-----------------------|----------------|
| BANK OF AMERICA, N.A. | 08/02/2018     |

### **RECEIVING PARTY DATA**

| Name:             | VECTOR TRADING (CAYMAN), L.P. |
|-------------------|-------------------------------|
| Street Address:   | ONE MARKET ST.                |
| Internal Address: | STEUART TOWER, 23RD FLOOR     |
| City:             | SAN FRANCISCO                 |
| State/Country:    | CALIFORNIA                    |
| Postal Code:      | 94105                         |

### **PROPERTY NUMBERS Total: 6**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 09436977 |
| Application Number: | 09479768 |
| Application Number: | 09443065 |
| Application Number: | 12414407 |
| Application Number: | 10032900 |
| Application Number: | 11768054 |

### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (415) 268-7000 Email: elopez@mofo.com

Correspondent Name: MORRISON & FOERSTER LLP

Address Line 1: 425 MARKET ST

Address Line 4: SAN FRANCISCO, CALIFORNIA 94105

| ATTORNEY DOCKET NUMBER: | 78816.3            |
|-------------------------|--------------------|
| NAME OF SUBMITTER:      | EVANGELINA LOPEZ   |
| SIGNATURE:              | /Evangelina Lopez/ |

PATENT 505034391 REEL: 046702 FRAME: 0292

| DATE SIGNED:   | 08/02/2018                                      |  |
|--|---|--|
| Total Attachments: 6   |   |  |
| source=Vesta_Vector - EXECUTED Sec   | curity Agreement Assignment (Patents)#page1.tif |  |
| source=Vesta_Vector - EXECUTED Security Agreement Assignment (Patents)#page2.tif |   |  |
| source=Vesta_Vector - EXECUTED Security Agreement Assignment (Patents)#page3.tif |   |  |
| source=Vesta_Vector - EXECUTED Security Agreement Assignment (Patents)#page4.tif |   |  |
| source=Vesta_Vector - EXECUTED Security Agreement Assignment (Patents)#page5.tif |   |  |
| source=Vesta_Vector - EXECUTED Security Agreement Assignment (Patents)#page6.tif |   |  |

### ASSIGNMENT OF SECURITY AGREEMENT AND NOTICE

This Assignment of Security Agreement (this "Security Agreement Assignment"), dated as of August 2, 2018, is executed by Bank of America, N.A., as transferor (the "Transferor Secured Party"), and Vector Trading (Cayman), L.P., as transferee (the "Transferee Secured Party").

### RECITALS

WHEREAS, pursuant to the Security and Pledge Agreement dated as of December 12, 2012 (as amended, modified, extended or restated from time to time, the "Security Agreement") by and among Vesta Corporation (the "Grantor"), the other obligors party thereto, and the Transferor Secured Party, in its capacity as the administrative agent (the "Administrative Agent") for the holders of the Secured Obligations referenced therein, the Grantor granted a continuing security interest in, and a right to set off against, the patents and patent applications listed on Schedule A and pursuant to which Grantor and the Administrative Agent entered into and filed with the U.S. Patent and Trademark Office the Notice of Grant of Security Interest in Patents, dated as of December 13, 2012 (the "Notice").

WHEREAS, pursuant to that certain Loan Sale and Assignment Agreement, dated as of August 2, 2018, the Transferor Secured Party resigned as Administrative Agent and sold, assigned, transferred and set over to Transferee Secured Party all of Transferor Secured Party's right, title and interest in, to and under the Security Agreement and the Notice, among other things.

WHEREAS, the Transferor Secured Party and Transferee Secured Party desire to execute and deliver this Assignment of Security Agreement and the Notice for recording with the United States Patent and Trademark Office.

### **AGREEMENT**

NOW, THEREFORE, the Transferor Secured Party and the Transferee Secured Party agree as follows:

- 1. As of the date hereof, the Transferor Secured Party hereby assigns, and the Transferee Secured Party hereby assumes, all of the Transferor Secured Party's right, title and interest as Assignee under the Security Agreement and the Notice.
- 2. The security interest granted by the Security Agreement and the Notice includes a continuing security interest in, and a right to set off against, the patents and patent applications listed on Schedule A.
- 3. The Transferee Secured Party may record this Assignment with the United States Patent and Trademark Office.
- 4. This Security Agreement Assignment may be executed in counterparts (and by different parties hereto in different counterparts), each of which shall constitute an original, but

all of which when taken together shall constitute a single contract. Delivery of an executed counterpart of a signature page to this Security Agreement Assignment by facsimile or in electronic (i.e., "pdf" or "tif" format) shall be effective as delivery of a manually executed counterpart of this Security Agreement Assignment.

5. This Security Agreement Assignment and any claim, controversy, dispute, or cause of action (whether in contract or tort or otherwise) based upon, arising out of, or relating to this Security Agreement Assignment and the transactions contemplated hereby and thereby shall be governed by, and construed in accordance with, the laws of the United States and the State of New York, without giving effect to any choice or conflict of law provision or rule (whether of the State of New York or any other jurisdiction).

[Remainder of Page Intentionally Left Blank]

2

IN WITNESS WHEREOF, the parties hereto have duly executed this Security Agreement Assignment, as of the date first above written.

# The TRANSFEROR SECURED PARTY:

|                                | BANK OF AMERICA, N.A.  By      |
|--------------------------------|--------------------------------|
|                                | Title Sentor Vice fresion      |
|                                | The TRANSFEREE SECURED PARTY:  |
|                                | VECTOR TRADING (CAYMAN), L.P., |
|                                | By:                            |
|                                | Title: Authorized Signatory    |
|                                |                                |
| Consented to and Acknowledged: |                                |
| The GRANTOR:                   |                                |
| VESTA CORPORATION              |                                |
| By:                            |                                |

[Signature Page to Security Agreement Assignment]

IN WITNESS WHEREOF, the parties hereto have duly executed this Security Agreement Assignment, as of the date first above written.

|                                | The TRANSFEROR SECURED PARTY:   |
|--------------------------------|---------------------------------|
|                                | BANK OF AMERICA, N.A.           |
|                                | By: Title:                      |
|                                | The TRANSFEREE SECURED PARTY:   |
|                                | VECTOR TRADING (CAYMAN), L.P.,  |
|                                | By: Title: Nuthorized Signatory |
| Consented to and Acknowledged: |                                 |
| The GRANTOR:                   |                                 |
| VESTA CORPORATION              |                                 |
| By:Title:                      |                                 |

[Signature Page to Security Agreement Assignment]

IN WITNESS WHEREOF, the parties hereto have duly executed this Security Agreement Assignment, as of the date first above written.

Name: Douglas M. Fieldhouse

Title: President

# The TRANSFEROR SECURED PARTY: BANK OF AMERICA, N.A. By: Title: The TRANSFEREE SECURED PARTY: VECTOR TRADING (CAYMAN), L.P., By: Title: Authorized Signatory Consented to and Acknowledged: The GRANTOR: VESTA CORPORATION By:

[Signature Page to Security Agreement Assignment]

# Schedule A

# 1. REGISTERED PATENTS

| Patent         | Status | USPTO Application Number |
|----------------|--------|--------------------------|
| <u>6404866</u> | Issued | 09/436,977               |
| <u>6516056</u> | Issued | 09/479,768               |
| <u>6535728</u> | Issued | 09/443,065               |
| <u>7979051</u> | Issued | 12/414,407               |
| <u>7242922</u> | Issued | 10/032,900               |
| <u>7539480</u> | Issued | 11/768,054               |

2. PATENT APPLICATIONS

None

3. PATENT LICENSES

None

**RECORDED: 08/02/2018** 

[Schedule A to Security Agreement Assignment]