

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5111791

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
KYOHEI YAMAGUCHI	03/12/2018
TETSUYA MITSUMOTO	03/12/2018
HIDEAKI MATSUSHIMA	03/07/2018
SHINYA KAGEI	03/09/2018
RECEIVING PARTY DATA	
Name:	MITSUI MINING & SMELTING CO., LTD.
Street Address:	1-11-1, OSAKI, SHINAGAWA-KU
City:	TOKYO
State/Country:	JAPAN
Postal Code:	1418584
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16080175
CORRESPONDENCE DATA	
Fax Number:	(412)471-4094
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	412-471-8815
Email:	assignments@webblaw.com
Correspondent Name:	THE WEBB LAW FIRM, P.C.
Address Line 1:	ONE GATEWAY CENTER
Address Line 2:	420 FT. DUQUESNE BLVD, SUITE 1200
Address Line 4:	PITTSBURGH, PENNSYLVANIA 15222
ATTORNEY DOCKET NUMBER:	5734-1804962
NAME OF SUBMITTER:	KENT E. BALDAUF
SIGNATURE:	/Kent E. Baldauf/
DATE SIGNED:	08/27/2018
Total Attachments: 4	
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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Kyohei YAMAGUCHI
Tetsuya MITSUMOTO
Hideaki MATSUSHIMA
Shinya KAGEI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 03/12/2018; 03/07/2018; 03/09/2018

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Mitsui Mining & Smelting Co., Ltd.

Internal Address: _____

Street Address: 1-11-1, Osaki, Shinagawa-ku

City: Tokyo

State: _____

Country: JAPAN Zip: 1418584

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

16/080,175

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Kent E. Baldauf

Internal Address: The Webb Law Firm

Street Address: One Gateway Center
420 Ft. Duquesne Blvd., Ste 1200

City: Pittsburgh

State: PA Zip: 15222

Phone Number: 412-471-8815

Docket Number: 5734 - 1804962

Email Address: assignments@webblaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:

/Kent E. Baldauf/

Signature

August 27, 2018

Date

Kent E. Baldauf

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 046712 FRAME: 0756

INTERNATIONAL
Application No. _____
Attorney Docket No. _____

ASSIGNMENT

WHEREAS, as a below named inventor, I have invented certain new and useful improvements in

SPINEL-TYPE LITHIUM-MANGANESE-CONTAINING COMPLEX OXIDE

(Invention Title)

☐ for which I have this day executed an application for a United States Patent (hereinafter "said application").

AND/OR

☐ for which an application for a United States Patent was filed on _____ (mm/dd/yyyy) and bears Application Number _____ (hereinafter "said application").

AND/OR

☒ for which an international patent application was filed under the Patent Cooperation Treaty on 02/28/2017 (mm/dd/yyyy), bearing Application No. PCT/JP2017/007698 (hereinafter "said application").

AND, WHEREAS, Mitsui Mining & Smelting Co., Ltd., a corporation of JAPAN, having a place of business at 1-11-1, Osaki, Shinagawa-ku, Tokyo 141-8584, hereinafter called the "assignee", is desirous of acquiring the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed.

NOW, THEREFORE, for good and valuable consideration paid to me by said assignee, the receipt of which is hereby acknowledged, I as the inventor or as one of the inventors, hereinafter the assignor(s), do hereby assign, sell and transfer unto said assignee the full and exclusive right, title and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, continuations-in-part, renewals and/or extensions thereof. Such assignment extends to the full ends of the terms of these applications and patents as fully and entirely as the same would have been held and enjoyed by me had this Assignment not been made.

I hereby authorize and request any attorney associated with The Webb Law Firm, Customer No. 28289, to insert here in parentheses (Application No. 16/080,175, filed 02/28/2017) the filing date and application number of said application when known.

I covenant that I am the lawful owner(s) of said application, inventions and improvements, that the same are unencumbered, that no license has been granted to make, use or vend the said inventions or improvements or any of them, and that I have the full right to make this Assignment.

And for the consideration aforesaid, I agree individually and, if applicable, jointly that I will communicate to said assignee or the representatives thereof any facts known to me respecting said inventions and improvements, and will, upon request but without expense to me, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue,

INTERNATIONAL

Application No. _____

Attorney Docket No. _____

continuation, continuation-in-part, renewal and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts deemed necessary or expedient by said assignee or by counsel for said assignee to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned. This Assignment shall be binding upon my heirs, executors, administrators and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns, as the case may be, of said assignee.

1. FULL NAME OF ASSIGNOR:
Kyohei YAMAGUCHI

RESIDENCE:
Takehara-shi, Japan

EXECUTED this 12th day of March, 2018

Kyohei Yamaguchi

SIGNATURE

WITNESS:

Shaker Taguchi

2. FULL NAME OF ASSIGNOR:
Tetsuya MITSUMOTO

RESIDENCE:
Takehara-shi, Japan

EXECUTED this 12th day of March, 2018

Tetsuya Mitsumoto

SIGNATURE

WITNESS:

Shaker Taguchi

☒ Checked Box indicates 1 additional page(s) for inventor signatures.

INTERNATIONAL
Application No. _____
Attorney Docket No. _____

3. FULL NAME OF ASSIGNOR:
Hideaki MATSUSHIMA

RESIDENCE:
Takehara-shi, Japan

EXECUTED this 7th day of March, 2018

Hideaki Matsushima
SIGNATURE

WITNESS:

Takeo Taguchi

4. FULL NAME OF ASSIGNOR:
Shinya KAGEI

RESIDENCE:
Takehara-shi, Japan

EXECUTED this 9th day of March, 2018

Shinya Kagei
SIGNATURE

WITNESS:

Takeo Taguchi



Checked Box indicates ___ additional page(s) for inventor signatures.