

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
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EPAS ID: PAT5155940

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JOHN K. BIELICKI	03/03/2016
ARTERY THERAPEUTICS, INC.	03/03/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
<b>Street Address:</b>	1111 FRANKLIN STREET
<b>Internal Address:</b>	12TH FLOOR
<b>City:</b>	OAKLAND
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94607-5200
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	16020395
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(415)576-0300
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	415-576-0200
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<b>Correspondent Name:</b>	KILPATRICK TOWNSEND & STOCKTON LLP
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<b>Address Line 4:</b>	SAN FRANCISCO, CALIFORNIA 94111-3834
<b>ATTORNEY DOCKET NUMBER:</b>	077429-011430US-1093795
<b>NAME OF SUBMITTER:</b>	DORINDA WOODLEY
<b>SIGNATURE:</b>	/Dorinda Woodley/
<b>DATE SIGNED:</b>	09/24/2018
<b>Total Attachments: 3</b>	
source=077429_1093795_Assignment_Bielicki_Artery_to_UC_09_24_18#page1.tif	
source=077429_1093795_Assignment_Bielicki_Artery_to_UC_09_24_18#page2.tif	
source=077429_1093795_Assignment_Bielicki_Artery_to_UC_09_24_18#page3.tif	



## ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

**John K. Bielicki**

Residing at

**1008 Lakeridge Place  
San Ramon, California 94582**

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, The Regents of The University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>TH</sup> Floor, Oakland, CA 94607-5200 and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**TITLE: PEPTIDES HAVING REDUCED TOXICITY THAT STIMULATE CHOLESTEROL EFFLUX**

which is found in U.S patent application serial no. **14/774,682** filed with the United States Patent and Trademark Office on **September 10, 2015**,

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may necessary or desirable to carry out the purposes thereof.



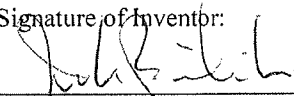
## ASSIGNMENT

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Executed this:

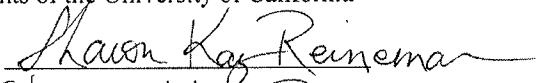
3 day of March, 2014

Signature of Inventor:

  
John K. Bielicki

Hereby Accepted on behalf of  
The Regents of the University of California

Signature



Name

Sharon Kay Reineman

Title

Legal Analyst, Patent Admin (LBNL IPO)

Date

March 4, 2016

KT file no. 07742-0950856 (011420US)  
68136799v.1

**ASSIGNMENT**

LBNL Case Nos. JIB 3305US-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

**Artery Therapeutics, Inc.      10 Duberstein Drive      San Ramon, California 94583**

hereby sells, assign(s) and transfer(s) to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>TH</sup> Floor, Oakland, California 94607-5200 and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**TITLE: PEPTIDES HAVING REDUCED TOXICITY THAT STIMULATE CHOLESTEROL EFFLUX**  
which is found in U.S patent application serial no. **14/774,682** filed with the United States Patent and Trademark Office on **September 10, 2015**,

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

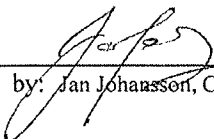
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of The University of California.

Executed this:

on behalf of Artery Therapeutics, Inc.

3<sup>rd</sup> day of March, 2016

  
by: Jan Johansson, CEO & President

ACKNOWLEDGEMENT OF ASSIGNEE, The Regents of the University of California:

Date: March 2, 2016

By Sharon Kay Reineman  
Name: Sharon Kay Reineman  
Title: Legal Analyst, Patent Admin (LBNL IPo)

KT file no. 077429-0950856 (011420US)  
68136753V.1