505151022 10/19/2018

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5197789

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
ANDREA TRITA	09/21/2018

RECEIVING PARTY DATA

Name:	ROCKLEY PHOTONICS LIMITED
Street Address:	C/O COOLEY (UK) LLP
Internal Address:	10TH FLOOR, DASHWOOD, 69 OLD BROAD STREET
City:	LONDON
State/Country:	GREAT BRITAIN
Postal Code:	EC2M 1QS

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16088387

CORRESPONDENCE DATA

Fax Number: (626)577-8800

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 626-795-9900 **Email:** pto@lrrc.com

Correspondent Name: LEWIS ROCA ROTHGERBER CHRISTIE LLP

Address Line 1: PO BOX 29001

Address Line 4: GLENDALE, CALIFORNIA 91209

ATTORNEY DOCKET NUMBER:	151024/MWR/RAV	
NAME OF SUBMITTER:	RACHEL A. VILLALOBOS	
SIGNATURE:	/Rachel A. Villalobos/	
DATE SIGNED:	10/19/2018	

Total Attachments: 2

source=151024 Assignment#page1.tif source=151024 Assignment#page2.tif

PATENT 505151022 REEL: 047240 FRAME: 0250

ASSIGNMENT OF INTERNATIONAL APPLICATION

WHEREAS, I, Andrea Trita, have invented certain new and useful improvements disclosed in PCT International Patent Application PCT/EP2018/053219, filed February 8, 2018, and entitled T-SHAPED ARRAYED WAVEGUIDE GRATING, which application includes a designation of the United States of America;

AND WHEREAS ROCKLEY PHOTONICS LIMITED, a Great Britain limited company, having a place of business at c/o Cooley (UK) LLP, 10th Floor, Dashwood, 69 Old Broad Street, London, Great Britain, EC2M 1QS (hereafter, together with any successors, legal representatives or assigns thereof, called "ASSIGNEE") wants to acquire the entire, right title and interest in and to said improvements, said application, and all patents and similar rights hereafter sought or obtained on the said improvements;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to ASSIGNEE the entire right, title and interest in and to said improvements, and said international application and all rights in, to and under that application; including the right to sue or otherwise bring action and to collect and receive damages therefrom, for past infringement thereof, and all priority rights under all available International Agreements, Treaties, and Conventions, including the International Convention for the Protection of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) theretofore, or hereafter filed for said improvements in any participating countries and all rights (including all priority rights) to file in ASSIGNEE's name, international, regional and national applications for patents and related rights on said improvements under and via the Patent Cooperation Treaty in and in respect to such countries (including the United States) as now are or hereafter may be direct or indirect parties to that Treaty and all patents and related rights granted on all such applications, together with all divisions, continuations, reissues, reexaminations, extensions and confirmations of all such applications, patents and related rights; and I authorize and request the United States Commissioner of Patents and Trademarks, and all officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents and the like for said improvements to ASSIGNEE in accordance with the terms of this agreement;

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant and agree that I will communicate to ASSIGNEE any facts known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute and reissue applications, make all rightful oaths and generally do everything possible to aid ASSIGNEE to obtain and enforce proper patent protection for said improvements in all countries;

AND, WITH RESPECT TO THE FOREGOING ASSIGNMENT AND COVENANTS AND IN FÜRTHERANCE THEREOF, I hereby grant to ASSIGNEE the right, power and authority to

execute all lawful papers and the like for me and in my place and stead in the event of my unavailability for any reason to do so.

IN TESTIMONY WHEREOF, I hereunto set my hand this 2 day of 2 day of 2.

By <u>Andrea Trits</u>

WITNESSES

Signature

Printed name

2)

7 2000 2

Printed name

MWR/rav

RECORDED: 10/19/2018

RAV 106068144.1-*-09/19/2018 1:23 PM