# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
AUSTIN SMITH	08/24/2018
MARTIN DEVENNEY	08/20/2018
DAVID OLMEIJER	07/11/2018

## **RECEIVING PARTY DATA**

Name:	NANOSYS, INC.
Street Address:	233 S. HILLVIEW DRIVE
City:	MILPITAS
State/Country:	CALIFORNIA
Postal Code:	95035

## **PROPERTY NUMBERS Total: 3**

Property Type	Number
Application Number:	62515339
Application Number:	15997926
PCT Number:	US2018035999

## **CORRESPONDENCE DATA**

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Email: selmer@sternekessler.com, lmiller@sternekessler.com
Correspondent Name: STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Address Line 1: 1100 NEW YORK AVENUE, NW Address Line 4: WASHINGTON, D.C. 20005

ATTORNEY DOCKET NUMBER:	2132.1880000 1880001 PC01
NAME OF SUBMITTER:	STEPHANIE L. ELMER
SIGNATURE:	/Stephanie L. Elmer, #59,244/
DATE SIGNED:	08/31/2018

**Total Attachments: 6** 

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PATENT REEL: 046772 FRAME: 0741

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#### ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned inventors: Austin SMITH, Martin DEVENNEY, and David OLMEIJER hereby sell and assign to NANOSYS, Inc., a corporation formed under the laws of Delaware, whose mailing address is 233 S. Hillview Drive, Milpitas, California 95035 (hereafter referred to as the Assignee), his/her entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages, for the United States of America (as defined in 35 U.S.C. § 100) and throughout the world,

- (a) in the invention(s) known as ACID STABILIZATION OF QUANTUM DOT-RESIN CONCENTRATES AND PREMIXES for which applications for patent in the United States of America Application No. 62/515,339, filed on June 5, 2017, Application No. 15/997,926, filed June 5, 2018 and International Application No. PCT/US2018/035999, International Filing Date June 5, 2018, in any and all non-provisional applications therefrom, in any and all Letters Patent(s) granted from such non-provisional applications, and
- (b) in any and all applications that claim the benefit of the patent application listed above in part (a), including non-provisional applications, continuing (continuation, divisional, or continuation-in-part) applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and
- (c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The undersigned inventors agree to execute all papers necessary in connection with the application(s) and any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such application(s) as the Assignee may deem necessary or expedient.

The undersigned inventors agree to execute all papers necessary in connection with any interference or patent enforcement action (judicial or otherwise) related to the application(s) or any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application(s) thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or patent enforcement action.

The undersigned inventors hereby represent that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The undersigned inventors hereby grant the patent practitioners associated with CUSTOMER NUMBER 26111 the power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

The undersigned inventors hereby represent that he/she understands that the patent practitioners associated with **CUSTOMER NUMBER 26111** are the legal representatives of, and attorneys for, the assignee, and are <u>NOT</u> the legal representatives of, and attorneys for, the inventors.

IN WITNESS WHEREOF, executed by the undersigned inventors on the date opposite his/her name.

Date: 8/24//8	Signature of Inventor:	ausli Ro
Date:	Signature of Inventor:	Austin SMITH
Date:	Signature of inventor.	Martin DEVENNEY
Date:	Signature of Inventor:	David OL MELIER

SIGNED on behalf of the said ASSIGNEE,

NANOSYS, Inc.

Name: Jason Hartinge

Title: President + CEO

Date: 8/39/3018

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#### ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned inventors: Austin SMITH, Martin DEVENNEY, and David OLMEIJER hereby sell and assign to NANOSYS, Inc., a corporation formed under the laws of Delaware, whose mailing address is 233 S. Hillview Drive, Milpitas, California 95035 (hereafter referred to as the Assignee), his/her entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages, for the United States of America (as defined in 35 U.S.C. § 100) and throughout the world,

- (a) in the invention(s) known as ACID STABILIZATION OF QUANTUM DOT-RESIN CONCENTRATES AND PREMIXES for which applications for patent in the United States of America Application No. 62/515,339, filed on June 5, 2017, Application No. 15/997,926, filed June 5, 2018 and International Application No. PCT/US2018/035999, International Filing Date June 5, 2018, in any and all non-provisional applications therefrom, in any and all Letters Patent(s) granted from such non-provisional applications, and
- (b) in any and all applications that claim the benefit of the patent application listed above in part (a), including non-provisional applications, continuing (continuation, divisional, or continuation-in-part) applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and
- (c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The undersigned inventors agree to execute all papers necessary in connection with the application(s) and any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such application(s) as the Assignee may deem necessary or expedient.

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The undersigned inventors hereby represent that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The undersigned inventors hereby grant the patent practitioners associated with CUSTOMER NUMBER 26111 the power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

The undersigned inventors hereby represent that he/she understands that the patent practitioners associated with CUSTOMER NUMBER 26111 are the legal representatives of, and attorneys for, the assignee, and are <u>NOT</u> the legal representatives of, and attorneys for, the inventors.

IN WITNESS WHEREOF, executed by the undersigned inventors on the date opposite his/her name.

Date:	Signature of Inventor:	
	_	Austin SMITH
Date:	Signature of Inventor:	
		Martin DEVENNEY
Date: 11-JUL-2018	Signature of Inventor: _	Duch
		David OLMEIJER

SIGNED on behalf of the said ASSIGNEE,

NANOSYS, Inc.

Name: Jason Hartinge

Title: President + CEO

Date: 8/29/2018

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