10/10/2018 505135205

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT5181968

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT		

CONVEYING PARTY DATA

Name	Execution Date
ERIC HEINZ ALTERMANN	11/22/2007
GRAEME TREVOR ATTWOOD	11/22/2007
DONG LI	11/22/2007
WILLIAM JOHN KELLY	11/22/2007
ZHANHAO KONG	12/15/2008
SINEAD CHRISTINE LEAHY	11/22/2007

RECEIVING PARTY DATA

Name:	PASTORAL GREENHOUSE GAS RESEARCH LIMITED		
Street Address:	LEVEL 13, 113-119 THE TERRACE		
City:	WELLINGTON		
State/Country:	NEW ZEALAND		

PROPERTY NUMBERS Total: 1

Property Type	Number	
Application Number:	15082373	

CORRESPONDENCE DATA

Fax Number: (202)857-6395

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 202-857-6000

Email: patentdocket@arentfox.com

Correspondent Name: ARENT FOX LLP Address Line 1: 1717 K STREET, NW

Address Line 4: WASHINGTON, D.C. 20006-5344

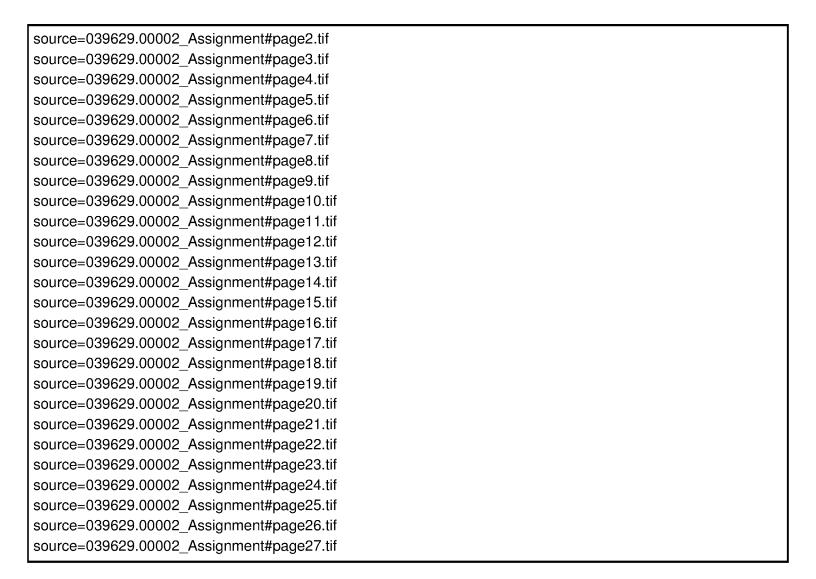
ATTORNEY DOCKET NUMBER: 039629.00002	
NAME OF SUBMITTER: ALEXANDER H. SPIEGLER	
SIGNATURE:	/Alexander H. Spiegler/
DATE SIGNED:	10/10/2018

Total Attachments: 27

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PATENT REEL: 047212 FRAME: 0217

505135205



DEED OF ASSIGNMENT

THIS DEED made effective 22 November 2007

BETWEEN Eric Altermann, a German citizen of 26 Akaroa Avenue, Awapuni, Palmerston North, New Zealand, Graeme Attwood, a New Zealand citizen of 136 Pohangina Valley East Road, RD 14, Ashhurst, New Zealand, Sinead Leahy, an Irish citizen of 1 Moana Street, Hokuwhitu, Palmerston North, New Zealand, Zhanhao Kong, a New Zealand citizen of 43-3-501 Liuying Road, Shanghai, China, William John Kelly, a New Zealand citizen of 208 Cambridge Avenue, Ashhurst, New Zealand, Robert Starr Ronimus, a New Zealand citizen of 468 Stoney Creek Road, RD10, Palmerston North, New Zealand, Li Dong, a New Zealand citizen of 29 Kingston Street, Awapuni, Palmerston North, New Zealand (The Assignors)

AND Pastoral Greenhouse Gas Research Limited, a New Zealand company of Level 13, 113-119 The Terrace, Wellington, New Zealand (The Assignee)

WHEREAS the Assignors on the commission or by virtue of employment or in return for money or money's worth from the Assignee has designed and developed an invention entitled **Phage** φmru Polynucleotides and Polypeptides and Uses Thereof (the invention) which is the subject of United States Patent Application No. 60/989,840 and pursuant to which has produced or had produced various works relating thereto including but not limited to sketches, drawings, photographs, prototypes, models, description, literary works, formulae, processes and/or technical data (the works)

AND WHEREAS it was or is now agreed between the Assignee and the Assignors that all rights relating to the invention and the works including any invention, copyright or design or rights of a like nature subsisting therein already is or will be pursuant to this assignment the property of the Assignee for all countries of the world.

NOW THIS DEED WITNESSES that in consideration of the commission, employment or return and one dollar New Zealand currency (NZ\$1.00), the receipt and sufficiency whereof the Assignors hereby acknowledge, the Assignors do hereby assign and transfer unto the Assignee any and all rights, title and interest in and to the invention and the works to which the Assignors might otherwise be legally or beneficially entitled for all countries of the world, including any invention or any copyright or design or rights of a like nature in respect of any artistic, literary or other works relating to the invention, together with the right for the Assignee to apply for and obtain patent, design, copyright or other protection in New Zealand and overseas for the invention, for the consideration hereinbefore stated unto and to the use of the Assignee, its successors and assigns absolutely.

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AND FURTHER PROVIDED THAT the Assignors hereby undertake to do any reasonable acts at the request and expense of the Assignee as may be necessary to give effect to the assignment of the said rights and to facilitate the filing, obtaining, maintenance or enforcement of protection for the invention including any patent, design or copyright protection or the like, in the name of the Assignee.

IN WITNESS WHEREOF the Assignors and the Assignee each have executed this deed.

FOR AND ON BEHALF OF THE ASSIGNORS Eric Altermann	
	Wh
	Witness
<u> </u>	Name (in Capitals)
	MARK RUTHERFORD WADHAM NOTARY PUBLIC 192 BROADWAY AVENUE PALMERSTON NORTH NEW ZEALAND
	Address
Graeme Attwood	Dh
	Witness
	Name (in Capitals)
	MARK RUTHERFORD WADHAM NOTARY PUBLIC 192 EROADWAY AVENUE PALMERSTON NORTH NEW ZEALAND
,	Address

asseti.

DK DK MEL

Sinead Leahy	
5 marial lashy	Witness MARK BUTHERFORD WADHAM NOTARY PUBLIC
	MARK RUTHERFORD WADHAM NOTARY PUBLIG 192 BRDADAWAY AVENUE PALMERSTON: NORTH NEW ZEALAND Name (in Capitals)
	Address
Zhanhao Kong	•
	Witness
	Name (in Capitals)
	Address
William John Kelly WKeUy	
	Witness MARK RÜTHERFORD WÄDHAM NOTARY PUBLIC 102 BROADWAY AVENUE PALMERS; ** 1 RIH NEW ZEALAND
	Name (in Capitals)
	Address

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Robert Star Ronimus Robert S. Rommun	WA
	Witness MARK SUPPERFORD WALHAM NOVARY PUBLIC 198 BROADWAY AVENUE PALMERSTON NORTH HEW ZEALAND
	Name (in Capitals)
	Address
Li Dong Dory	6
	Witness MARK RITHERFORD WADHAM NOTARY PUBLIC 192 BROADWAY AVENUE PALMERSTCH NORTH NEW ZEALAND
	Name (in Capitals)
	Address
FOR AND ON BEHALF OF THE ASSIGNEE Pastoral Greenhouse Gas Research Limited	
NeLL	a. h. hvalled
Authorised Officer	Witness
Chairman	ALAN NI CHOCAS WEALER!
Title	Name (in Capitals) Notart Public
	TIE PARSOR NET BUILDING
	Address 6 ARDON MALES

DEED OF ASSIGNMENT

THIS DEED made effective 22 November 2007

<u>BETWEEN</u> Eric Altermann, a German citizen of 26 Akaroa Avenue, Awapuni, Palmerston North, New Zealand, Graeme Attwood, a New Zealand citizen of 136 Pohangina Valley East Road, RD 14, Ashhurst, New Zealand, Sinead Leahy, an Irish citizen of 1 Moana Street, Hokuwhitu, Palmerston North, New Zealand, Zhanhao Kong, a Chinese citizen of 43-3-501 Liuying Road, Shanghal, China, William John Kelly, a New Zealand citizen of 208 Cambridge Avenue, Ashhurst, New Zealand, Robert Starr Ronimus, a New Zealand citizen of 468 Stoney Creek Road, RD10, Palmerston North, New Zealand, Li Dong, a New Zealand citizen of 29 Kingston Street, Awapuni, Palmerston North, New Zealand (The Assignors)

AND Pastoral Greenhouse Gas Research Limited, a New Zealand company of Level 13, 1/3-1/19 The Terrace, Wellington, New Zealand (The Assignee)

WHEREAS the Assignors on the commission or by virtue of employment or in return for money of money's worth from the Assignee has designed and developed an invention entitled **Phage of Polynucleotides and Polypeptides and Uses Thereof** (the invention) which is the subject of United States Patent Application No. 60/989,840 and pursuant to which has produced or had produced various works relating thereto including but not limited to sketches, drawings, photographs, prototypes, models, description, literary works, formulae, processes and/or lechnical data (the works)

AND WHEREAS It was or is now agreed between the Assignee and the Assignors that all rights relating to the invention and the works including any invention, copyright or design or rights of a like hature subsisting therein already is or will be pursuant to this assignment the property of the Assignee for all countries of the world.

NOWITHIS DEED WITNESSES that in consideration of the commission, employment or return and one dollar New Zealand currency (NZ\$1.00), the receipt and sufficiency whereof the Assignors hereby acknowledge, the Assignors do hereby assign and transfer unto the Assignee any and all tights title and interest in and to the invention and the works to which the Assignors might otherwise be legally or beneficially entitled for all countries of the world, including any invention or any copyright or design or rights of a like nature in respect of any artistic, literary or other works relating to the invention, together with the right for the Assignee to apply for and obtain patent design copyright or other protection in New Zealand and overseas for the invention for the consideration hereinbefore stated unto and to the use of the Assignee, its successors and assigns absolutely.

(Platiava Foocioblica)

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AND FURTHER PROVIDED THAT the Assignors hereby undertake to do any reasonable acts at the request and expense of the Assignee as may be necessary to give effect to the assignment of the said rights and to facilitate the filing, obtaining, maintenance or enforcement of protection for the invention including any patent, design or copyright protection or the like, in the name of the Assignee.

MITNESS WHEREOF the Assignors and the Assignee each have executed this deed.

_{FOR} AND ON BEHALF OF THE ASS	IGNORS	4
Eric Altermann		
		Witness
		Name (in Capitals)
		Address
Graeme Attwood		
		Witness
		Name (in Capitals)
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Sinead Leahy				
		Witness		
		Name (in Capitals)		
		Address		·
Zhanhao Kong			٠	
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William John Kelly				
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Dec. 15, 2008

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					Pec 15	2W8~:

公证书

(2008)沪东证外经字第 14679 号

兹证明孔展昊(男,一九七七年十二月五日出生,中国护照号码: G00001764)于二〇〇八年十二月十五日在上海市,在前面的《转让契约》上签名。

中华人民共和国上海市东方公证处

二〇〇八年十二月十五日

1832

Translation

NOTARIAL CERTIFICATE

(2008) H.D.Z.W.J.Z. No. 14679

This is to certify that KONG Zhanhao (male, born on December 5, 1977, Chinese Passport No. G00001764) affixed his signature to the foregoing DEED OF ASSIGNMENT in Shanghai on December 15, 2008.

HUANG Xin (Seal)
Notary Public

The People's Republic of China
Shanghai Oriental Notary Public Office
(Seal)

Dated: December 15, 2008

14742085

公证 书

(2008)沪东证外经字第 14680 号

兹证明前面的英文译本内容与孔展昊的(2008)沪东证外经字第14679号公证书中文原本相符。

中华人民共和国上海市东方公证处



741885

Translation

NOTARIAL CERTIFICATE

(2008) H.D.Z.W.J.Z. No. 14680

This is to certify that the foregoing English version is true and faithful to the original Notarial Certificate (2008) H.D.Z.W.J.Z. No. 14679 in Chinese for KONG Zhanhao.

HUANG Xin (Seal)

Notary Public

The People's Republic of China

Shanghai Oriental Notary Public Office

(Seal)

Dated: December 15, 2008

4742088

DEED OF ASSIGNMENT

THIS DEED made effective 22 November 2007

BETWEEN William John Kelly, a New Zealand citizen of 208 Cambridge Avenue, Ashhurst, New Zealand, Eric Altermann, a German citizen of 26 Akaroa Avenue, Palmerston North, New Zealand, Sinead Leahy, an Irish citizen of 1 Moana Street, Palmerston North, New Zealand, Zhanhao Kong, a New Zealand citizen of 45-3-501 Liuying Road, Shanghai, China, Li Dong, a New Zealand citizen of 29 Kingston Street, Palmerston North, New Zealand, Graeme Attwood, a New Zealand citizen of 136 Pohangina Valley East Road, RD 14, Ashhurst, New Zealand (The Assignors)

AND Pastoral Greenhouse Gas Research Limited, a New Zealand company of Level 13, 113-119 The Terrace, Wellington, New Zealand (The Assignee)

WHEREAS the Assignors on the commission or by virtue of employment or in return for money or money's worth from the Assignee has designed and developed an invention entitled Vaccines and Vaccine Components for Inhibition of Microbial Cells (the invention) which is the subject of United States Patent Application No. 60/989,841, and pursuant to which has produced or had produced various works relating thereto including but not limited to sketches, drawings, photographs, prototypes, models, descriptions, literary works, formulae, processes and/or technical data (the works)

AND WHEREAS it was or is now agreed between the Assignee and the Assignors that all rights relating to the invention and the works including any invention, copyright or design or rights of a like nature subsisting therein already is or will be pursuant to this assignment the property of the Assignee for all countries of the world.

NOW THIS DEED WITNESSES that in consideration of the commission, employment or return and one dollar New Zealand currency (NZ\$1.00), the receipt and sufficiency whereof the Assignors hereby acknowledge, the Assignors do hereby assign and transfer unto the Assignee any and all rights, title and interest in and to the invention and the works to which the Assignors might otherwise be legally or beneficially entitled for all countries of the world, including any invention or any copyright or design or rights of a like nature in respect of any artistic, literary or other works relating to the invention, together with the right for the Assignee to apply for and obtain patent, design, copyright or other protection in New Zealand and overseas for the invention, for the consideration hereinbefore stated unto and to the use of the Assignee, its successors and assigns absolutely.

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AND FURTHER PROVIDED THAT the Assignors hereby undertake to do any reasonable acts at the request and expense of the Assignee as may be necessary to give effect to the assignment of the said rights and to facilitate the filling, obtaining, maintenance or enforcement of protection for the invention including any patent, design or copyright protection or the like, in the name of the Assignee

IN WITNESS WHEREOF the Assignors and the Assignee each have executed this deed.

FOR AND ON BEHALF OF THE ASSIGNORS William John Kelly When the second control of the Assignors will be a second c	Witness
	MARIC HUTHERFORD WADHAM NOTARY PUBLIC 192 BROAD WAY AVENUE PALMERS IT NOTARY NEW ZEALAND Name (in Capitals)
	Address
Eric Altermann	
	Witness MARK HUTHERPOAD WADHAM NOTARY PUBLIC 192 BROADWAY AVENUE PALMERSTON NORTH NEW ZEALAND
	Name (in Capitals)
	Address

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Sinead Leahy	()
Succool leaky.	
	Witness MARK DUTHERFORD WADDAM 192 REPAIRMY AVENUE PALMERSTON HORTH NEW ZEALAND
	Name (in Capitals)
	Address
Zhanhao Kong	
	Witness
	Name (in Capitals)
	Address
Li Dong Dong	MSh
	Witness WARK RUTHERFORD MADRAM MOTARY FURLIS TOE BROADWAY AVENUE PALMERISTOR MOTH NEW ZEALAND
	Name (in Capitals)
Caroll	Address

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Graeme Attwood	
	Witness CARR BUTTERSOND WARRAND MOTARY PUBLIC TO STOND AND AVERUE PARTIES OF A CORULL TO A CARROLL TO A CARRO
,	Name (in Capitals)
	Address
FOR AND ON BEHALF OF THE ASSIGNEE Pastoral Greenhouse Gas Research Limited	a. Wheatles 1
Authorised Officer	Witness
<u>Chairman</u>	Name (in Capitals)
	THE WOODS, NZT BUK DING 640DEN MACK
	Address Apoyl (S)



DEED OF ASSIGNMENT

THIS DEED made effective 22 November 2007

BETWEEN William John Kelly, a New Zealand citizen of 208 Cambridge Avenue, Ashhurst, New Zealand, Eric Altermann, a German citizen of 26 Akaroa Avenue, Palmerston North, New Zealand Sinead Leahy, an Irish citizen of 1 Moana Street, Palmerston North, New Zealand, Zhanhao Kong, a Chinese citizen of 45-3-501 Lluying Road, Shanghal, China, Li Dong, a New Zealand citizen of 29 Kingston Street, Palmerston North, New Zealand, Graeme Attwood, a New Zealand citizen of 136 Pohangina Valley East Road, RD 14, Ashhurst, New Zealand (The Assignors)

AND Pastoral Greenhouse Gas Research Limited, a New Zealand company of Level 13, 173-119 The Terrace, Wellington, New Zealand (The Assignee)

<u>WHEREAS</u> the Assignors on the commission or by virtue of employment or in return for money of money's worth from the Assignee has designed and developed an invention entitled Vaccines and Vaccine Components for Inhibition of Microbial Cells (the invention) which is the subject of United States Patent Application No. 60/989,841, and pursuant to which has produced or had produced various works relating thereto including but not limited to sketches, drawings, photographs, prototypes, models, descriptions, literary works, formulae, processes and/or technical data (the works)

AND WHEREAS It was or is now agreed between the Assignee and the Assignors that all rights relating to the invention and the works including any invention, copyright or design or rights of a ∰e nature subsisting therein already is or will be pursuant to this assignment the property of the Assignee for all countries of the world.

NOW THIS DEED WITNESSES that in consideration of the commission, employment or return and one dollar New Zealand currency (NZ\$1.00), the receipt and sufficiency whereof the Assignors hereby acknowledge, the Assignors do hereby assign and transfer unto the Assignee any and all rights, title and interest in and to the invention and the works to which the Assignors might otherwise be legally or beneficially entitled for all countries of the world, including any invention or any copyright or design or rights of a like nature in respect of any artistic, literary or other works relating to the invention, together with the right for the Assignee to apply for and obtain patent, design, copyright or other protection in New Zealand and overseas for the invention, for the consideration hereinbefore stated unto and to the use of the Assignee, its successors and assigns absolutely.

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Yhm 别展具 Dec. 15, 2008

AND FURTHER PROVIDED THAT the Assignors hereby undertake to do any reasonable acts at the request and expense of the Assignee as may be necessary to give effect to the assignment of the said rights and to facilitate the filing, obtaining, maintenance or enforcement of protection for the invention including any patent, design or copyright protection or the like, in the name of the Assignee

IN WITNESS WHEREOF the As	ssignors and the As	ssignee each have executed thi	s deed.
FOR AND ON BEHALF OF THE	E ASSIGNORS		
William John Kelly			
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		Witness	
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Ēric Altermann			•
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Sinead Leahy	
,	Witness
**	Name (in Capitals)
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	Address
hanhao Kong	
189hh	10.00
,	Witness
30展星 Dec. 15,2008	
	Name (in Capitals)
	Address
LI Dong	
	Witness
	at the same of the
	Name (in Capitals)
	Address

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PATENT

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Dec. 15, 2w8

公证 书

(2008) 沪东证外经字第 14681 号

兹证明孔展昊(男,一九七七年十二月五日出生,中国护照号码:G00001764)于二〇〇八年十二月十五日在上海市,在前面的《转让契约》上签名。

中华人民共和国上海市东方公证处

公证员



14741838

Translation

NOTARIAL CERTIFICATE

(2008) H.D.Z.W.J.Z. No. 14681

This is to certify that KONG Zhanhao (male, born on December 5, 1977, Chinese Passport No. G00001764) affixed his signature to the foregoing DEED OF ASSIGNMENT in Shanghai on December 15, 2008.

HUANG Xin (Seal)
Notary Public

The People's Republic of China
Shanghai Oriental Notary Public Office
(Seal)

Dated: December 15, 2008

4742090

公 证 书

(2008)沪东证外经字第 14682 号

兹证明前面的英文译本内容与孔展昊的(2008)沪东证外经字第 14681 号公证书中文原本相符。

中华人民共和国上海市东方公证处

12082

Translation

NOTARIAL CERTIFICATE

(2008)H.D.Z.W.J.Z. No. 14682

This is to certify that the foregoing English version is true and faithful to the original Notarial Certificate (2008) H.D.Z.W.J.Z. No. 14681 in Chinese for KONG Zhanhao.

HUANG Xin (Seal)
Notary Public

The People's Republic of China
Shanghai Oriental Notary Public Office
(Seal)

Dated: December 15, 2008

4742092

DEED OF ASSIGNMENT

THIS DEED made effective 25 September 2007

BETWEEN Graeme Trevor Attwood, a New Zealand citizen of 136 Pohangina Valley East Road, RD 14, Ashhurst, New Zealand, William John Kelly, a New Zealand citizen of 208 Cambridge Avenue, Ashhurst, New Zealand and Eric Heinz Altermann, a German citizen of 26 Akaroa Avenue, Palmerston North, New Zealand. (The Assignors)

AND Pastoral Greenhouse Gas Research Limited, a New Zealand company of Level 13, 113-119 The Terrace, Wellington, New Zealand (The Assignee)

WHEREAS the Assignors on the commission or by virtue of employment or in return for money or money's worth from the Assignee has designed and developed an invention entitled Cell-Permeabilising Peptides and Polypeptides for Microbial Cells (the invention) which is the subject of United States Patent Application No. 60/975,104 and pursuant to which has produced or had produced various works relating thereto including but not limited to sketches, drawings, photographs, prototypes, models, descriptions, literary works, formulae, processes and/or technical data (the works)

AND WHEREAS it was or is now agreed between the Assignee and the Assignors that all rights relating to the invention and the works including any invention, copyright or design or rights of a like nature subsisting therein already is or will be pursuant to this assignment the property of the Assignee for all countries of the world.

NOW THIS DEED WITNESSES that in consideration of the commission, employment or return and one dollar New Zealand currency (NZ\$1.00), the receipt and sufficiency whereof the Assignors hereby acknowledge, the Assignors do hereby assign and transfer unto the Assignee any and all right, title and interest in and to the invention and the works to which the Assignors might otherwise be legally or beneficially entitled for all countries of the world, including any invention or any copyright or design or rights of a like nature in respect of any artistic, literary or other works relating to the invention, together with the right for the Assignee to apply for and obtain patent, design, copyright or other protection in New Zealand and overseas for the invention, for the consideration hereinbefore stated unto and to the use of the Assignee, its successors and assigns absolutely.

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AND FURTHER PROVIDED THAT the Assignors hereby undertake to do any reasonable acts at the request and expense of the Assignee as may be necessary to give effect to the assignment of the said rights and to facilitate the filing, obtaining, maintenance or enforcement of protection for the invention including any patent, design or copyright protection or the like, in the name of the Assignee

<u>IN WITNESS WHEREOF</u> the Assignor and the Assignee each have executed this deed.

FOR AND ON BEHALF OF THE ASSIGNORS Graeme Trevor Attwood	ROL
	Witness MARK RUTHERFORD WADHAM NOTARY PUBLIC 192 BROADWAY AVENUE PALMERSTON NORTH NEW ZEALAND
	Name (in Capitals)
	Address
William John Kelly	
	Witness MARK RUTHERFORD WADHAM NOTARY PUBLIC 192 BROADWAY AVENUE PALMERSTON NORTH NEW ZEALAND
•	Name (in Capitals)
	Address

New DK

Eric Heinz Altermann

Moh

Witness

MARK RUTHERFORD WADHAM NOTARY PUBLIC 102 BROADWAY AVERUE PALMERSTON MORTH RGW ZEALAND

Name (in Capitals)

Address

FOR AND ON BEHALF OF THE ASSIGNEE

Pastoral Greenhouse Gas Research

Limited

Authorised Officer

Chairman

Title

a.h. Walled

Witness

ALAN MEROCAS MEALLEN.

Name (in Capitals)

THE ELOOR NZZ BUITING GRADEN PLACE

Address

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RECORDED: 10/10/2018