

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5153882

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME
CONVEYING PARTY DATA	
Name	Execution Date
CONKWEST, INC.	07/10/2015
RECEIVING PARTY DATA	
Name:	NANTKWEST, INC.
Street Address:	3530 JOHN HOPKINS COURT
City:	SAN DIEGO
State/Country:	CALIFORNIA
Postal Code:	92121
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	10008955
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	sguevara@kilpatricktownsend.com
Correspondent Name:	TIFFANY B. THOMAS
Address Line 1:	KILPATRICK TOWNSEND & STOCKTON LLP
Address Line 2:	1100 PEACHTREE STREET, SUITE 2800
Address Line 4:	ATLANTA, GEORGIA 30309
ATTORNEY DOCKET NUMBER:	099083-0262851-320USCIP
NAME OF SUBMITTER:	SVETLANA GUEVARA
SIGNATURE:	/Svetlana Guevara/
DATE SIGNED:	09/23/2018
Total Attachments: 3	
source=Name Change from Conkwest to Nantkwest#page1.tif	
source=Name Change from Conkwest to Nantkwest#page2.tif	
source=Name Change from Conkwest to Nantkwest#page3.tif	

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "CONKWEST, INC.", CHANGING ITS NAME FROM "CONKWEST, INC." TO "NANTKWEST, INC.", FILED IN THIS OFFICE ON THE TENTH DAY OF JULY, A.D. 2015, AT 7:51 O'CLOCK P.M.

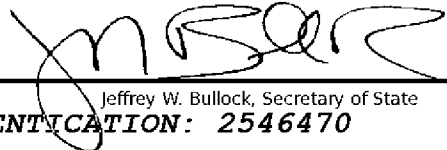
A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.

5497072 8100

151037468

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 2546470

DATE: 07-10-15

PATENT
REEL: 047129 FRAME: 0205

**CERTIFICATE OF AMENDMENT TO THE
CERTIFICATE OF INCORPORATION
OF
CONKWEST, INC.**

Conkwest, Inc. (the "**Corporation**"), a corporation organized and existing under the laws of the State of Delaware, hereby certifies as follows:

1. The original Certificate of Incorporation of the Corporation was filed with the Secretary of State of the State of Delaware on March 12, 2014.

2. This Certificate of Amendment to the Certificate of Incorporation has been duly adopted in accordance with the applicable provisions of Section 242 and Section 228 of the General Corporation Law of the State of Delaware, by the Board of Directors and the stockholders of the Corporation.

3. Pursuant to Section 242 of the General Corporation Law of the State of Delaware, this Certificate of Amendment amends the provisions of the Corporation's Certificate of Incorporation as set forth herein.

4. Article I of the Corporation's Certificate of Incorporation (the "**Certificate**") is hereby amended and restated in its entirety to read as follows:

"The name of this corporation is NantKwest, Inc."

5. Article IV of the Certificate is hereby amended and restated in its entirety to read as follows:

"Upon the effectiveness of this Certificate of Amendment, each outstanding share of Common Stock or Preferred Stock of the Corporation shall be split into 1.8515 shares of Common Stock (the "Forward Split"). The Forward Split shall occur automatically without any further action by the holders of the shares affected thereby and whether or not the certificates representing such shares are surrendered to the Company. The Forward Split shall also apply to any outstanding securities or rights convertible into, or exchangeable or exercisable for, Common Stock or Preferred Stock of the Corporation. The Forward Split shall be effected on a holder-by-holder basis and no fractional shares shall be issued upon the exchange and combination. In lieu of any fractional shares to which the holder would otherwise be entitled, the Corporation shall pay an amount of cash equal to the product of (i) the fractional share to which the holder

would otherwise be entitled and (ii) the then fair value of a share as determined in good faith by the Board of Directors of the Corporation.

After giving effect to the Forward Split, the total number of shares of stock that the Corporation shall have authority to issue is Two Hundred Twenty Million (220,000,000), consisting of Two Hundred Million (200,000,000) shares of Common Stock, \$0.0001 par value per share, and Twenty Million (20,000,000) shares of Preferred Stock, \$0.0001 par value per share."

IN WITNESS WHEREOF, the Corporation has caused this Certificate of Amendment to be signed by Barry J. Simon, its Chief Operating Officer, effective as of July 10, 2015.

CONKWEST, INC.

By: /s/ Barry Simon
Barry J. Simon, M.D., Chief Operating Officer