505156100 10/24/2018

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
STEVEN P. TREON	06/29/2016
SARA JEAN BUHRLAGE	06/29/2016
NATHANAEL S. GRAY	06/29/2016
LITAN	06/29/2016
GUANG YANG	06/29/2016

RECEIVING PARTY DATA

Name:	DANA-FARBER CANCER INSTITUTE, INC.
Street Address:	450 BROOKLINE AVENUE
City:	BOSTON
State/Country:	MASSACHUSETTS
Postal Code:	02215

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15904143

CORRESPONDENCE DATA

Fax Number: (617)646-8646

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 6176468000

Email: patents_WilliamZ@wolfgreenfield.com,

taylor.mace@wolfgreenfield.com

Correspondent Name: WEI ZHANG

Address Line 1: WOLF, GREENFIELD & SACKS, P.C.

Address Line 2: 600 ATLANTIC AVENUE

Address Line 4: BOSTON, MASSACHUSETTS 02210

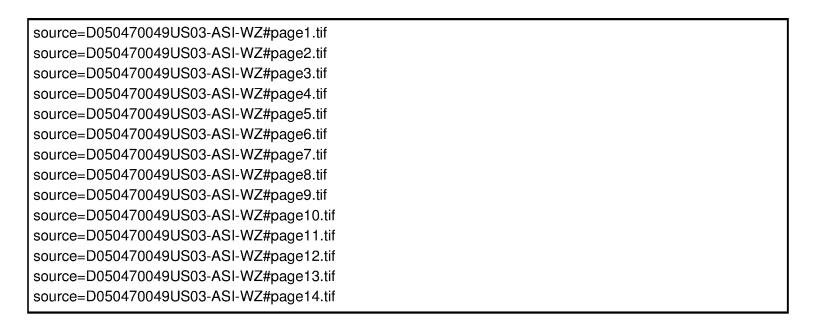
ATTORNEY DOCKET NUMBER: D0504.70049US03	
NAME OF SUBMITTER:	CHELSEA E. DOWLING
SIGNATURE: /Chelsea E. Dowling/	
DATE SIGNED:	10/24/2018

Total Attachments: 14

PATENT REEL: 047290 FRAME: 0694

EPAS ID: PAT5202867

505156100



PATENT REEL: 047290 FRAME: 0695

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned Assignor, hereby:

- 1. Sell, assign, and transfer to Dana-Farber Cancer Institute, Inc., a Massachusetts corporation, having a place of business at 450 Brookline Avenue, Boston, Massachusetts 02215, its successors, assigns, and legal representatives, all hereinafter referred to as the Assignee, my entire right, title, and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the international PCT application filed on December 12, 2014, under Serial No. PCT/US2014/070167, bearing Attorney Docket No. D0504.70049WO00, and entitled METHODS TO TREAT LYMPHOPLASMACYTIC LYMPHOMA, and in the U.S. national stage patent application filed with the United States Patent and Trademark Office on June 13, 2016 under U.S.S.N. 15/104,005, bearing Attorney Docket No. D0504.70049US02, and entitled METHODS TO TREAT LYMPHOPLASMACYTIC LYMPHOMA, and in and to the application, and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent applications, utility models, industrial designs, or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including, but not limited to, China, Japan, and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan, and Korea, as well as the right to sue in its own name and recover damages for past infringement of any United States Letters Patent and foreign patent;
- 2. Agree that the Assignee may apply for and receive patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model, and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney, and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;
- 3. Request the Director of the United States Patent and Trademark Office and non-US patent authorities to issue the patent to the Assignee;
- 4. Covenant with the Assignee that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and
- 5. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

D0504.70049 DFCI 1776.020 DFCI 1776.010

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

6/29/2014

Inventor:

Steven P. Treon

Address: 180 Pond Street

Jamaica Plain, Massachusetts 02130

Citizenship: US

STATE/COMMONWEA		rusetts
COUNTY OF SW	2011C	
₹.		
On this 29	day of Julia	.2016 before me, the undersigned notary public,
personally appeared	Steven P. Trean	, proved to me through satisfactory evidence of
identification, which we	re massachusi	Ho Drives Hanon
to be the person who si	gned the preceding or atta	ached document in my presence and swore or affirmed
to me that the contents	of the document are truth	ful and accurate to the best of (his) (her) knowledge

Notary Public

and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

My commission expires:

may 6,2022

D0504,70049 DFCI 1776.020 DECI 1776.010.

Address: 28 Josephine Avenue
Somerville, Massachusetts 02144
Citizenship: US
STATE/COMMONWEALTH OF MANDAMAN ALL
COUNTY OF SUFFOIR :
On this add of Time , 2016, before me, the undersigned notary public,
personally appeared Sara Jean Buhrlage , proved to me through satisfactory evidence of
identification, which were One (Tons
to be the person who signed the preceding or attached document in my presence and swore or affirmed
to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge
and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.
SEAL MAION OF CHURCH
Notary Public
My commission expires:
3019012022
AMANDA BRYANT
Notary Public COMMONWEALTH OF MASSACHUSETTS
My Commission Expires
July 6, 2022

Inventor: Sara Jean Buhrlage

D0504.70049 DFCI 1776.020 DFCI 1776.010

6 29 16 Date

6/14/14016		
Date	Inventor:	Nathanael S. Gray
	Address:	26 Greenview Avenue
		Boston, Massachusetts 02130
	Citizenship:	US
		& d
STATE/COMMONWEAL	THOF May JAAAU	soffs
COUNTY OF SOL	OK :	
00.10		
	day of John Parks Cray	, before me, the undersigned notary public, proved to me through satisfactory evidence of
personally appearedidentification, which were	Nathanael S. Gray	, proved to the through satisfactory evidence of
•		document in my presence and swore or affirmed
		accurate to the best of (his) (her) knowledge
		ed it voluntarily for its stated purpose.
/	$\langle \cdot \rangle$	
SEAL (1 Mindred Dryk	Mt.
	Notary Public	
My commission expir	es: T/\//\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	7

Date		Inventor:	Li Tan
		Address:	400 Brookline Avenue, Apt. 5B
			Boston, Massachusetts 02115
		Citizenship:	People's Republic of China
STATE/COMMONWE/	ALTH OF		
			
COUNTY OF		 •	
On this	day of	,	, before me, the undersigned notary public,
personally appeared	-	Li Tan	, proved to me through satisfactory evidence of
identification, which we	ere		_
to be the person who s	igned the pre	ceding or attached	document in my presence and swore or affirmed
to me that the contents	of the docun	nent are truthful and	l accurate to the best of (his) (her) knowledge
and belief and acknow	ledged to me	that (he) (she) signed	ed it voluntarily for its stated purpose.
SEAL			
		Notary Public	
My commission exp	pires:		

D0504.70049 DFCI 1776.020 DFCI 1776.010

Inventor: Guang Yang

Address: 3 Jennifer Circle

Natick, Massachusetts 01760

Citizenship: US

STATE/COMMONWE/COUNTY OF Sw	ALTHOF Massa	dusetts
On this 2Q personally appeared identification, which we	day of Tille Guang Yang ore Mass. Dynus	, 2016, before me, the undersigned notary public, , proved to me through satisfactory evidence of
to be the person who s to me that the contents	igned the preceding or att of the document are truth	ached document in my presence and swore or affirmed ful and accurate to the best of (his) (her) knowledge e) signed it voluntarily for its stated purpose.
SEAL	Notary Publ	

My commission expires: May 4, 2022

D0504 70049 DFCI 1776 020 DFCI 1776 010

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned Assignor, hereby:

- Sell, assign, and transfer to Dana-Farber Cancer Institute, Inc., a Massachusetts corporation, having a place of business at 450 Brookline Avenue, Boston, Massachusetts 02215, its successors, assigns, and legal representatives, all hereinafter referred to as the Assignee, my entire right, title, and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the international PCT application filed on December 12, 2014, under Serial No. PCT/US2014/070167, bearing Attorney Docket No. D0504.70049WO00, and entitled METHODS TO TREAT LYMPHOPLASMACYTIC LYMPHOMA, and in the U.S. national stage patent application filed with the United States Patent and Trademark Office on June 13, 2016 under U.S.S.N. 15/104,005, bearing Attorney Docket No. D0504.70049US02, and entitled METHODS TO TREAT LYMPHOPLASMACYTIC LYMPHOMA, and in and to the application, and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent applications, utility models, industrial designs, or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including, but not limited to, China, Japan, and Korea, on any of the inventions, and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan, and Korea, as well as the right to sue in its own name and recover damages for past infringement of any United States Letters Patent and foreign patent;
- 2. Agree that the Assignee may apply for and receive patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model, and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney, and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee:
- 3. Request the Director of the United States Patent and Trademark Office and non-US patent authorities to issue the patent to the Assignee;
- 4. Covenant with the Assignee that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and
- 5. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

D0504,70049 DFCI 1776,020 DFCI 1776,010

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

4820302.1

2/7

Date	Inve	ntor: Steven P. Treon
	Addr	ress: 180 Pond Street
		Jamaica Plain, Massachusetts 02130
	Citizens	ship: US
STATE/COMMONWEA	LTH OF	
COUNTY OF		
On this	day of ,	, before me, the undersigned notary public.
personally appeared	Steven P. Treon	, proved to me through satisfactory evidence of
identification, which we	· ·	
•	- · · · · · · · · · · · · · · · · · · ·	ched document in my presence and swore or affirmed
to me that the contents	of the document are truthfu	il and accurate to the best of (his) (her) knowledge
	(eds) (ed) tedt om at hanha	signed it voluntarily for its stated purpose.
and belief and acknowle	euged to me that (ney (she)	
and belief and acknowle	edged to the tract (ne) (stie)	
and belief and acknowle SEAL	eaged to me that (ne) (sne)	
	Notary Public	

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Date	Inventor	Sara Jean Buhrlage
	Address	28 Josephine Avenue
		Somerville, Massachusetts 02144
	Citizenship	: US
STATE/COMMONWEA	AI TH OF	
COUNTY OF	,	
	······································	
On this	day of ,	, before me, the undersigned notary public,
personally appeared	Sara Jean Buhrlage	, proved to me through satisfactory evidence of
identification, which we	re	1
to be the person who s	igned the preceding or attached	document in my presence and swore or affirmed
to me that the contents	of the document are truthful an	d accurate to the best of (his) (her) knowledge
and belief and acknowl	edged to me that (he) (she) sign	ned it voluntarily for its stated purpose.
mm 4 (
SEAL		
	Notary Public	
My commission exp	oires:	

D0504.70049 DFCI 1776.020 DFCI 1776.010

Date		Inventor:	Nathanael S. Gray			
		Address:	26 Greenview Avenue			
			Boston, Massachusetts 02130			
	Cit	izenship:	US			
STATE/COMMONWEA	LTH OF					
COUNTY OF	;					
On this	day of	•	, before me, the undersigned notary public,			
personally appeared identification, which we	Nathanael S. G	ray	, proved to me through satisfactory evidence of			
to be the person who signed the preceding or attached document in my presence and swore or affirmed						
to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge						
and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.						
SEAL						
	Notary Pt	ablic				
My commission exp	pires:					

D0504,70049 DFCI 1776,020 DFCI 1776,010

	myemor.	
	Address:	400 Brookline Avenue, Apt. 5B 5/3 Bibo Rd. Bldg8. Rm30
		Boston, Massachusetts 02415 Pudang, Standai 20/203
	Citizenship:	People's Republic of China
Witness:		10
<u>6/29/20/6</u> Date	***************************************	
Uate* }	Signature	9 "
	Name:	Ying Li
	Address:	26 Qivyue Rd. Bldg t
		Pudong, Shanghai, 20/210
		CHINA
		C-31 D(1/2)
Witness:		
6/29/2016		Yu Ceni
Date	Signature	
	Gignature	•
	Name:	<u>Yu Cai</u>
	Address:	
	7 3447.0547	26 Qiuyue Rd. Bldg 6
		Pudorg, Shorghai. 2012/0
		Ar i iA

Inventor: Li Tan

06-24-70/6 Date

D0504.70049

DFCI 1776.020 DFCI 1776.010

> PATENT REEL: 047290 FRAME: 0708

Date		Inventor:	Guang Yang				
		Address:	3 Jennifer Circle				
			Natick, Massachusetts 01760				
		Citizenship:	US				
STATE/COMMONWEA	LTH OF						
COUNTY OF							
On this	day of	,	, before me, the undersigned notary public,				
personally appeared		Guang Yang	, proved to me through satisfactory evidence of				
identification, which were							
	-		document in my presence and swore or affirmed				
to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge							
and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.							
SEAL							
		Notary Public					
My commission exp	ires:						

D0504,70049 DFCI 1776,020 DFCI 1776,010 4820302.1

PATENT REEL: 047290 FRAME: 0709