## 505102042 09/19/2018

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5148802

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

### **CONVEYING PARTY DATA**

| Name         | Execution Date |
|--------------|----------------|
| SASWATA BASU | 09/17/2018     |

### **RECEIVING PARTY DATA**

| Name:           | 0CHAIN, LLC          |  |
|-----------------|----------------------|--|
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| City:           | SAN JOSE             |  |
| State/Country:  | CALIFORNIA           |  |
| Postal Code:    | 95113                |  |

### **PROPERTY NUMBERS Total: 7**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 62707177 |
| Application Number: | 15994946 |
| Application Number: | 62707175 |
| Application Number: | 16027222 |
| Application Number: | 16027248 |
| Application Number: | 16118414 |
| Application Number: | 16118423 |

### **CORRESPONDENCE DATA**

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| NAME OF SUBMITTER:      | DEEPALI BRAHMBHATT   |
| SIGNATURE:              | /Deepali Brahmbhatt/ |
| DATE SIGNED:            | 09/19/2018           |

505102042 PATENT REEL: 046914 FRAME: 0704

# **Total Attachments: 2**

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PATENT REEL: 046914 FRAME: 0705

### ASSIGNMENT OF PATENT RIGHTS

This ASSIGNMENT OF PATENT RIGHTS (the "Assignment") is executed, acknowledged and delivered by Saswata Basu, an individual, residing at 11092 Clarkston Ave, Cupertino, CA 95014, (the "Assignor"), in accordance with his agreement with 0Chain, LLC, a Delaware Corporation, with its principal place of business at 75 E. Santa Clara, San Jose, CA (the "Assignee").

### **PATENTS**

"Listed Patents" shall mean the patents and patent applications listed on Schedule 1, as well as any and all continuations, continuations-in-part, divisionals, reexaminations, reissues, extensions, provisionals and any other patents or patent applications that claim priority therefrom, including without limitation any U.S. and non-U.S. patents or patent applications.

### **ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, Assignor hereby irrevocably sells, assigns, transfers and conveys to Assignee, and Assignee hereby accepts all of Assignor's right, title and interest throughout the world in and to the Listed Patents, and all rights, claims and privileges pertaining to the Listed Patents, including without limitation: rights to the underlying inventions; all priority rights under all available international agreements, treaties and conventions for the protection of intellectual property in its various forms in every participating country; and the right to sue and recover damages, profits and all other remedies for any past, present or future infringement of any of the foregoing; and to stand in the place of Assignor in all matters related thereto.

Assignee and Assignor hereby authorize and request the United States Commissioner of Patents and Trademarks and the relevant officials of non-U.S. countries to implement this Assignment.

**IN WITNESS WHEREOF**, Assignee and Assignor have caused this Assignment to be executed by their duly authorized representatives.

| SASWATA BASU         | 0Chain, LLC.              |  |
|----------------------|---------------------------|--|
| By:                  | By:                       |  |
| Name: Saswata Basu   | Name: <u>Saswata Basu</u> |  |
| Title: CEO           | Title: <u>CEO</u>         |  |
| Date Signed: 9/17/18 | Date Signed:9/17/18       |  |

PATENT REEL: 046914 FRAME: 0706

## SCHEDULE 1 ASSIGNMENT OF PATENT RIGHTS

# PATENTS AND PATENT APPLICATIONS

| Serial<br>No./Filing<br>Date | Patent<br>Application<br>Number | Patent Title   | Filing Date         | Country |
|------------------------------|---------------------------------|--|---------------------|---------|
| 0CHAI002.P1                  | 62/707,177                      | A Self-forking, zero-cost, sub-second finality blockchain  | October 24,<br>2017 | US      |
| 0CHAI002.01                  | 15/994,946                      | Systems and Methods of Blockchain<br>Platform for Distributed Applications                                     | May 31, 2018        | US      |
| 0CHAI001.P1                  | 62/707,175                      | Sustainability protocol using IoT Sensors  | October 24,<br>2017 | US      |
| 0CHAl001.01                  | 16/027,222                      | Systems and Methods of Sustainability<br>Protocol using Distributed Blockchain<br>Application with IoT Sensors | July 3, 2018        | US      |
| 0CHAI002.02                  | 16/027,248                      | Systems and Methods of Self-Forking Blockchain Protocol  | July 3, 2018        | US      |
| 0CHAI.004                    | 16/118414                       | Systems and Methods of Blockchain platform for Automated Asset Based Provisioning of Resources                 | August 30,<br>2018  | US      |
| 0CHAI.005                    | 16/118423                       | Systems and Methods of Blockchain<br>Platform for Rule-Based De-Centralized<br>Roles and Control               | August 30,<br>2018  | US      |

**RECORDED: 09/19/2018** 

PATENT REEL: 046914 FRAME: 0707