

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
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EPAS ID: PAT5130010

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| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT   |
| <b>NATURE OF CONVEYANCE:</b>  | SECURITY INTEREST  |
| <b>CONVEYING PARTY DATA</b>   |  |
| <b>Name</b>   | <b>Execution Date</b>  |
| BIOVEN 3 LTD.   | 08/24/2018   |
| <b>RECEIVING PARTY DATA</b>   |  |
| <b>Name:</b>  | INNOCORP VENTURES SDN. BHD.  |
| <b>Street Address:</b>  | 3501 LEVEL 3, QUILL BUILDING 3, JALAN TEKNOKRAT 5                  |
| <b>City:</b>  | CYBERJAYA, SELANGOR DARUL EHSAN                                    |
| <b>State/Country:</b>   | MALAYSIA   |
| <b>Postal Code:</b>   | 63000  |
| <b>Name:</b>  | HALFMOON BAY CAPITAL LTD   |
| <b>Street Address:</b>  | SUITE 10.3 10TH FLOOR WEST WING ROHAS PURECIRCLE, 9 JALAN P RAMLEE |
| <b>City:</b>  | KUALA LUMPUR   |
| <b>State/Country:</b>   | MALAYSIA   |
| <b>Postal Code:</b>   | 50250  |
| <b>PROPERTY NUMBERS Total: 5</b>  |  |
| <b>Property Type</b>  | <b>Number</b>  |
| Application Number:   | 62643986   |
| Application Number:   | 62533901   |
| Application Number:   | 15073021   |
| Application Number:   | 14854224   |
| Application Number:   | 13813844   |
| <b>CORRESPONDENCE DATA</b>  |  |
| <b>Fax Number:</b>  |  |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |  |
| <b>Email:</b>   | kscandore@burnslev.com   |
| <b>Correspondent Name:</b>  | BURNS & LEVINSON LLP   |
| <b>Address Line 1:</b>  | 125 SUMMER STREET  |
| <b>Address Line 4:</b>  | BOSTON, MASSACHUSETTS 02110  |
| <b>ATTORNEY DOCKET NUMBER:</b>  | 48199  |

|   |                 |
|---|-----------------|
| <b>NAME OF SUBMITTER:</b>   | JOHN C. SERIO   |
| <b>SIGNATURE:</b>   | /John C. Serio/ |
| <b>DATE SIGNED:</b>   | 09/07/2018      |
| <b>Total Attachments: 4</b><br>source=Bioven_sec_interest#page1.tif<br>source=Bioven_sec_interest#page2.tif<br>source=Bioven_sec_interest#page3.tif<br>source=Bioven_sec_interest#page4.tif |                 |

CONFIRMATION NOTICE OF SECURITY INTEREST  
(PATENTS)

WHEREAS, Bioven 3 Ltd., company incorporated under the laws of Bermuda (herein referred to as "Assignor"), owns the letters patent, and/or applications for letters patent, of the United States, more particularly described on Exhibit A annexed hereto as part hereof (the "Patents");

WHEREAS, Assignor is obligated to the Lenders under that certain Loan Agreement dated June 30, 2018, and as security for the Loans made or to be made pursuant thereto has granted to Innocorp Ventures Sds. Bhd. and Halfmoon Bay Capital Ltd as initial joint trustees (collectively herein referred to as "Assignee") a security interest in the Patents pursuant to a Security and Trust Agreement of even date with such Loan Agreement (the "Agreement") to secure the prompt payment of the obligations owed to such Lenders under such Loan Agreement ("Obligations").

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor does hereby confirm its grant to Assignee of a security interest in the Patents pursuant to the Agreement to secure the prompt payment, performance and observance of the Obligations.

Assignor does hereby further acknowledge and affirm that the rights and remedies of Assignee with respect to the security interest in the Patents made and granted hereby are more fully set forth in the Agreement, the terms and provisions of which are hereby incorporated herein by reference as is fully set forth herein.

This Notice of Security Interest may be executed in two or more counterparts, each of which shall be identical and may be introduced in evidence or used for any other purpose without any other counterpart, but all of which shall together constitute one and the same agreement.

For notice purposes, the parties' addresses are as follows:

Innocorp Ventures Sdn. Bhd.: 3501 Level 3, Quill Building 3, Jalan Teknokrat 5, 63000 Cyberjaya, Selangor Darul Ehsan, Malaysia.


Halfmoon Bay Capital Ltd: Suite 10.3 10th Floor West Wing Rohas PureCircle, 9 Jalan P Ramlee, 50250 Kuala Lumpur, Malaysia.

Bioven 3 Ltd.:

Clarendon House  
2 Church Street  
Hamilton HM11 BMU

IN WITNESS WHEREOF, Assignor has caused this Confirmatory Notice of Security Interest (Patents) to be duly executed as of the 24<sup>th</sup> day of August, 2018.


BIOVEN 3 LTD.

By   
Name JOHAN INDOT  
Title Director

ACKNOWLEDGMENT

Kuala Lumpur, Malaysia

On this 24<sup>th</sup> day of August, 2018, before me, the undersigned notary public, personally appeared JOHAN INDOT, \_\_\_\_\_, proved to me through satisfactory evidence of identification, which was a Malaysian identity card, to be the person whose name is signed on the preceding document, and acknowledged to me that he/she signed it voluntarily for its stated purpose on behalf of Bioven 3 Ltd.

  
Notary Public

SEAL

CHIAH KIM CHAI  
NOTARY PUBLIC  
No. 2 Persiaran Titiwangsa 1  
Taman Tasik Titiwangsa  
53200 Kuala Lumpur  
Malaysia



EXHIBIT A

PATENTS

4851-8017-0093.1

ANTITUMOR EFFECTS OF ANTI-EGF ANTIBODIES GENERATED BY VACCINATION IN NSCLC TUMOR CELLS

| Filing Date    | Pending v. Published | Application No. | Publication No. |
|----------------|----------------------|-----------------|-----------------|
| March 16, 2018 | Pending              | 62/643,986      | N/A             |

SYNTHETIC PROTEINS AND THERAPEUTIC USES THEREOF

| Filing Date   | Pending v. Published | Application No. | Publication No. |
|---------------|----------------------|-----------------|-----------------|
| July 18, 2017 | Pending              | 62/533,901      | N/A             |

METHODS AND COMPOSITIONS FOR INHIBITION OF EGF/EGFR PATHWAY IN COMBINATION WITH TYROSINE KINASE INHIBITORS

| Filing Date    | Pending v. Published | Application No. | Publication No.    |
|----------------|----------------------|-----------------|--------------------|
| March 17, 2016 | Published            | 15/073,021      | US-2016-0333087-A1 |

SELF-ASSEMBLING SYNTHETIC PROTEINS

| Filing Date        | Pending v. Published | Application No. | Publication No.    |
|--------------------|----------------------|-----------------|--------------------|
| September 15, 2015 | Published            | 14/854,224      | US-2016-0095910-A1 |

RECOMBINANT PROTEINS AND THEIR THERAPEUTIC USES

| Filing Date      | Pending v. Published | Application No. | Patent No. |
|------------------|----------------------|-----------------|------------|
| February 1, 2013 | Granted              | 13/813,844      | 9,902,760  |

4821-2001-8289.1