

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5149530

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
FREDERICK JETHRO HARRISON	06/19/2017
JOSHUA MATTHEW LANZON-MILLER	06/21/2017
PAUL SCOTT	06/16/2017
ANDREW JULIAN STOCKDALE	05/02/2018
RECEIVING PARTY DATA	
Name:	CONOPCO, INC., D/B/A UNILEVER
Street Address:	800 SYLVAN AVENUE
City:	ENGLEWOOD CLIFFS
State/Country:	NEW JERSEY
Postal Code:	07632
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16067866
CORRESPONDENCE DATA	
Fax Number:	(201)431-9180
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	203-816-4047
Email:	patentgroupus@Unilever.com
Correspondent Name:	SALLY ALDAHONDO
Address Line 1:	700 SYLVAN AVENUE
Address Line 2:	A4
Address Line 4:	ENGLEWOOD CLIFFS, NEW JERSEY 07632
ATTORNEY DOCKET NUMBER:	F3714USW
NAME OF SUBMITTER:	SALLY ALDAHONDO
SIGNATURE:	/Sally Aldahondo/
DATE SIGNED:	09/20/2018
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 15	

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source=F3714USw-Assign-Decl#page15.tif

DECLARATION / ASSIGNMENT - STATEMENT

WHEREAS, I/We,

HARRISON Frederick Jethro, with Residence address at: Cambridge, GB and Mailing Address at PA Consulting Group, Back Lane, Melbourn, Royston, SG8 6DP, United Kingdom

LANZON-MILLER Joshua Matthew, Alessandro, with Residence address at: Milton Keynes, GB and Mailing Address at Cambridge Design Partnership Ltd, Church Road, Toft, Cambridge, CB23 2RF, United Kingdom

SCOTT Paul, with Residence address at: Histon, GB and Mailing Address at Cambridge Design Partnership Ltd, Church Road, Toft, Cambridge, CB23 2RF, United Kingdom

STOCKDALE Andrew Julian, with Residence address at: Cambridge, GB, and Mailing Address at The Paddock, 17 Watson's Yard, Haslingfield, Cambridge, CB23 1AB, United Kingdom

invented certain improvements in:

APPARATUS FOR DELIVERING FROZEN CONFECTION COMPRISING PARTICULATE MATERIAL

which is found in:

- ☐ a patent application, attached hereto, said application being identified as Unilever Case No. _____;
OR
☒ International Application No. PCT/EP2016/080426 filed on 2016-12-09 ("the Filing Date");

I. DECLARATION of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:

- (a) ☐ This declaration is directed to the above-identified international application of which it forms a part (if filing declaration with application)
OR
(b) ☒ This declaration is directed to the above-identified international application (if furnishing declaration pursuant to Rule 26ter).

I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby declare that the above-identified international application was made or authorized to be made by me.

I hereby acknowledge that any wilful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

My signature is set forth at the end of this statement.

II. DUTY OF DISCLOSURE

I/We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above; and

I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications,

material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

III. ASSIGNMENT

WHEREAS, Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632 desires to acquire the entire right, title and interest in and to the aforesaid application (the "Application") and in and to the invention(s) therein disclosed for the United States (herein understood to include its possessions and territories).

NOW, THEREFORE, in consideration of one dollar (\$1.00) to each of the undersigned in hand paid and other good and valuable executed consideration, the receipt and sufficiency of all of which is hereby acknowledged, I/We, the undersigned, intending to be legally bound, hereby agree to sell, assign, transfer and convey and hereby do sell, assign, transfer, and convey unto said Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632, its successors and assigns, the entire right, title and interest in and to the invention(s) disclosed in the Application in and for the United States, and in and to the Application and any and all divisional, continuation, continuation-in-part, substitute or other applications in the United States describing said invention(s) or improvements thereon (including the right to claim foreign and/or domestic priority of any of application(s) describing said inventions), and in and to any and all Letters Patent of the United States which may issue from any of the foregoing applications, including any and all extensions, renewals and reissues thereof, the same to be held by and for the sole and exclusive use and benefit of the said Conopco, Inc., d/b/a UNILEVER, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said Conopco, Inc., d/b/a UNILEVER, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said Conopco, Inc., d/b/a UNILEVER in the prosecution of any and all said applications and in the prosecution and/or defense of any and all post-grant or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, may be necessary to secure to said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

This Assignment is effective as of the Filing Date.

My/Our signature below is applicable to all pages above, setting out the Declaration, Duty of Disclosure and the Assignment descriptions and obligations:

Dated: 19 June 2017


 Frederick Jethro HARRISON

Dated: _____

Joshua Matthew, Alessandro LANZON-MILLER

Dated: _____

Paul SCOTT

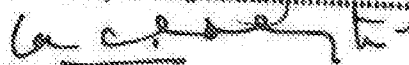
Dated: _____

Andrew Julian STOCKDALE

Seen by me for the LEGALISATION
 of the Signature of

Frederick Jethro Harrison

this 2nd day of July 2018



IAN CHARLES CODRINGTON
 Notary Public
 Bedford & Ampthill Notaries
 Hassett House
 Hassett Street
 Bedford MK40 1HA
 +44 (1234) 794007

APOSTILLE (Convention de La Haye du 5 octobre 1961)	
1. Country: Pays / País:	United Kingdom of Great Britain and Northern Ireland
This public document Le présent acte public / El presente documento público	
2. Has been signed by a été signé par ha sido firmado por	Ian Charles Codrington
3. Acting in the capacity of agissant en qualité de quien actúa en calidad de	Notary Public
4. Bears the seal / stamp of est revêtu du sceau / timbre de y está revestido del sello / timbre de	The Said Notary Public
Certified Attesté / Certificado	
5. at à / en	London
6. the le / el día	23 July 2018
7. by par / por	Her Majesty's Principal Secretary of State for Foreign and Commonwealth Affairs
8. Number sous no / bajo el numero	APO-1001149
9. Seal / stamp Sceau / timbre Sello / timbre	10. Signature Signature Firma



This Apostille is not to be used in the UK and only confirms the authenticity of the signature, seal or stamp on the attached UK public document. It does not confirm the authenticity of the underlying document. Apostilles attached to documents that have been photocopied and certified in the UK confirm the signature of the UK official who conducted the certification only. It does not authenticate either the signature on the original document or the contents of the original document in any way.

If this document is to be used in a country not party to the Hague Convention of the 5th of October 1961, it should be presented to the consular section of the mission representing that country.

PATENT

REEL: 047112 FRAME: 0867

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

DECLARATION / ASSIGNMENT - STATEMENT

WHEREAS, I/We,

HARRISON Frederick Jethro, with Residence address at: Cambridge, GB and Mailing Address at PA Consulting Group, Back Lane, Melbourn, Royston, SG8 6DP, United Kingdom

LANZON-MILLER Joshua Matthew, Alessandro, with Residence address at: Milton Keynes, GB and Mailing Address at Cambridge Design Partnership Ltd, Church Road, Toft, Cambridge, CB23 2RF, United Kingdom

SCOTT Paul, with Residence address at: Histon, GB and Mailing Address at Cambridge Design Partnership Ltd, Church Road, Toft, Cambridge, CB23 2RF, United Kingdom

STOCKDALE Andrew Julian, with Residence address at: Cambridge, GB, and Mailing Address at The Paddock, 17 Watson's Yard, Haslingfield, Cambridge, CB23 1AB, United Kingdom

invented certain improvements in:

APPARATUS FOR DELIVERING FROZEN CONFECTION COMPRISING PARTICULATE MATERIAL

which is found in:

- ☐ a patent application, attached hereto, said application being identified as Unilever Case No. _____;
OR
☒ International Application No. PCT/EP2016/080426 filed on 2016-12-09 ("the Filing Date");

I. DECLARATION of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:

- (a) ☐ This declaration is directed to the above-identified international application of which it forms a part (if filing declaration with application)
OR
(b) ☒ This declaration is directed to the above-identified international application (if furnishing declaration pursuant to Rule 26ter).

I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby declare that the above-identified international application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

My signature is set forth at the end of this statement.

II. DUTY OF DISCLOSURE

I/We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above; and

I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications.

material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

III. ASSIGNMENT

WHEREAS, Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632 desires to acquire the entire right, title and interest in and to the aforesaid application (the "Application") and in and to the invention(s) therein disclosed for the United States (herein understood to include its possessions and territories).

NOW, THEREFORE, in consideration of one dollar (\$1.00) to each of the undersigned in hand paid and other good and valuable executed consideration, the receipt and sufficiency of all of which is hereby acknowledged, I/We, the undersigned, intending to be legally bound, hereby agree to sell, assign, transfer and convey and hereby do sell, assign, transfer, and convey unto said Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632, its successors and assigns, the entire right, title and interest in and to the invention(s) disclosed in the Application in and for the United States, and in and to the Application and any and all divisional, continuation, continuation-in-part, substitute or other applications in the United States describing said invention(s) or improvements thereon (including the right to claim foreign and/or domestic priority of any of application(s) describing said inventions), and in and to any and all Letters Patent of the United States which may issue from any of the foregoing applications, including any and all extensions, renewals and reissues thereof, the same to be held by and for the sole and exclusive use and benefit of the said Conopco, Inc., d/b/a UNILEVER, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said Conopco, Inc., d/b/a UNILEVER, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said Conopco, Inc., d/b/a UNILEVER in the prosecution of any and all said applications and in the prosecution and/or defense of any and all post-grant or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, may be necessary to secure to said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

This Assignment is effective as of the Filing Date.

My/Our signature below is applicable to all pages above, setting out the Declaration, Duty of Disclosure and the Assignment descriptions and obligations:

Dated: _____

Dated: 21 - JUN - 2017

Dated: 16 - JUN - 2017

Dated: _____

Frederick Jethro HARRISON

Joshua Matthew, Alessandro LANZON-MILLER

Paul SCOTT

Andrew Julian STOCKDALE

Seen by me for the LEGALISATION
of the Signature of

~~Frederick Jethro Harrison~~
Joshua Matthew, Alessandro Lanzon-Miller
this 2nd day of July 20 18

Laurence Codrington
MR CHARLES CODRINGTON

Notary Public

Bedford & Amptill Notaries

Hansell House

Hussell Street

Bedford MK40 1HA

+44 (1234) 794007

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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APOSTILLE (Convention de La Hays du 5 octobre 1961)	
1. Country: Pays / País:	United Kingdom of Great Britain and Northern Ireland
This public document Le présent acte public / El presente documento público	
2. Has been signed by a été signé par ha sido firmado por	Ian Charles Codrington
3. Acting in the capacity of agissant en qualité de quien actúa en calidad de	Notary Public
4. Bears the seal / stamp of est revêtu du sceau / timbre de y está revestido del sello / timbre de	The Said Notary Public
Certified Attesté / Certificado	
5. at à / en	London
6. the le / el día	23 July 2018
7. by par / por	Her Majesty's Principal Secretary of State for Foreign and Commonwealth Affairs
8. Number sous no / bajo el numero	APO-1001148
9. Seal / stamp Sceau / timbre Sello / timbre	10. Signature Signature Firma



D. Hodges

FE

This Apostille is not to be used in the UK and only confirms the authenticity of the signature, seal or stamp on the attached UK public document. It does not confirm the authenticity of the underlying document. Apostilles attached to documents that have been photocopied and certified in the UK confirm the signature of the UK official who conducted the certification only. It does not authenticate either the signature on the original document or the contents of the original document in any way.

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be required to comply
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grams, under authority
SA regulations
Commerce) directive.

after either publication
U.S.C. 151. Further, a
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gs were terminated
ben to public

State, or local law
of law or regulation.

International Application

PATENT

REEL: 047112 FRAME: 0872

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Dated: _____

Frederick Jethro HARRISON

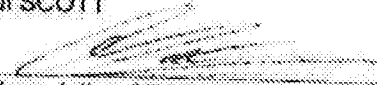
Dated: _____

Joshua Matthew, Alessandro LANZON-MILLER

Dated: _____

Paul SCOTT

Dated: 02 MAY 2018

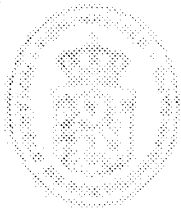

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4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



Seen by me, mr. Willem Hendrik Johannes de Jong, civil law notary in Rotterdam, the Netherlands, for legalisation of the signatures placed on the attached document of:

- Mr Paul Scott, c/o with Residence address at: Histon, GB and Mailing Address at Cambridge Design Partnership Ltd, Church Road, Toft, Cambridge, CB23 2RF, United Kingdom;
- Mr Andrew Julian Stockdale, c/o with Residence address at: Cambridge, GB and Mailing Address at The Paddock, 17 Watson's Yard, Haslingfield, Cambridge, CB23 1AB, United Kingdom.

This legalisation only certifies the signature of the above mentioned persons and their representative authority, but does not give any opinion about the further contents of the attached document, nor to the documents/agreements/transactions to which the attached document refers or may refer.

This certificate may only be relied upon on the express condition that any issues of interpretation or liability there under will be governed by Dutch law and be brought exclusively before a Dutch Court and is subject to the General Terms and Conditions of Van Heeswijk Notarissen N.V., civil law notaries (these conditions were deposited with the Registry of the Rotterdam District Court and can be found at <http://www.vhnv.nl>), which include a limitation of liability clause.

Rotterdam, 9 August 2018



APOSTILLE

(Convention de La Haye du 5 octobre 1961)

1. Country: THE NETHERLANDS
2. This public document
3. has been signed by **mr. W.H.J. de Jong**
4. acting in the capacity of notary at Rotterdam
5. bears the seal/stamp of aforesaid notary

Certified

6. in Rotterdam
7. on 09-08-2018
8. by the registrar of the district court of Rotterdam
9. no. 18-5915

10. Seal/stamp:

10. Signature:

J.W. Pollet

