

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT5178182

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ISABEL NAJERA	11/01/2016
STEFFEN WILDUM	11/01/2016
RECEIVING PARTY DATA	
Name:	F. HOFFMANN-LA ROCHE AG
Street Address:	GRENZACHERSTRASSE 124
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State/Country:	SWITZERLAND
Postal Code:	4070
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15970128
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NAME OF SUBMITTER:	JONATHAN DUFFIELD
SIGNATURE:	/Jonathan Duffield/
DATE SIGNED:	10/08/2018
Total Attachments: 3	
source=Inventors to FHLR AG#page1.tif	
source=Inventors to FHLR AG#page2.tif	
source=Inventors to FHLR AG#page3.tif	

**ASSIGNMENT AGREEMENT**

I/We, the undersigned

Inventor(s)**Employed by:**

1. Isabel NAJERA, a British citizen,
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland
2. Steffen WILDUM, a German citizen,
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

hereinafter ASSIGNOR(S), herewith declare that I am/we are joint inventor(s) together with

Inventor(s)**Employed by:**

Lu GAO, a Chinese citizen,
Building No. 5, Lane 720, Cailun Road,
Shanghai 201203, China

Roche R&D Center (China) Ltd

Hong SHEN, a US citizen,
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Shanghai 201203, China

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Liping SHI, a Chinese citizen,
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Guang YANG, a Chinese citizen,
Building No. 5, Lane 720, Cailun Road,
Shanghai 201203, China

Roche R&D Center (China) Ltd

hereinafter CO-INVENTOR(S)

of the invention entitled:

COMBINATION THERAPY OF AN HBV CAPSID ASSEMBLY INHIBITOR AND AN INTERFERON

for which (a) priority application(s) was (were) filed with the

Patent Office

State Intellectual Property Office of the People's Republic of China

as outlined below:

Case No.	Country	Filing Date	Serial No.
P33189	WO	03. November 2015	PCT/CN2015/093688

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann-La Roche AG
Grenzacherstrasse 124
4070 Basel
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

The assignment of the rights of the CO-INVENTOR(S) is subject to a separate assignment agreement. ASSIGNOR(S) explicitly approve(s) that assignment.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

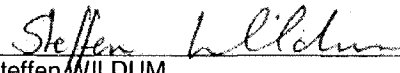
This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR(S)

Basel, 11.1.2016
Place, Date



Isabel NAJERA

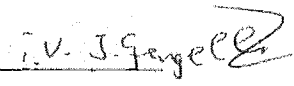
Basel, 11.1.2016
Place, Date


Steffen WILDUM

ASSIGNEE**F. Hoffmann-La Roche AG**

Basel, January 18, 2016
Place, Date


Antonio Natoli
Holder of procuration


Ina Gengelbach
Mandatory

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signatures of

Miss **Isabel Najera**, born on February 27th, 1967, British citizen, residing in Basel (Switzerland), and

Mister **Steffen Wildum**, born on April 16th, 1978, German citizen, residing in Binzen (Germany).

He certifies that the named persons are in the employ of F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland).

He also legalizes the overleaf signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Ina Gengelbach, German citizen, residing in Grenzach-Wyhlen (Germany),

both duly entitled to sign documents wherein property of the company is alienated.

All signers and signatures personally known.

B a s e l (Switzerland), this 21st (twenty-first) day of January 2016 (two thousand and sixteen).



A handwritten signature in black ink, appearing to be "Dr. Nertz".

BR 106/2016