

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5153683

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| SUBMISSION TYPE: | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | ASSIGNMENT |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| ULRICH WIESNER | 07/29/2015 |
| KAI MA | 11/04/2015 |
| RECEIVING PARTY DATA | |
| Name: | CORNELL UNIVERSITY |
| Street Address: | CTL AT CORNELL UNIVERSITY |
| Internal Address: | 395 PINE TREE ROAD, SUITE 310 |
| City: | ITHACA |
| State/Country: | NEW YORK |
| Postal Code: | 14850 |
| PROPERTY NUMBERS Total: 1 | |
| Property Type | Number |
| Application Number: | 16137709 |
| CORRESPONDENCE DATA | |
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| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | |
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| ATTORNEY DOCKET NUMBER: | 2003080-1618 |
| NAME OF SUBMITTER: | MARGO MONROE |
| SIGNATURE: | /Margo R. Monroe/ |
| DATE SIGNED: | 09/21/2018 |
| Total Attachments: 4 | |
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| source=2003080_1618_Cornell_Assignment#page2.tif | |
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ASSIGNMENT

GERMAN

WHEREAS, I, Ulrich Wiesner, a ~~United States of America~~ citizen, residing at 105 White Park Road, Ithaca, New York 14850, have invented certain new and useful improvements in NANOPARTICLE DRUG CONJUGATES for which an application for Letters Patent in the United States was filed as U.S. Serial No. 14/722,307 on May 27, 2015 and International Application No. PCT/US2015/032565 on May 27, 2015; claiming priority to U.S. Provisional Application No. 62/004,738 filed on May 29, 2014 and U.S. Provisional Application No. 60/094,923 filed on December 19, 2014;

AND WHEREAS, Cornell University, with its principal place of business at Cornell Center for Technology, Enterprise & Commercialization ("CCTEC"), 395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, I do hereby acknowledge that I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Cornell University, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said Cornell University, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that I will communicate to the said Cornell University, its successors, legal representatives, and assigns, any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally do everything possible to aid the said Cornell University, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

Witness Signature Option

U. Wiesner
Ulrich Wiesner

July 29 2015
Date

[Signature]
First Witness Signature

7-29-2015
Date

DOLORES DEWBUM
First Witness Printed Name

[Signature]
Second Witness Signature

7-29-2015
Date

2003080-0646
2003080-0785
2003080-0829
2003080-0830

YUK MUN LI
Second Witness Printed Name

Notary Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day
of _____, 2013

STATE OF _____ : _____
COUNTY OF _____ : _____
[name of assignor]

This _____ day of _____, 2013, before me personally came the
above-named _____, to me personally known as the individual
who executed the same of his/her own free will for the purposes therein set forth.

Notary Public

Commission expires on _____.

Cornell University ASSIGNMENT

WHEREAS, I, Kai Ma, a Chinese citizen, residing at 2250 N. Triphammer Road, Apt. N2A, Ithaca, New York 14850 (US) have invented certain new and useful improvements in NANOPARTICLE DRUG CONJUGATES for which an application for Letters Patent in the United States was filed as U.S. Serial No. 14/722,307 and International Application No. PCT/US2015/032565 on May 27, 2015, claiming priority to and the benefit of U.S. Provisional Application Nos.: 62/004,738, filed on May 29, 2014, and 62/094,923, filed on December 19, 2014.

AND WHEREAS, Cornell University, with its principal place of business at Cornell Center for Technology, Enterprise & Commercialization ("CCTEC"), 395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, I, the said inventors, do hereby acknowledge that I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Cornell University, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said Cornell University, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that I will communicate to the said Cornell University, its successors, legal representatives, and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally do everything possible to aid the said Cornell University, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

Witness Signature Option

Kai Ma
Kai Ma

11/04/2015
Date

Shali
First Witness Signature

11/04/2015
Date

Shali
First Witness Printed Name

Attorney Docket No.: 2003080-0829
2003080-0830

Candis Bailey
Second Witness Signature

11/04/2015
Date

CANDIS BAILEY
Second Witness Printed Name

Notary Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day of _____, 2015

[name of assignor]

STATE OF _____ : _____
COUNTY OF _____ :

This _____ day of _____, 2015, before me personally came the above-named _____, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.

Notary Public

Commission expires on _____.

Attorney Docket No.: 2003080-0829
2003080-0830

PATENT