505151011 10/19/2018

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT5197778

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
GERALD COIS BYRD	08/03/2018
CHIA-TE CHOU	08/03/2018
KARLHEINZ MUTH	08/08/2018

RECEIVING PARTY DATA

Name:	ROCKLEY PHOTONICS LIMITED
Street Address:	C/O COOLEY (UK) LLP
Internal Address:	10TH FLOOR, DASHWOOD, 69 OLD BROAD STREET
City:	LONDON
State/Country:	GREAT BRITAIN
Postal Code:	EC2M 1QS

PROPERTY NUMBERS Total: 1

Property Type	Number				
Application Number:	16051237				

CORRESPONDENCE DATA

Fax Number: (626)577-8800

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Correspondent Name: LEWIS ROCA ROTHGERBER CHRISTIE LLP

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ATTORNEY DOCKET NUMBER:	143319/MWR/RAV			
NAME OF SUBMITTER:	RACHEL A. VILLALOBOS			
SIGNATURE:	/Rachel A. Villalobos/			
DATE SIGNED:	10/19/2018			

Total Attachments: 4

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> **PATENT** REEL: 047240 FRAME: 0197 505151011

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PATENT REEL: 047240 FRAME: 0198 Docket No. 143319 (RPAT60US) Application No. 16/051,237

Joint

ASSIGNMENT

WHEREAS, WE, GERALD COIS BYRD, CHIA-TE CHOU, AND KARLHEINZ MUTH, have invented certain new and useful improvements disclosed in an application for United States Letters Patent entitled MODULE WITH TRANSMIT OPTICAL SUBASSEMBLY AND RECEIVE OPTICAL SUBASSEMBLY, filed July 31, 2018, Application No. 16/051,237, together with claim to the priority of United States Provisional Application No. 62/539,929, filed August 1, 2017:

AND WHEREAS ROCKLEY PHOTONICS LIMITED, a Great Britain limited company, having a place of business at c/o Cooley (UK) LLP, 10th Floor, Dashwood, 69 Old Broad Street, London, Great Britain, EC2M 1QS (hereafter, together with any successors, legal representatives or assigns thereof, called "ASSIGNEE") wants to acquire the entire right, title and interest in and to said improvements and application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to ASSIGNEE the entire right, title and interest in and to said improvements, and said application and all divisions and continuations thereof, and all United States Letters Patents which may be granted thereon and all reissues, reexaminations and extensions thereof, including the right to sue or otherwise bring action and to collect and receive damages therefrom, for past infringement thereof, and all priority rights under all available International Agreements, Treaties and Conventions for the protection of intellectual property in its various forms in every participating country, and all applications for patents (including related rights such as utilitymodel registrations, inventor's certificates, and the like) heretofore or hereafter filed for said improvements in any foreign countries, and all patents (including all continuations, divisions, extensions, renewals, substitutes, and reissues thereof) granted for said improvements in any foreign countries; and we hereby authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said improvements to ASSIGNEE in accordance with the terms of this Assignment;

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY further covenant and agree that we will communicate to ASSIGNEE any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute and reissue applications, make all rightful oaths and generally do everything possible to aid ASSIGNEE to obtain and enforce proper patent protection for said improvements in all countries.

PATENT REEL: 047240 FRAME: 0199 ASSIGNMENT Docket No. 143319 (RPAT60US) Application No. 16/051,237

Joint

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IN TESTIN	IONY WHEREOF,	I hereunto	set my hand this	lim	day of	Affect Stan	<u>s/</u>
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Serald Cois Byrd

WITNESSES:

1) Day Allace

Dane Univace

Signature

Printed name

-2-

ASSIGNMENT Docket No. 143319 (RPAT60US) Application No. 16/051,237

Joint

IN TESTIMONY WHEREOF, I hereunto set my hand this 310 day of

Chia-Te Chou

WITNESSES:

1) /////

<u>Dione Marace</u>

Printed name

Signature

Printed name

ASSIGNMENT Docket No. 143319 (RPAT60US) Application No. 16/051,237 Joint

N TESTIMONY WHEREOF,	l hereunto	set my	hand	this
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8 day of August

Karlheinz Muth

WITNESSES:

Signature

Printed name

Tahnee Zuvina

Printed name

MWR/rav

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