# 505065942 08/28/2018

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5112698

UBMISSION TYPE:		NEW ASSIGNMENT		
NATURE OF CONVEYAN	ICE:	ASSIGNMENT		
CONVEYING PARTY DA	ATA			
		Name	Execution Date	
ANDREA MAHR			02/15/2017	
TONI WEINSCHENK			02/08/2017	
ANITA WIEBE			02/08/2017	
OLIVER SCHOOR			02/08/2017	
JENS FRITSCHE			02/08/2017	
HARPREET SINGH			02/08/2017	
RECEIVING PARTY DA	 ТА			
Name:	IMMAT	ICS BIOTECHNOLOGIES GMBH		
Street Address:	PAUL-	EHRLICH-STRASSE 15		
City:	TUEBI	TUEBINGEN		
State/Country:	GERMANY			
Postal Code:	72076			
PROPERTY NUMBERS Property Type	Total: 1	Number		
		16109427		
CORRESPONDENCE DA	ΑΤΑ			
<i>using a fax number, if p</i> Phone: Email:	orovideo	<i>b the e-mail address first; if that is unsut</i> <i>d; if that is unsuccessful, it will be sent</i> 3014536112 hmcbee@mmwvlaw.com, docketing@mm	via US Mail.	
<i>using a fax number, if p</i> Phone: Email: Correspondent Name:	orovideo	<i>d; if that is unsuccessful, it will be sent</i> v 3014536112 hmcbee@mmwvlaw.com, docketing@mm MMWV IP, LLC.	via US Mail.	
<i>using a fax number, if p</i> Phone: Email: Correspondent Name: Address Line 1:	orovideo	<i>d; if that is unsuccessful, it will be sent</i> v 3014536112 hmcbee@mmwvlaw.com, docketing@mm	via US Mail.	
<i>using a fax number, if p</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 4:	provideo	d; if that is unsuccessful, it will be sent w 3014536112 hmcbee@mmwvlaw.com, docketing@mm MMWV IP, LLC. 510 SOUTH MARKET STREET FREDERICK, MARYLAND 21701	via US Mail.	
<i>using a fax number, if p</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 4:	provideo	d; if that is unsuccessful, it will be sent 3014536112 hmcbee@mmwvlaw.com, docketing@mm MMWV IP, LLC. 510 SOUTH MARKET STREET FREDERICK, MARYLAND 21701	via US Mail.	
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using a fax number, if p Phone: Email: Correspondent Name: Address Line 1: Address Line 4: ATTORNEY DOCKET NU	provideo	d; if that is unsuccessful, it will be sent v 3014536112 hmcbee@mmwvlaw.com, docketing@mm MMWV IP, LLC. 510 SOUTH MARKET STREET FREDERICK, MARYLAND 21701 2912919-058006 HANNAH MCBEE	via US Mail.	

Total Attachments: 6	
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## ASSIGNMENT WITH DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

### ASSIGNMENT

Whereas, I/We, the undersigned inventor(s) hereinafter called assignor(s), have invented certain improvements described in the application identified below; and

Whereas, <u>immatics biotechnologies GmbH</u> of <u>Tübingen</u>, <u>Germany</u>, (assignee), who business address is Paul-Ehrlich-Straße 15, 72076 Tübingen, Germany, desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assigne, its successors, legal representatives, and assigns, my/our entire right, title and interest, if any, in the invention and the application for the United States of America, including all direct and indirect divisions, continuations, and continuations-in-part thereof, and all original, extended, reissued, reviewed, and reexamined Letters Patent of the United States, and all countries foreign thereto, that may be granted thereon, including rights of priority under the International Convention of Paris (1883) as amended, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we hereby agree that the assignee may apply for foreign Letters Patent on the invention and I/we will without further consideration, communicate all facts know to me/us and execute without further consideration all papers deemed necessary by the assignee in connection with the United States and foreign applications when called upon to do so by the assignee, its successors, legal representatives, or assigns. I/We further represent and warrant that I/We have the full right to convey the interest assigned by this assignment, and that I/We have not granted any rights inconsistent with the rights granted herein. I/We further acknowledge an obligation of assignment of this invention to assignee at the time the invention was made.

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

### DECLARATION

As a below named inventor. I hereby declare that this assignment with declaration is directed to:

The attached application, OR International Application or PCT International Application Number <u>15/B75070</u> (filed on <u>09 December 2016</u>)

#### PRE-AIA

My residence, mailing address, and citizenship are as stated below next to my name. I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention titled:

### NOVEL PEPTIDES AND COMBINATION OF PEPTIDES FOR USE IN IMMUNOTHERAPY AGAINST CLL AND OTHER CANCERS

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

## POST-AIA

The above identified application was made or was authorized to be made by me.

I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this assignment with declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

#### Authorization To Permit Access To Application by Participating Office

 $\boxtimes$  If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the aboveidentified patent application-as-filed, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the aboveidentified patent application, and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Office,

NAME OF SOLE OR FIRST INVENTOR:				
Given Name				
(first and middle [if any]) Andrea	Family Name or Surname	2	MAHR	
Inventor's signature	Y		5-FEB-2017	
signature 9' NGLA		Date /C	<u> 180 - all p</u>	
Residence: Tübingen, DE	c	Sitizenship	DE	
Mailing Address: Kirschenweg 22, 72076 Tübingen, Germany				
NAME OF SECOND INVENTOR:	***************************************			
Given Name				
(first and middle [if any]) Toni	Family Name or Surname	ç	WEINSCHENK	
Inventor's CCC	E	Date <u>8</u>	Feb 2017	
Residence: Aichwald, DE	C	Cinizenship	DE	
Mailing Address: Im Morgenrain 15, 73773 Aichwald, Germany				
NAME OF THIRD INVENTOR:	***************************************			
Given Name				
(first and middle [if any]) Anita	Family Name or Surname	:	WIEBE	
Inventor's signature		69	en en en en en	
signature Alexandre Alexandre	<u>D</u>	Date <u>&amp;</u> ~	<u>FEB-7017</u>	
Residence: Pliezhausen, DE	C	litizenship	DE	
Mailing QUELLENSTRASSE 43,7212	4 RÜBGARTEN, 1	GE RM MA	Y	
Address: Rosenstrasse-13,-72124-Pliozhausen, Germany		~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	<u></u>	
NAME OF FOURTH INVENTOR:				
Given Name (first and middle [if any]) Oliver	Family Name or Surname	3	SCHOOR	
Inventor's / //		63	C	
signature ( ( 16/20/	0	Date VS **	<u>Pet-2017</u>	
Residence: Tübingen, DE	c	itizenship	DE	
Mailing Address: Eichhaldenstrasse 19. 72074 Tübingen, Germany				
NAME OF FIFTH INVENTOR:				
Given Name				
(first and middle [if any]) Jens	Family Name or Sumame		FRITSCHE	
Inventor's signature	D	Date 8	Tes - 2017	
Residence: Dusslingen, DE	c	litizenship	DE	
Mailing Address: Lärchenweg 11., 72144 Dusslingen, Germany				

NAME OF SIXTH INVENTOR:						
Given Name (first and middle	[if any])	Harpreet	Farr	nily Name or Surna	me	SINGH
Inventor's signature					Date	
Residence:	Houston, US				Citizenship	DE
Mailing Address:	2215 Dryden Rd,	Houston TX 77030, Unite	d States			

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Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assigne, its successors, legal representatives, and assigns, my/our entire right, title and interest, if any, in the invention and the application for the United States of America, including all direct and indirect divisions, continuations, and continuations-in-part thereof, and all original, extended, reissued, reviewed, and reexamined Letters Patent of the United States, and all countries foreign thereto, that may be granted thereon, including rights of priority under the International Convention of Paris (1883) as amended, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we hereby agree that the assignee may apply for foreign Letters Patent on the invention and I/we will without further consideration, communicate all facts know to me/us and execute without further consideration all papers deemed necessary by the assignee in connection with the United States and foreign applications when called upon to do so by the assignee, its successors, legal representatives, or assigns. I/We further represent and warrant that I/We have the full right to convey the interest assigned by this assignment, and that I/We have not granted any rights inconsistent with the rights granted herein. I/We further acknowledge an obligation of assignment of this invention to assignee at the time the invention was made.

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

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NAME OF SOLE OR FIRST INVENTOR:					
Given Name					
(first and middle [if any]) Andrea	Family Name or Surname	<u>e</u>	MAHR		
Inventor's signature	I	Date			
Residence: Tübingen, DE		Citizenship	DE		
Mailing Address: Kirschenweg 22, 72076 Tübingen, Germany					
NAME OF SECOND INVENTOR:					
Given Name (first and middle [if any]) Toni	Family Name or Surname	<u>1e</u>	WEINSCHENK		
Inventor's signature	1	Date			
Residence: Aichwald, DE		Citizenship	DE		
Mailing Address: Im Morgenrain 15, 73773 Aichwald, Germany					
NAME OF THIRD INVENTOR:					
Given Name (first and middle [if any]) Anita	Family Name or Surnam	<u>10</u>	WIEBE		
Inventor's signature		Date	·····		
Residence: Pliezhausen, DE		Citizenship	DE		
Mailing Address: Rosenstrasse 13, 72124 Pliezhausen, Germany					
NAME OF FOURTH INVENTOR:					
Given Name (first and middle [if any]) Oliver	Family Name or Surnam	ne	SCHOOR		
Inventor's signature		Date			
Residence: Tübingen, DE		Citizenship	DE		
Mailing Address: Eichhaldenstrasse 19, 72074 Tübingen. Germany					
NAME OF FIFTH INVENTOR:					
Given Name (first and middle [if any]) Jens	Family Name or Surnan	ne	FRITSCHE		
Inventor's signature		Date			
Residence: Dusslingen, DE		Citizenship	DE		
Mailing Address: Lärchenweg 11,, 72144 Dusslingen, Germany					

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NAME OF SIXTH INVENTOR:				
Given Name	Family Name or Sumanie SINGH			
(first and middle [if any]) Harpreet	Date 2 8 17			
signature Hum				
Residence: Houston US	Citizenship DE			
Mailing Address: 2215 Dryden Rd, Houston TX 77030, United State	25			

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