

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	LICENSE
CONVEYING PARTY DATA	
Name	Execution Date
CALIFORNIA INSTITUTE OF TECHNOLOGY	03/26/2018
RECEIVING PARTY DATA	
Name:	UNITED STATES OF AMERICA AS REPRESENTED BY THE ADMINISTRATOR OF NASA
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City:	WASHINGTON
State/Country:	D.C.
Postal Code:	20024
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15903553
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NAME OF SUBMITTER:	DERRICK MCINTYRE
SIGNATURE:	/DERRICK MCINTYRE/
DATE SIGNED:	08/06/2018
Total Attachments: 1	
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License to the Government

In accordance with a contract set forth below between the Government of the United States of America, as represented by the Administrator of the National Aeronautics and Space Administration (NASA) and the below-named Contractor, the invention cited below is a SUBJECT INVENTION made in the performance of work under the contract and the x Contractor has elected to retain title the SUBJECT INVENTION; or the Contractor has elected not to retain title to the SUBJECT INVENTION; and NASA, after consultation with the Contractor, has granted the request for retention of rights by the Inventor, and employee of the Contractor, subject to the provisions of 35 U.S.C § 202(d) and FAR 52.227-11, Patent Rights-Retention by the Contractor, but subject to a license to the Government as described below.

NOW THEREFORE, pursuant to the terms and conditions of the contract, the Contractor/Inventor does hereby grant to the Government of the United States a non-exclusive, non-transferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States this SUBJECT INVENTION and any patents which may issue thereon throughout the world. At this time at least the following U.S. patent application has been filed on the SUBJECT INVENTION.

Title: High Performance Inorganic Complexes for Next-Generation Redox Flow Batteries

Patent Application Serial No.: 15/903,553 Filed: Friday, February 23, 2018	Contractor: California Institute of Technology Contractor Case No: CIT-7714 NASA Case No: NPO 50466 Contract No: NNN12AA01C
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The Contractor/Inventor agrees to conclude, within the specification of any United States patent applications and any patents issuing thereon covering this SUBJECT INVENTION, the following statement as in FAR 52.227-11(f)(4):

This invention was made with Government support under contract NNN12AA01C awarded by NASA. The Government has certain rights in this invention.

The Contractor/Inventor further agrees to notify the NASA of any decision not to continue prosecution of the application(s), pay maintenance fees, or defend in a reexamination or opposition proceeding on a patent, in any country, not less than thirty days before the expiration period required by the U.S. Patent and Trademark Office.

Title to this Invention is subject to March-In-Rights by the NASA as stated in 35 U.S.C. § 203 and FAR 52.227-11(j).

The Contractor/Inventor shall furnish a copy of the patent application cited above and a listing of each country in which applications have been and are filed. Whenever a patent issues on the SUBJECT INVENTION, the patent number, the issue date, and the country shall be made known to the Government as soon as it is available to the Contractor/Inventor.

Fred Farina
Chief Innovation Officer

(Typed name and title of Corporate Officer)

(Signature and date)

NASA FORM 1604 AUG 89 PREVIOUS EDITION IS OBSOLETE

March 26, 2018