

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5122629

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JOHN SCHNEEKLOTH JR.	02/15/2016
LINDSEY SAUNDERS	02/09/2016
DAVID CALABRESE	02/16/2016
JOHN SIMMONS	02/04/2016
BEVERLY MOCK	02/14/2016
ELENA LEON	02/04/2016
KENNETH FELSENSTEIN	02/05/2016
RECEIVING PARTY DATA	
Name:	THE UNITED STATES OF AMERICA, AS REPRESENTED BY THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES
Street Address:	6011 EXECUTIVE BOULEVARD
Internal Address:	SUITE 325, MSC 7660
City:	BETHESDA
State/Country:	MARYLAND
Postal Code:	20892-7660
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15541676
CORRESPONDENCE DATA	
Fax Number:	(503)595-5301
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	5035955300
Email:	sarah.flugum@klarquist.com
Correspondent Name:	GREGORY SCOTT, KLARQUIST SPARKMAN, LLP
Address Line 1:	121 SW SALMON STREET
Address Line 2:	SUITE 1600
Address Line 4:	PORTLAND, OREGON 97204
ATTORNEY DOCKET NUMBER:	4239-94096-04
NAME OF SUBMITTER:	GREGORY K. SCOTT, PH.D., J.D.

SIGNATURE:	/Gregory K. Scott/
DATE SIGNED:	09/04/2018
Total Attachments: 15 source=94096AssignmentNIH#page1.tif source=94096AssignmentNIH#page2.tif source=94096AssignmentNIH#page3.tif source=94096AssignmentNIH#page4.tif source=94096AssignmentNIH#page5.tif source=94096AssignmentNIH#page6.tif source=94096AssignmentNIH#page7.tif source=94096AssignmentNIH#page8.tif source=94096AssignmentNIH#page9.tif source=94096AssignmentNIH#page10.tif source=94096AssignmentNIH#page11.tif source=94096AssignmentNIH#page12.tif source=94096AssignmentNIH#page13.tif source=94096AssignmentNIH#page14.tif source=94096AssignmentNIH#page15.tif	

**ASSIGNMENT FOR NIH/FDA/CDC INVENTIONS AIA
(NIH OR FDA OR CDC CO-INVENTORS ONLY)**

WHEREAS, we, John Schneekloth, Jr., John Simmons, Kenneth Felsenstein, Beverly Mock, Lindsey Saunders, David Calabrese, and Elena Leon employees of the National Institutes of Health, are each employed by a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, and have, along with Peter Gareiss, made certain inventions as described and claimed in:

U.S. Provisional Patent Application No. 62/099,938, filed on January 5, 2015, entitled "MYC G-QUADRUPLEX STABILIZING SMALL MOLECULES AND THEIR USE," and
PCT Patent Application No. PCT/US2016/012222, filed on January 5, 2016, entitled "MYC G-QUADRUPLEX STABILIZING SMALL MOLECULES AND THEIR USE,"

both of which applications are collectively referred to herein as "**The Patent Applications**"; and

WHEREAS, we are the inventors named in **The Patent Application(s)**; and

WHEREAS, the inventions described and claimed in **The Patent Application(s)** were made under conditions such as to entitle **THE UNITED STATES OF AMERICA, as represented by the Secretary, Department of Health and Human Services** [hereinafter **THE GOVERNMENT**] under Executive Order 10096 and its amendments, 35 U.S.C. §§200-201, §§206-207, and §210 and 37 C.F.R. Part 501 to the entire right, title, and interest in such inventions; and

WHEREAS, THE GOVERNMENT is desirous of acquiring all domestic and foreign right, title, and interest in the inventions described and claimed in **The Patent Application(s)**;

NOW, THEREFORE, having the legal obligation to assign our right, title and interest in the inventions described and claimed in **The Patent Application(s)** to **THE GOVERNMENT**, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, we hereby assign and transfer to **THE GOVERNMENT**:

the entire right, title, and interest in and to any and all inventions described and claimed in **The Patent Application(s)** and any and all Letters Patent which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and


all rights to claim priority on the basis of **The Patent Application(s)** including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

all applications for Letters Patent that may be filed for any and all inventions described and claimed in **The Patent Application(s)** that may be filed in any foreign country and all Letters Patent that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patent as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventor(s) had this assignment not been made.

We further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said invention.


IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

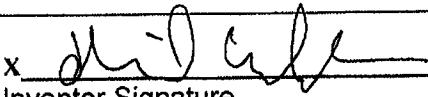
Inventor: John Schneekloth, Jr.	
Date <u>2/15/16</u>	 Inventor Signature
County of <u>Frederick</u>	
State of <u>Maryland</u>	
Subscribed and sworn to before me this _____ day of _____, _____.	
Seal	
	Notary Signature: _____
	Notary Public for the State of: _____
	My Commission Expires: _____

Inventor: John Simmons	
Date _____	X _____ Inventor Signature
County of _____	
State of _____	
Subscribed and sworn to before me this _____ day of _____, _____.	
Seal	
	Notary Signature: _____
	Notary Public for the State of: _____
	My Commission Expires: _____

Inventor: Kenneth Felsenstein	
Date _____	X _____ Inventor Signature
County of _____	
State of _____	
Subscribed and sworn to before me this _____ day of _____, _____.	
Seal	
	Notary Signature: _____
	Notary Public for the State of: _____
	My Commission Expires: _____

Inventor: Beverly Mock	
Date _____	X _____ Inventor Signature
County of _____	
State of _____	
Subscribed and sworn to before me this _____ day of _____, _____.	
Seal	
	Notary Signature: _____
	Notary Public for the State of: _____
	My Commission Expires: _____

Inventor: Lindsey Saunders	
Date <u>2/9/2016</u> _____	X  _____ Inventor Signature
County of _____	
State of _____	
Subscribed and sworn to before me this _____ day of _____, _____.	
Seal	
	Notary Signature: _____
	Notary Public for the State of: _____
	My Commission Expires: _____

Inventor: David Calabrese	
Date <u>2/16/16</u>	x <u></u> Inventor Signature
County of <u>Frederick</u>	
State of <u>Maryland</u>	
Subscribed and sworn to before me this _____ day of _____, _____.	
Seal	
	Notary Signature: _____
	Notary Public for the State of: _____
	My Commission Expires: _____

Inventor: Elena Leon	
Date _____	X _____ Inventor Signature
County of _____	
State of _____	
Subscribed and sworn to before me this _____ day of _____, _____.	
Seal	
	Notary Signature: _____
	Notary Public for the State of: _____
	My Commission Expires: _____

**ASSIGNMENT FOR NIH/FDA/CDC INVENTIONS AIA
(NIH OR FDA OR CDC CO-INVENTORS ONLY)**

WHEREAS, we, John Schneekloth, Jr., John Simmons, Kenneth Felsenstein, Beverly Mock, Lindsey Saunders, David Calabrese, and Elena Leon employees of the National Institutes of Health, are each employed by a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, and have, along with Peter Gareiss, made certain inventions as described and claimed in:

U.S. Provisional Patent Application No. 62/099,938, filed on January 5, 2015, entitled "MYC G-QUADRUPLEX STABILIZING SMALL MOLECULES AND THEIR USE," and

PCT Patent Application No. PCT/US2016/012222, filed on January 5, 2016, entitled "MYC G-QUADRUPLEX STABILIZING SMALL MOLECULES AND THEIR USE,"

both of which applications are collectively referred to herein as "**The Patent Applications**"; and

WHEREAS, we are the inventors named in **The Patent Application(s)**; and

WHEREAS, the inventions described and claimed in **The Patent Application(s)** were made under conditions such as to entitle **THE UNITED STATES OF AMERICA, as represented by the Secretary, Department of Health and Human Services** [hereinafter **THE GOVERNMENT**] under Executive Order 10096 and its amendments, 35 U.S.C. §§200-201, §§206-207, and §210 and 37 C.F.R. Part 501 to the entire right, title, and interest in such inventions; and

WHEREAS, THE GOVERNMENT is desirous of acquiring all domestic and foreign right, title, and interest in the inventions described and claimed in **The Patent Application(s)**;

NOW, THEREFORE, having the legal obligation to assign our right, title and interest in the inventions described and claimed in **The Patent Application(s)** to **THE GOVERNMENT**, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, we hereby assign and transfer to **THE GOVERNMENT**:

the entire right, title, and interest in and to any and all inventions described and claimed in **The Patent Application(s)** and any and all Letters Patent which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

all rights to claim priority on the basis of **The Patent Application(s)** including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("**PCT Applications**"); and

all applications for Letters Patent that may be filed for any and all inventions described and claimed in **The Patent Application(s)** that may be filed in any foreign country and all Letters Patent that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patent as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventor(s) had this assignment not been made.

We further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said invention.

IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

Inventor: John Schneekloth, Jr.	
Date _____	X _____ Inventor Signature
County of _____	
State of _____	
Subscribed and sworn to before me this _____ day of _____, _____.	
Seal	
	Notary Signature: _____
	Notary Public for the State of: _____
	My Commission Expires: _____

Inventor: John Simmons	
Date <u>2/4/16</u>	X <u>[Signature]</u> Inventor Signature
County of <u>Montgomery</u>	
State of <u>Maryland</u>	
Subscribed and sworn to before me this <u>4</u> day of <u>February</u> , <u>2016</u> .	
Seal	
	Notary Signature: <u>Eric Hoo</u>
	Notary Public for the State of: <u>Maryland</u>
	My Commission Expires: <u>5/2/18</u>

Inventor: Kenneth Felsenstein	
Date _____	X _____ Inventor Signature
County of _____	
State of _____	
Subscribed and sworn to before me this _____ day of _____, _____.	
Seal	
	Notary Signature: _____
	Notary Public for the State of: _____
	My Commission Expires: _____

Inventor: Beverly Mock	
Date <u>2/14/16</u>	X <u>Beverly Mock</u> Inventor Signature
County of <u>Montgomery</u>	
State of <u>Maryland</u>	
Subscribed and sworn to before me this <u>4</u> day of <u>February</u> , <u>2016</u> .	
Seal	
	Notary Signature: <u>Eric Stas</u>
	Notary Public for the State of: <u>Maryland</u>
	My Commission Expires: <u>5/22/18</u>

Inventor: Lindsey Saunders	
Date _____	X _____ Inventor Signature
County of _____	
State of _____	
Subscribed and sworn to before me this _____ day of _____, _____.	
Seal	
	Notary Signature: _____
	Notary Public for the State of: _____
	My Commission Expires: _____

Inventor: David Calabrese

Date _____ X _____
Inventor Signature

County of _____

State of _____

Subscribed and sworn to before me this _____ day of _____, _____.

Seal

Notary Signature: _____

Notary Public for the State of: _____

My Commission Expires: _____

Inventor: Elena Leon

Date 2/4/16 X Elena Li
Inventor Signature

County of Montgomery

State of Maryland

Subscribed and sworn to before me this 4 day of February, 2016.

Seal

Notary Signature: E. Eiche

Notary Public for the State of: Maryland

My Commission Expires: 5/22/18

**ASSIGNMENT FOR NIH/FDA/CDC INVENTIONS AIA
(NIH OR FDA OR CDC CO-INVENTORS ONLY)**

WHEREAS, we, John Schneekloth, Jr., John Simmons, Kenneth Felsenstein, Beverly Mock, Lindsey Saunders, David Calabrese, and Elena Leon employees of the National Institutes of Health, are each employed by a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, and have, along with Peter Gareiss, made certain inventions as described and claimed in:

U.S. Provisional Patent Application No. 62/099,938, filed on January 5, 2015, entitled "MYC G-QUADRUPLEX STABILIZING SMALL MOLECULES AND THEIR USE," and

PCT Patent Application No. PCT/US2016/012222, filed on January 5, 2016, entitled "MYC G-QUADRUPLEX STABILIZING SMALL MOLECULES AND THEIR USE,"

both of which applications are collectively referred to herein as "**The Patent Applications**"; and

WHEREAS, we are the inventors named in **The Patent Application(s)**; and

WHEREAS, the inventions described and claimed in **The Patent Application(s)** were made under conditions such as to entitle **THE UNITED STATES OF AMERICA, as represented by the Secretary, Department of Health and Human Services** [hereinafter **THE GOVERNMENT**] under Executive Order 10096 and its amendments, 35 U.S.C. §§200-201, §§206-207, and §210 and 37 C.F.R. Part 501 to the entire right, title, and interest in such inventions; and

WHEREAS, THE GOVERNMENT is desirous of acquiring all domestic and foreign right, title, and interest in the inventions described and claimed in **The Patent Application(s)**;

NOW, THEREFORE, having the legal obligation to assign our right, title and interest in the inventions described and claimed in **The Patent Application(s)** to **THE GOVERNMENT**, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, we hereby assign and transfer to **THE GOVERNMENT**:

the entire right, title, and interest in and to any and all inventions described and claimed in **The Patent Application(s)** and any and all Letters Patent which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

all rights to claim priority on the basis of **The Patent Application(s)** including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

all applications for Letters Patent that may be filed for any and all inventions described and claimed in **The Patent Application(s)** that may be filed in any foreign country and all Letters Patent that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patent as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventor(s) had this assignment not been made.

We further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said invention.

IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

Inventor: John Schneekloth, Jr.	
Date _____	X _____ Inventor Signature
County of _____	
State of _____	
Subscribed and sworn to before me this _____ day of _____, _____.	
Seal	
	Notary Signature: _____
	Notary Public for the State of: _____
	My Commission Expires: _____

Inventor: John Simmons

Date _____ X _____
Inventor Signature

County of _____

State of _____

Subscribed and sworn to before me this _____ day of _____, _____.

Seal

Notary Signature: _____

Notary Public for the State of: _____

My Commission Expires: _____

Inventor: Kenneth Felsenstein

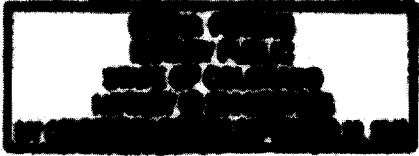
Date 02-05-2016 X Kenneth F.
Inventor Signature

County of Arapahoe

State of Colorado

Subscribed and sworn to before me this 5th day of February, 2016.

Seal



Notary Signature: [Signature]

Notary Public for the State of: Colorado

My Commission Expires: September 22, 2018

Inventor: Beverly Mock

Date _____ X _____
Inventor Signature

County of _____

State of _____

Subscribed and sworn to before me this _____ day of _____, _____.

Seal

Notary Signature: _____

Notary Public for the State of: _____

My Commission Expires: _____

Inventor: Lindsey Saunders

Date _____ X _____
Inventor Signature

County of _____

State of _____

Subscribed and sworn to before me this _____ day of _____, _____.

Seal

Notary Signature: _____

Notary Public _____

My Commission Expires: _____

Inventor: David Calabrese

Date _____

X _____
Inventor Signature

County of _____

State of _____

Subscribed and sworn to before me this _____ day of _____, _____.

Seal

Notary Signature: _____

Notary Public for the State of: _____

My Commission Expires: _____

Inventor: Elena Leon

Date _____

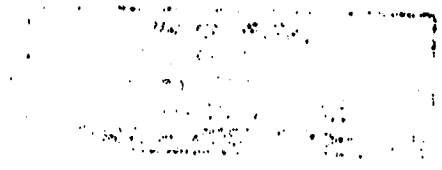
X _____
Inventor Signature

County of _____

State of _____

Subscribed and sworn to before me this _____ day of _____, _____.

Seal



Notary Signature: _____

Notary Public for the State of: _____

My Commission Expires: _____