505152266 10/22/2018

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
F. HOFFMANN-LA ROCHE AG	03/20/2017

## **RECEIVING PARTY DATA**

Name:	HOFFMANN-LA ROCHE INC.	
Street Address:	GREAT NOTCH, 150 CLOVE ROAD	
Internal Address:	8TH FLOOR	
City:	LITTLE FALLS	
State/Country:	NEW JERSEY	
Postal Code:	07424	

# **PROPERTY NUMBERS Total: 2**

Property Type	Number
Application Number:	62433327
Application Number:	16138417

### **CORRESPONDENCE DATA**

**Fax Number:** (650)952-9881

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 650-467-8720
Email: kokkac@gene.com
Correspondent Name: CHIAKI KOKKA
Address Line 1: 1 DNA WAY

Address Line 4: SOUTH SAN FRANCISCO, CALIFORNIA 94080

ATTORNEY DOCKET NUMBER:	FORNEY DOCKET NUMBER: P33331-US & P33331-US-1	
NAME OF SUBMITTER: CHIAKI KOKKA		
SIGNATURE:	/Chiaki Kokka/	
DATE SIGNED:	10/22/2018	

# **Total Attachments: 3**

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PATENT 505152266 REEL: 047260 FRAME: 0317

Case No. P33331-US TO BE NOTARIZED

#### ASSIGNMENT AGREEMENT

F. Hoffmann-La Roche AG ("ASSIGNOR") a corporation duly organized under the laws of Switzerland and having its principal place of business at Grenzacherstrasse 124, CH-4070 Basel, Switzerland

acknowledges the previous transfer to

**Hoffmann-La Roche Inc.** ("ASSIGNEE") a corporation of the State of New Jersey, United States of America, having its principal place of business at Overlook at Great Notch, 150 Clove Road, 8<sup>th</sup> Floor, Suite 8 – Legal Department, Little Falls, New Jersey 07424, United States of America

for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, of all right, title, and interest in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below for the territory of the United States of America and its possessions by operation of law, contract, or otherwise, and any Letters Patent which may hereafter be granted on the same in the United States including any application claiming priority under 35 U.S.C. §119(e), divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof.

As far as the right, title, and interest of ASSIGNOR in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below may not have been transferred, for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby assigns, sells and transfers to ASSIGNEE, and to ASSIGNEE'S successors and assigns, for the territory of the United States of America and its possessions all of ASSIGNOR'S right, title and interest in, to, and deriving from the invention(s) described in the patent application(s) listed below, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, or other U.S. patent applications claiming the benefit of the filing date of the following patent application(s):

Case No. P33331-US Country USA

Filing Date
13 December 2016

Serial No. 62/433327

entitled

#### Protease-activated T cell bispecific molecules

ASSIGNEE, by virtue of this Assignment, shall have the right to file and own patent applications that claim priority to and are based in whole or in part on the patent application(s) cited above with the United States Patent and Trademark Office and to own Letters Patents that issue thereon. Accordingly, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for such patent applications, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, to ASSIGNEE, its successors and assigns.

ASSIGNOR does hereby covenant and agree with ASSIGNEE, its successors and assigns, that ASSIGNOR will not execute any writing or do any act conflicting with this assignment, and ASSIGNOR further agrees that he will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said Letters Patent and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

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This agreement shall be governed and construed in accordance with substantive laws of Switzerland. with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

**ASSIGNOR** 

F. Hoffmann - La Roche AG

Hoffmann-La Röche Inc.

Barch Switzerland
Place, Date
March 2, 2017

Antonio Natoli Holder of procuration Denise Strebel Mandatory

ASSIGNEE

Newark, NJ

Place, Date

George W. Johnston Authorized Signatory

## Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes foregoing signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Denise Strebel, citizen of and residing in Basel (Switzerland),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

B as e I (Switzerland), this 23rd (twenty-third) day of March 2017 (two thousand and seventeen).

BASEL

CHRISTOPH

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CERTIFICA	ATE OF ACKNOWLEDGMENT
) ss	
eared Georg entified him t for Case N enalty of pe	me, Guadalupe Castro, Notary Public in and for said e W. Johnston, Authorized Signatory for Hoffmann Laself as the signer to the attached document described as: To. P33331-US.  erjury under the laws of the State of New Jersey that the
true and cor	rect.
ro W Jersey Index 16, 2018	WITNESS by hand and official seal  Witness by hand and official seal  Notary Public of New Jersey ID #: 2449928
	oss  017 before the sared Georgentified him for Case Number and contract the same and co

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