# PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT5020426

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
HONG HE	06/10/2015
JUNLI WANG	06/16/2015
NICOLAS LOUBET	06/17/2015

#### **RECEIVING PARTY DATA**

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State/Country:	NEW YORK
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## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16016021

#### CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER:	YOR920150415US04 (962DC)
NAME OF SUBMITTER:	JAMES J. BITETTO
SIGNATURE:	/jjb/
DATE SIGNED:	06/22/2018

#### **Total Attachments: 5**

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IBM DOCKET NUMBER: YOR920150415US1 (163-962)

# DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: SILICON GERMANIUM FIN CHANNEL FORMATION

As a below named and undersigned inventor, I hereby declare that
This declaration is directed to the attached application, or (if following box is checked):
United States application or PCT international application number
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I have reviewed and understand the contents of the application, including the claims
I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged. I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions. continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns

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### IBM DOCKET NUMBER: YOR920150415US1 (163-962)

Lacknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to the ASSIGNEE and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant the ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inve	ntor: [HONG HE]	
Signature:	12-0/18	Date: 6/15/15
(2) Legal Name of Inve	ntor: [JUNLI WANG]	
Cionature		Date:

#### IBM DOCKET NUMBER: YOR920150415US1 (163-962)

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to the ASSIGNEE and an unaware of any reason why I may not have the full and unencombered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict berewith. I also hereby grant the ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

Thereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(I) Legal Nam	of Inventor: [HONG HE]		
Signature		 Date:	
(2) Legal Name	e of inventor: [JUNLI WANG]		
<b>4</b>		6/4	6/2014

#### ASSIGNMENT

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Application Country/Region: U.S.A.

Application Title: SILICON GERMANIUM FIN CHANNEL FORMATION

Assignee: STMicroelectronics, Inc.

Assignee being a company, corporation, or juristic entity of: U.S.A.

Assignee's principal place of business: 750 Canyon Drive, Suite 300

Coppell, TX 75019

I, the below identified and undersigned inventor or co-inventor, as the case may be, of residence listed below, for and in consideration of good and valuable consideration, the receipt, sufficiency, and adequacy of which are hereby acknowledged, hereby transfer and assign to Assignce, its legal representatives, successors, and assigns, my entire right, title, and interest in and to the inventions for which the above identified patent application is made and describes (hereinafter referred to as "the Patent Rights"), together with any and all patents or patent applications anywhere worldwide to which any of the Patent Rights directly or indirectly claims priority, including but not limited to provisional applications thereof, or for which any of the Patent Rights directly or indirectly forms a basis for priority, together with all existing and/or future continuations, continuations-in-part, continuing prosecution applications, requests for continuing examinations, divisions, reissues, reexaminations, extensions, registrations, and foreign counterparts of any item in any of the foregoing, together with the right to sue for and be entitled to any damages, injunctive relief, and any other remedies of any kind for past, current, and future infringement thereof, together with all rights worldwide in the Patent Rights; said entire right, title, and interest, to be held and enjoyed by the Assignee for its own use and enjoyment and for the use and enjoyment of its legal representatives, successors, and assigns to the full end of the term for which the aforementioned rights may be granted anywhere in the world.

I hereby further agree to assist in and execute all documents needed now or in the future to perfect, obtain, and secure the aforementioned rights to Assignee, its legal representatives, successors, and assigns, for any jurisdiction in the world. At the expense of Assignee, or its legal representatives, successors, or assigns, I agree to assist in any legal proceedings, sign all lawful papers, make all lawful oaths, and generally do everything possible to aid Assignee, its legal representatives, successors, and assigns, to enforce the aforementioned rights in any and all countries and regions worldwide.

I hereby grant Assignee, along with the following Assignee representatives, the power to insert in this Assignment any further identification that may be necessary or desirable in order to comply with the rules for recordation of this document any place in the world: All practitioners at Customer Number 49267.

If there are co-inventors listed below, the signatures of all the inventors need not appear on the same page, and each inventor may sign this Assignment in multiple counterparts, such that each separately signed counterpart of this Assignment constitutes an original Assignment for the inventor(s) that signed such counterpart.

}

Inventor Name	Residence	Inventor Signature	Date Signed
	(City, State, Country)	. A	
Nicolas Loubet	24D Knights Bridge		8 8 8 8 2 E
	Guilderland, NY 12084		105/17/2019
	U.S.A.		

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