

PATENT ASSIGNMENT COVER SHEET

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Stylesheet Version v1.2

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
FANG SHEN	06/20/2016
RECEIVING PARTY DATA	
Name:	ROCHE R&D CENTER (CHINA) LTD.
Street Address:	BUILDING 5, NO. 720, CAILUN ROAD
City:	SHANGHAI
State/Country:	CHINA
Postal Code:	201203
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15970128
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NAME OF SUBMITTER:	JONATHAN DUFFIELD
SIGNATURE:	/Jonathan Duffield/
DATE SIGNED:	10/08/2018
Total Attachments: 4	
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ASSIGNMENT AGREEMENT

I/We, the undersigned

Inventor(s)

Fang SHEN, a Chinese citizen,
Building No. 5, Lane 720, Cailun Road,
Shanghai 201203, China

Employed by:

Roche R&D Center (China) Ltd

hereinafter ASSIGNOR(S), herewith declare that I am/we are the inventor(s) of the invention entitled:

COMBINATION THERAPY OF AN HBV CAPSID ASSEMBLY INHIBITOR AND AN INTERFERON

disclosed in patent application(s) to be filed in certain countries of the world claiming priority of the patent(s) or patent application(s) filed as listed below and for which I/we am/were not named as inventor(s)

Patent Office

State Intellectual Property Office of the People's Republic of China

as outlined below:

Case No.	Country	Filing Date	Serial No.
P33189	WO	03. November 2015	PCT/CN2015/093688

ASSIGNOR(S) acknowledge(s) the previous transfer to

Roche R&D Center (China) Ltd.
Building 5, No. 720, Cailun Road
Shanghai, 201203
China

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the invention(s) disclosed by ASSIGNOR(S) in patent application(s) to be filed in certain countries of the world claiming priority of the patent or patent application(s) filed as listed above, by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the invention(s) disclosed by ASSIGNOR(S) in patent application(s) to be filed in certain countries of the world claiming priority of the patent or patent application(s) filed as listed above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention(s) disclosed by ASSIGNOR(S) in patent application(s) to be filed in certain countries of the world claiming priority of the patent or patent application(s) filed as listed above, including the right to claim priority.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to apply for, obtain, and own patents and patent application worldwide in its own name and at its own costs that

- a) claim priority to and are based in whole or in part on the patent or patent application(s) set forth above for which priority is claimed and
- b) claim priority to and are based in whole or in part on any patent and patent application(s) to be filed in certain countries of the world which are based on the application(s) set forth above for which priority is claimed,

to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

ASSIGNOR(S)

Shanghai Jun 20th, 2016
Place, Date

Fang Shen 方申
Fang SHEN

ASSIGNEE

Roche R&D Center (China) Ltd.

Shanghai June 20, 2016
Place, Date

Yipin Zhu 朱毅平

公 证 书

(2016)沪东证外字第 39849 号

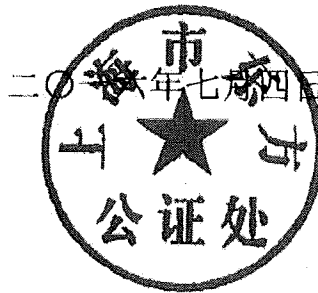
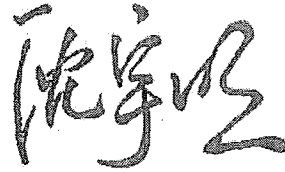
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法定代表人：ALEXANDER DAVID HARDY
委托代理人：朱颖先，男，一九七六年四月三十日出生
公民身份号码：320525197604303012

公证事项：签名

兹证明沈芳、罗氏研发（中国）有限公司的委托代理人朱颖先于二〇一六年六月二十日在上海市，分别在前面的《ASSIGNMENT AGREEMENT》上签名，上述签名行为均属实。

中华人民共和国上海市东方公证处

公 证 员



190381001

Translation

NOTARIAL CERTIFICATE

(2016)H.D.Z.W.Z.No.39849

Applicants: SHEN Fang, female, born on November 28, 1983, Citizen ID

No.: 360428198311282022

Roche R&D Center (China) Ltd.,

Domicile: No.5, Lane 720, Cailun Road, Zhangjiang Hi-Tech
Park, Shanghai,

Legal Representative: ALEXANDER DAVID HARDY

Entrusted Agent: ZHU Yingxian, male, born on April 30, 1976,

Citizen ID No. 320525197604303012

Notarization matter: Signature

This is to certify that SHEN Fang, and ZHU Yingxian, the entrusted agent
of Roche R&D Center (China) Ltd., subscribed their names to the
foregoing ASSIGNMENT AGREEMENT respectively in Shanghai on
June 20, 2016, and the act of foresaid signing is genuine.

Shen Yu Ming (Seal)

Notary Public

The People's Republic of China

Shanghai Oriental Notary Public Office

(Seal)

Dated July 4, 2016

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