

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5205077

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
HUI ZANG	10/23/2018
HAITING WANG	10/23/2018
CHUNG FOONG TAN	10/24/2018
GUOWEI XU	10/23/2018
RUILONG XIE	10/23/2018
SCOTT H. BEASOR	10/23/2018
LIU JIANG	10/23/2018
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	GLOBALFOUNDRIES INC.
<b>Street Address:</b>	P.O. BOX 309
<b>Internal Address:</b>	UGLAND HOUSE
<b>City:</b>	GRAND CAYMAN
<b>State/Country:</b>	CAYMAN ISLANDS
<b>Postal Code:</b>	KY1-1104
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	16170117
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	ptocommunications@hoffmanwarnick.com, dcole@hoffmanwarnick.com
<b>Correspondent Name:</b>	HOFFMAN WARNICK LLC
<b>Address Line 1:</b>	540 BROADWAY
<b>Address Line 4:</b>	ALBANY, NEW YORK 12207
<b>ATTORNEY DOCKET NUMBER:</b>	KMLT177-US-NP
<b>NAME OF SUBMITTER:</b>	JUSTINE M. WILBUR
<b>SIGNATURE:</b>	/Justine M. Wilbur/

<b>DATE SIGNED:</b>	10/25/2018
---------------------	------------

**Total Attachments: 7**

source=KMLT177-US-NP\_CombinedDeclarationAssignment\_Executed\_10-25-18\_#page1.tif

source=KMLT177-US-NP\_CombinedDeclarationAssignment\_Executed\_10-25-18\_#page2.tif

source=KMLT177-US-NP\_CombinedDeclarationAssignment\_Executed\_10-25-18\_#page3.tif

source=KMLT177-US-NP\_CombinedDeclarationAssignment\_Executed\_10-25-18\_#page4.tif

source=KMLT177-US-NP\_CombinedDeclarationAssignment\_Executed\_10-25-18\_#page5.tif

source=KMLT177-US-NP\_CombinedDeclarationAssignment\_Executed\_10-25-18\_#page6.tif

source=KMLT177-US-NP\_CombinedDeclarationAssignment\_Executed\_10-25-18\_#page7.tif

**COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

**Title of  
Invention**

**FINFET STRUCTURE WITH DIELECTRIC BAR CONTAINING GATE TO  
REDUCE EFFECTIVE CAPACITANCE, AND METHOD OF FORMING SAME**

**DECLARATION**

As the below named inventor, I hereby declare that:

This declaration  
is directed to:



The attached application, or



United States application or PCT international application number \_\_\_\_\_ filed on  
\_\_\_\_\_

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the application and am aware of the duty to disclose to the US Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR § 1.56.

**ASSIGNMENT**

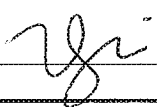
For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I hereby sell, assign, transfer, to GLOBALFOUNDRIES Inc. having a business address of PO BOX 309, UGLAND HOUSE, GRAND CAYMAN, CAYMAN ISLANDS KY1-1104 its successors and assigns, absolutely and forever, my entire right, title and interest in and to the invention listed above, together with the Application, any and all Patents that may issue in the United States and/or any foreign countries, and any reissues, re-examinations, renewals, continuations, continuation-in-parts, divisionals or extensions thereof that may be issued or granted, and all right, title and interest to the inventions contained in said Patents and Applications, for the United States and all foreign countries, and all the rights and privileges relating thereto including but not limited to the priority rights arising from said Applications, the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of past, present and future infringement, and the right to apply for, take and maintain patents on said inventions.

**If any portion of this Combined Declaration or Assignment is held unenforceable, then remaining portions hereof shall nevertheless remain in full force and effect.**

**LEGAL NAME OF INVENTOR**

Inventor: Hui Zang

Date: 10/23/2018

Signature: 

**Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional form for each additional inventor.**

**COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

**Title of  
Invention**

**FINFET STRUCTURE WITH DIELECTRIC BAR CONTAINING GATE TO  
REDUCE EFFECTIVE CAPACITANCE, AND METHOD OF FORMING SAME**

**DECLARATION**

As the below named inventor, I hereby declare that:

This declaration  
is directed to:



The attached application, or



United States application or PCT international application number \_\_\_\_\_ filed on  
\_\_\_\_\_

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the application and am aware of the duty to disclose to the US Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR § 1.56.

**ASSIGNMENT**

For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I hereby sell, assign, transfer, to GLOBALFOUNDRIES Inc. having a business address of PO BOX 309, UGLAND HOUSE, GRAND CAYMAN, CAYMAN ISLANDS KY1-1104 its successors and assigns, absolutely and forever, my entire right, title and interest in and to the invention listed above, together with the Application, any and all Patents that may issue in the United States and/or any foreign countries, and any reissues, re-examinations, renewals, continuations, continuation-in-parts, divisionals or extensions thereof that may be issued or granted, and all right, title and interest to the inventions contained in said Patents and Applications, for the United States and all foreign countries, and all the rights and privileges relating thereto including but not limited to the priority rights arising from said Applications, the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of past, present and future infringement, and the right to apply for, take and maintain patents on said inventions.

**If any portion of this Combined Declaration or Assignment is held unenforceable, then remaining portions hereof shall nevertheless remain in full force and effect.**

**LEGAL NAME OF INVENTOR**

Inventor: Haiting Wang

Date: 10/23/18

Signature: 

**Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional form for each additional inventor.**

**COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

**Title of  
Invention**

**FINFET STRUCTURE WITH DIELECTRIC BAR CONTAINING GATE TO  
REDUCE EFFECTIVE CAPACITANCE, AND METHOD OF FORMING SAME**

**DECLARATION**

As the below named inventor, I hereby declare that:

This declaration  
is directed to:



The attached application, or



United States application or PCT international application number \_\_\_\_\_ filed on  
\_\_\_\_\_

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the application and am aware of the duty to disclose to the US Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR § 1.56.

**ASSIGNMENT**

For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I hereby sell, assign, transfer, to GLOBALFOUNDRIES Inc. having a business address of PO BOX 309, UGLAND HOUSE, GRAND CAYMAN, CAYMAN ISLANDS KY1-1104 its successors and assigns, absolutely and forever, my entire right, title and interest in and to the invention listed above, together with the Application, any and all Patents that may issue in the United States and/or any foreign countries, and any reissues, re-examinations, renewals, continuations, continuation-in-parts, divisionals or extensions thereof that may be issued or granted, and all right, title and interest to the inventions contained in said Patents and Applications, for the United States and all foreign countries, and all the rights and privileges relating thereto including but not limited to the priority rights arising from said Applications, the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of past, present and future infringement, and the right to apply for, take and maintain patents on said inventions.

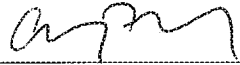
**If any portion of this Combined Declaration or Assignment is held unenforceable, then remaining portions hereof shall nevertheless remain in full force and effect.**

**LEGAL NAME OF INVENTOR**

Inventor: Chung Foong Tan

Date :

10/24/2018

Signature: 

**Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional form for each additional inventor.**

**COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)****Title of  
Invention****FINFET STRUCTURE WITH DIELECTRIC BAR CONTAINING GATE TO  
REDUCE EFFECTIVE CAPACITANCE, AND METHOD OF FORMING SAME****DECLARATION**

As the below named inventor, I hereby declare that:

This declaration  
is directed to:

The attached application, or

United States application or PCT international application number \_\_\_\_\_ filed on  
\_\_\_\_\_

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.


I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the application and am aware of the duty to disclose to the US Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR § 1.56.

**ASSIGNMENT**

For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I hereby sell, assign, transfer, to GLOBALFOUNDRIES Inc. having a business address of PO BOX 309, UGLAND HOUSE, GRAND CAYMAN, CAYMAN ISLANDS KY1-1104 its successors and assigns, absolutely and forever, my entire right, title and interest in and to the invention listed above, together with the Application, any and all Patents that may issue in the United States and/or any foreign countries, and any reissues, re-examinations, renewals, continuations, continuation-in-parts, divisionals or extensions thereof that may be issued or granted, and all right, title and interest to the inventions contained in said Patents and Applications, for the United States and all foreign countries, and all the rights and privileges relating thereto including but not limited to the priority rights arising from said Applications, the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of past, present and future infringement, and the right to apply for, take and maintain patents on said inventions.

**If any portion of this Combined Declaration or Assignment is held unenforceable, then remaining portions hereof shall nevertheless remain in full force and effect.**

**LEGAL NAME OF INVENTOR**Inventor: Guowei XuDate : 10/23/2018Signature: 

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional form for each additional inventor.

**COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

<b>Title of Invention</b>	<b>FINFET STRUCTURE WITH DIELECTRIC BAR CONTAINING GATE TO REDUCE EFFECTIVE CAPACITANCE, AND METHOD OF FORMING SAME</b>
-------------------------------	-----------------------------------------------------------------------------------------------------------------------------

**DECLARATION**

As the below named inventor, I hereby declare that:

This declaration is directed to: ☒ The attached application, or  
☐ United States application or PCT international application number \_\_\_\_\_ filed on \_\_\_\_\_

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the application and am aware of the duty to disclose to the US Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR § 1.56.

**ASSIGNMENT**

For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I hereby sell, assign, transfer, to GLOBALFOUNDRIES Inc. having a business address of PO BOX 309, UGLAND HOUSE, GRAND CAYMAN, CAYMAN ISLANDS KY1-1104 its successors and assigns, absolutely and forever, my entire right, title and interest in and to the invention listed above, together with the Application, any and all Patents that may issue in the United States and/or any foreign countries, and any reissues, re-examinations, renewals, continuations, continuation-in-parts, divisionals or extensions thereof that may be issued or granted, and all right, title and interest to the inventions contained in said Patents and Applications, for the United States and all foreign countries, and all the rights and privileges relating thereto including but not limited to the priority rights arising from said Applications, the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of past, present and future infringement, and the right to apply for, take and maintain patents on said inventions.

If any portion of this Combined Declaration or Assignment is held unenforceable, then remaining portions hereof shall nevertheless remain in full force and effect.

**LEGAL NAME OF INVENTOR**

Inventor: Ruilong Xie

Date: 10/23/2018

Signature: 

**Note:** An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional form for each additional inventor.

**COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

**Title of  
Invention**

**FINFET STRUCTURE WITH DIELECTRIC BAR CONTAINING GATE TO  
REDUCE EFFECTIVE CAPACITANCE, AND METHOD OF FORMING SAME**

**DECLARATION**

As the below named inventor, I hereby declare that:

This declaration  
is directed to:



The attached application, or



United States application or PCT international application number \_\_\_\_\_ filed on  
\_\_\_\_\_

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the application and am aware of the duty to disclose to the US Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR § 1.56.

**ASSIGNMENT**

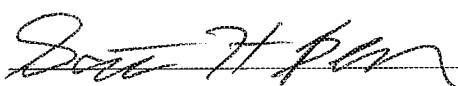
For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I hereby sell, assign, transfer, to GLOBALFOUNDRIES Inc. having a business address of PO BOX 309, UGLAND HOUSE, GRAND CAYMAN, CAYMAN ISLANDS KY1-1104 its successors and assigns, absolutely and forever, my entire right, title and interest in and to the invention listed above, together with the Application, any and all Patents that may issue in the United States and/or any foreign countries, and any reissues, re-examinations, renewals, continuations, continuation-in-parts, divisionals or extensions thereof that may be issued or granted, and all right, title and interest to the inventions contained in said Patents and Applications, for the United States and all foreign countries, and all the rights and privileges relating thereto including but not limited to the priority rights arising from said Applications, the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of past, present and future infringement, and the right to apply for, take and maintain patents on said inventions.

**If any portion of this Combined Declaration or Assignment is held unenforceable, then remaining portions hereof shall nevertheless remain in full force and effect.**

**LEGAL NAME OF INVENTOR**

Inventor: Scott H. Beasor

Date: 10/23/2018

Signature: 

**Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional form for each additional inventor.**



**COMBINED DECLARATION and ASSIGNMENT (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

**Title of  
Invention**

**FINFET STRUCTURE WITH DIELECTRIC BAR CONTAINING GATE TO  
REDUCE EFFECTIVE CAPACITANCE, AND METHOD OF FORMING SAME**

**DECLARATION**

As the below named inventor, I hereby declare that:

This declaration  
is directed to:



The attached application, or



United States application or PCT international application number \_\_\_\_\_ filed on  
\_\_\_\_\_

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the application and am aware of the duty to disclose to the US Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR § 1.56.

**ASSIGNMENT**

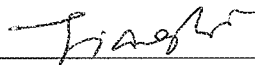
For good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, I hereby sell, assign, transfer, to GLOBALFOUNDRIES Inc. having a business address of PO BOX 309, UGLAND HOUSE, GRAND CAYMAN, CAYMAN ISLANDS KY1-1104 its successors and assigns, absolutely and forever, my entire right, title and interest in and to the invention listed above, together with the Application, any and all Patents that may issue in the United States and/or any foreign countries, and any reissues, re-examinations, renewals, continuations, continuation-in-parts, divisionals or extensions thereof that may be issued or granted, and all right, title and interest to the inventions contained in said Patents and Applications, for the United States and all foreign countries, and all the rights and privileges relating thereto including but not limited to the priority rights arising from said Applications, the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of past, present and future infringement, and the right to apply for, take and maintain patents on said inventions.

**If any portion of this Combined Declaration or Assignment is held unenforceable, then remaining portions hereof shall nevertheless remain in full force and effect.**

**LEGAL NAME OF INVENTOR**

Inventor: Liu Jiang

Date: 10/25/18

Signature: 

**Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional form for each additional inventor.**