

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT5177264

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the EXECUTION DATES OF THE ASSIGNORS previously recorded on Reel 041731 Frame 0193. Assignor(s) hereby confirms the ASSIGNORS EXECUTION DATE OF 02/03/2014 AND 02/04/2014 SHOULD BE CORRECTED TO 12/03/2014 AND 12/04/2014, RESPECTIVELY.
CONVEYING PARTY DATA	
Name	Execution Date
MILES STUART CONGREVE	12/03/2014
JOHN ANDREW CHRISTOPHER	12/03/2014
BENJAMIN GERALD TEHAN	12/03/2014
SUKHBINDER SINGH KLAIR	12/04/2014
SARAH JOANNE AVES	12/03/2014
RECEIVING PARTY DATA	
Name:	HEPTARES THERAPEUTICS LIMITED
Street Address:	BIOPARK, BROADWATER ROAD
City:	WELWYN GARDEN CITY
State/Country:	UNITED KINGDOM
Postal Code:	AL73AX
PROPERTY NUMBERS Total: 1	
Property Type	Number
Patent Number:	9850237
CORRESPONDENCE DATA	
Fax Number:	(617)607-9200
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6174496500
Email:	cgiordani@mccarter.com
Correspondent Name:	MEI BAI, PH.D.
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Address Line 2:	MCCARTER ENGLISH LLP
Address Line 4:	BOSTON, MASSACHUSETTS 02110
ATTORNEY DOCKET NUMBER:	125345-00103
NAME OF SUBMITTER:	MEI BAI, PH.D.
SIGNATURE:	/Mei Bai/

DATE SIGNED:	10/08/2018
Total Attachments: 20 source=125345_00103_Assign#page1.tif source=125345_00103_Assign#page2.tif source=125345_00103_Assign#page3.tif source=125345_00103_Assign#page4.tif source=125345_00103_Assign#page5.tif source=125345_00103_Assign#page6.tif source=125345_00103_Assign#page7.tif source=125345_00103_Assign#page8.tif source=125345_00103_Assign#page9.tif source=125345_00103_Assign#page10.tif source=125345_00103_Assign#page11.tif source=125345_00103_Assign#page12.tif source=125345_00103_Assign#page13.tif source=125345_00103_Assign#page14.tif source=125345_00103_Assign#page15.tif source=125345_00103_Assign#page16.tif source=125345_00103_Assign#page17.tif source=125345_00103_Assign#page18.tif source=125345_00103_Assign#page19.tif source=125345_00103_Assign#page20.tif	

Giordani, Carrie

From: Bai, Mei
Sent: Thursday, February 16, 2017 1:12 AM
To: Giordani, Carrie
Subject: Emailing: USPTO. EPAS. Receipt.htm



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PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>MILES STUART CONGREVE</td><td>02/03/2014</td></tr><tr><td>JOHN ANDREW CHRISTOPHER</td><td>02/03/2014</td></tr><tr><td>BENJAMIN GERALD TEHAN</td><td>02/03/2014</td></tr><tr><td>SUKHBINDER SINGH KLAIR</td><td>02/04/2014</td></tr><tr><td>SARAH JOANNE AVES</td><td>02/03/2014</td></tr></tbody></table>	Name	Execution Date	MILES STUART CONGREVE	02/03/2014	JOHN ANDREW CHRISTOPHER	02/03/2014	BENJAMIN GERALD TEHAN	02/03/2014	SUKHBINDER SINGH KLAIR	02/04/2014	SARAH JOANNE AVES	02/03/2014	
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State/Country:	UNITED KINGDOM												
Postal Code:	AL7 3AX												

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15409246

CORRESPONDENCE DATA

Fax Number: (617)607-9200

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Email: sgjordan@mccarter.com

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Correspondent Name: MCCARTER & ENGLISH, LLP

Address Line 1: 265 FRANKLIN STREET

Address Line 4: BOSTON, MASSACHUSETTS 02110

ATTORNEY DOCKET
NUMBER:

125345-00103

NAME OF SUBMITTER:

MEI BAI, PH.D.

Signature:

/Mei Bai/

Date:

02/16/2017

Total Attachments: 16

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RECEIPT INFORMATION

EPAS ID: PAT4277110

Receipt Date: 02/16/2017

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ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made this 3rd day of DECEMBER, 2014, by Miles Stuart Congreve, John Andrew Christopher, Benjamin Gerald Tehan, Sukhbinder Singh Klair, and Sarah Joanne Aves (hereinafter referred to as Assignors), residing at Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, HERTFORDSHIRE, AL7 3AX, UNITED KINGDOM; Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, HERTFORDSHIRE, AL7 3AX, UNITED KINGDOM; Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, HERTFORDSHIRE, AL7 3AX, UNITED KINGDOM; Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, HERTFORDSHIRE, AL7 3AX, UNITED KINGDOM; Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, HERTFORDSHIRE, AL7 3AX, UNITED KINGDOM; Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, HERTFORDSHIRE, AL7 3AX, UNITED KINGDOM, respectively;

WHEREAS, Assignors have invented certain new and useful improvements in OREXIN RECEPTOR ANTAGONISTS, set forth in a U.S. National Phase Application filed herewith, for which an International Application was filed on July 3, 2013, PCT/GB2013/051761, designating the United States; and

WHEREAS, Heptares Therapeutics Limited, having its principal place of business at BioPark, Broadwater Road, Welwyn Garden City, HERTFORDSHIRE, AL7 3AX, UNITED KINGDOM (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said

application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Utility application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner for Patents and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and

the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.


AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

MCCARTER & ENGLISH, LLP

All practitioners at Customer Number 86738

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

Executed this 3rd day of December, 2014

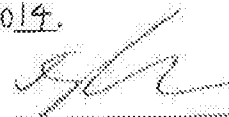

Miles Stuart Congreve

Witness:

Date: 3 December 2014


Print Name: FIONA MARSHALL

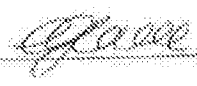
Executed this 3rd day of December, 2014.



John Andrew Christopher

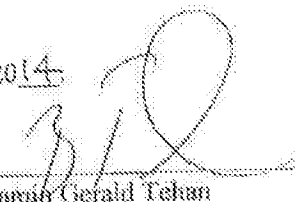
Witness:

Date: 8/12/14



Print Name: MANDALACK

Executed this 3rd day of December, 2014


Benjamin Gerald Tahan

Witness:

Date: 3 - 12 - 14


Print Name: ROBERT COOKE

Executed this _____ day of _____, 20____


Sukhbinder Singh Klair

Witness:

Date: _____

Print Name: _____


Executed this 3rd day of December, 2014.



Sarah Joanne Aves

Witness:

Date: 3/12/2014



Print Name: Charles A. Brown

ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made this 4th day of DECEMBER, 2014, by Miles Stuart Congreve, John Andrew Christopher, Benjamin Gerald Tehan, Sukhbinder Singh Klair, and Sarah Joanne Aves (hereinafter referred to as Assignors), residing at Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, HERTFORDSHIRE, AL7 3AX, UNITED KINGDOM; Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, HERTFORDSHIRE, AL7 3AX, UNITED KINGDOM; Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, HERTFORDSHIRE, AL7 3AX, UNITED KINGDOM; Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, HERTFORDSHIRE, AL7 3AX, UNITED KINGDOM; Heptares Therapeutics Limited, BioPark, Broadwater Road, Welwyn Garden City, HERTFORDSHIRE, AL7 3AX, UNITED KINGDOM, respectively;

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AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

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AND Assignors hereby request the Commissioner for Patents and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and

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MCCARTER & ENGLISH, LLP

All practitioners at Customer Number 86738

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

Executed this _____ day of _____, 20____

Miles Stuart Congreve

Witness:

Date: _____

Print Name: _____

Executed this _____ day of _____, 20____.

John Andrew Christopher

Witness:

Date: _____

Print Name: _____

Executed this _____ day of _____, 20____.

Benjamin Gerald Tehan

Witness:

Date: _____

Print Name: _____

Executed this 4th day of December, 2014.

S. Klair
Sukhbinder Singh Klair

Witness:

Date: 04 - Dec - 2014

[Signature]
Print Name: CLARE MUDD

Executed this _____ day of _____, 20____.

Sarah Joanne Aves

Witness:

Date: _____

Print Name: _____