505086071 09/10/2018

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5132829

NATURE OF CONVEYANCE: Corrective Assignment to correct the ASSIGNOR JOHN P. BUFE TO JOHN P. BUFE, III, previously recorded on Reel 037815 Frame 0409. Assignor(s) hereby confirms the ASSIGNMENT TO INTERNATIONAL	SUBMISSION TYPE:	JOHN P. BUFE, III, previously recorded on Reel 037815 Frame 0409.	
BUSINESS MACHINES CORPORATION			

CONVEYING PARTY DATA

Name	Execution Date
JOHN P. BUFE III	12/10/2014
DONNA K. BYRON	12/10/2014
MARY D. SWIFT	12/10/2014
TIMOTHY P. WINKLER	12/10/2014

RECEIVING PARTY DATA

Name:	ame: INTERNATIONAL BUSINESS MACHINES CORPORATION	
Street Address: NEW ORCHARD ROAD		
City: ARMONK		
State/Country: NEW YORK		
Postal Code:	10504	

PROPERTY NUMBERS Total: 1

Property Type	Number	
Application Number:	15052171	

CORRESPONDENCE DATA

Fax Number: (512)345-7225

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 5123389100

Email: tmunoz@tcchlaw.com

TERRILE, CANNATTI & CHAMBERS, LLP **Correspondent Name:**

Address Line 1: PO BOX 203518

Address Line 4: AUSTIN, TEXAS 78720

ATTORNEY DOCKET NUMBER: AUS920140408US02		
NAME OF SUBMITTER:	MICHAEL ROCCO CANNATTI	
SIGNATURE:	/Michael Rocco Cannatti/	
DATE SIGNED:	NED: 09/10/2018	
This document serves as an Oath/Declaration (37 CFR 1.63).		

PATENT REEL: 047045 FRAME: 0210

505086071

Total Attachments: 9 source=AUS920140408US1_Declaration-Assignment#page1.tif source=AUS920140408US1_Declaration-Assignment#page2.tif source=AUS920140408US1_Declaration-Assignment#page3.tif source=AUS920140408US1_Declaration-Assignment#page4.tif source=AUS920140408US1_Declaration-Assignment#page5.tif source=AUS920140408US1_Declaration-Assignment#page6.tif source=AUS920140408US1_Declaration-Assignment#page7.tif source=AUS920140408US1_Declaration-Assignment#page8.tif source=AUS920140408US1_Declaration-Assignment#page9.tif

PATENT REEL: 047045 FRAME: 0211

503707273 02/24/2016

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

SUBMISSION TYPE: NEW ASSIGNMENT NATURE OF CONVEYANCE: ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
JOHN P. BUFE	12/10/2014
DONNA K. BYRON	12/10/2014
MARY D. SWIFT	12/10/2014
TIMOTHY P. WINKLER	12/10/2014

RECEIVING PARTY DATA

Name:	INTERNATIONAL BUSINESS MACHINES CORPORATION	
Street Address: NEW ORCHARD ROAD		
City: ARMONK		
State/Country: NEW YORK		
Postal Code:	10504	

PROPERTY NUMBERS Total: 1

Property Type	Number	
Application Number:	15052171	

CORRESPONDENCE DATA

Fax Number: (512)345-7225

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 512-338-9100

Email: tmunoz@tcchlaw.com

Correspondent Name: TERRILE, CANNATTI, CHAMBERS & HOLLAND, L

Address Line 1: P.O. BOX 203518 Address Line 4:

AUSTIN, TEXAS 78720

ATTORNEY DOCKET NUMBER:	AUS920140408US2	
NAME OF SUBMITTER:	MICHAEL ROCCO CANNATTI	
SIGNATURE: /Michael Rocco Cannatti/		
DATE SIGNED: 02/24/2016		
This document serves as an Oath/Declaration (37 CFR 1.63).		

Total Attachments: 8

source=AUS920140408US2 Declaration-Assignment#page1.tif

PATENT REEL: 047045 FRAME: 0212

EPAS ID: PAT3753914

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: ESTABLISHING USER SPECIFIED INTERACTION MODES IN A QUESTION ANSWERING DIALOGUE

As a below named inventor, I hereby declare that:
This declaration is directed to the attached application, or (if following box is checked):
[] United States application or PCT international application number
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I have reviewed and understand the contents of the application, including the claims.
I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, the undersigned inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and each undersigned inventor desires to grant to IBM, the entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor ("ASSIGNOR") hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal representatives, or assigns.

Page 1 of 2

Each undersigned inventor acknowledges their prior and ongoing obligations to sell, assign, and transfer the rights under this Assignment to IBM and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned inventor also hereby grants IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1)	Legal Name of Inventor: JOHN P. BUFE	
	Signature:	Date:12/10/2014
(2)	Legal Name of Inventor: DONNA K. BYRON	
	Signature:	Date:
(3)	Legal Name of Inventor: MARY D. SWIFT	
	Signature:	Date:
(4)	Legal Name of Inventor: TIMOTHY P. WINKLER	
	Signature:	Date:

IBM DOCKET NUMBER: AUS920140408US1

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: ESTABLISHING USER SPECIFIED INTERACTION MODES IN A QUESTION ANSWERING DIALOGUE

As a below named inventor, I hereby declare that:
This declaration is directed to the attached application, or (if following box is checked):
[] United States application or PCT international application number
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I have reviewed and understand the contents of the application, including the claims.
I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, the undersigned inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and each undersigned inventor desires to grant to IBM, the entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor ("ASSIGNOR") hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal representatives, or assigns.

Page 1 of 2

Each undersigned inventor acknowledges their prior and ongoing obligations to sell, assign, and transfer the rights under this Assignment to IBM and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned inventor also hereby grants IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1)	Legal Name of Inventor: JOHN P. BUFE	
	Signature:	Date:
(2)	Legal Name of Inventor: DONNA K. BYRON	
	Signature: Down K. Byron	Date: <u>12-10-2014</u> _
(3)	Legal Name of Inventor: MARY D. SWIFT	
	Signature:	Date:
(4)	Legal Name of Inventor: TIMOTHY P. WINKLER	
	Cit	Date

IBM DOCKET NUMBER: AUS920140408US1

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: ESTABLISHING USER SPECIFIED INTERACTION MODES IN A QUESTION ANSWERING DIALOGUE

As a below named inventor, I hereby declare that:
This declaration is directed to the attached application, or (if following box is checked):
[] United States application or PCT international application number
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I have reviewed and understand the contents of the application, including the claims.
I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.
Whereas, the undersigned inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IRVAR.

identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and each undersigned inventor desires to grant to IBM, the entire worldwide right, title, and interest in and to the Invention and

in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor ("ASSIGNOR") hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign

countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal

Page 1 of 2

representatives, or assigns.

PATENT

REEL: 047045 FRAME: 0217

IBM DOCKET NUMBER: AUS920140408US1

Each undersigned inventor acknowledges their prior and ongoing obligations to sell, assign, and transfer the rights under this Assignment to IBM and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned inventor also hereby grants IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: JOHN P. BUFE	
Signature:	Date:
(2) Legal Name of Inventor: DONNA K. BYRON	
Signature:	Date:
(3) Legal Name of Inventor: MARY D. SWIFT	
Signature: Mary Smith	Date: 12/10/2/14
(4) Legal Name of Inventor: TIMOTHY P. WINKLER	
Signature:	Date:

Page 2 of 2

REEL: 047045 FRAME: 0218

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: ESTABLISHING USER SPECIFIED INTERACTION MODES IN A QUESTION ANSWERING DIALOGUE

As a below named inventor, I hereby declare that:				
This declaration is directed to the attached application, or (if following box is checked):				
[] United States application or PCT international application number				
The above-identified application was made or authorized to be made by me.				
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.				
I have reviewed and understand the contents of the application, including the claims.				
I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.				

Whereas, the undersigned inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and each undersigned inventor desires to grant to IBM, the entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor ("ASSIGNOR") hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal representatives, or assigns.

Page 1 of 2

Each undersigned inventor acknowledges their prior and ongoing obligations to sell, assign, and transfer the rights under this Assignment to IBM and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned inventor also hereby grants IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1)	Legal Name of Inventor: JOHN P. BUFE		
	Signature:	Date:	
(2)	Legal Name of Inventor: DONNA K. BYRON		
	Signature:	Date:	
(3)	Legal Name of Inventor: MARY D. SWIFT		
	Signature:	Date:	
(4)	Legal Name of Inventor: TIMOTHY P. WINKLER		
	Signature:	Date:	12/10/14

Page 2 of 2

PATENT REEL: 047045 FRAME: 0220

RECORDED: 09/10/2018