PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT5206421

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	NUNC PRO TUNC ASSIGNMENT
EFFECTIVE DATE:	08/08/2018

CONVEYING PARTY DATA

Name	Execution Date
JIANG HU	09/05/2018
NICHOLAS CALACETO	09/17/2018

RECEIVING PARTY DATA

Name:	RAB LIGHTING INC.	
Street Address:	170 LUDLOW AVENUE	
City:	NORTHVALE	
State/Country:	NEW JERSEY	
Postal Code:	07647	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	29659360

CORRESPONDENCE DATA

Fax Number: (317)464-4101

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 317-464-4100

Email: patents@saLAWus.com

PATENT DOCKETING MANAGER **Correspondent Name:** Address Line 1: 201 N ILLINOIS ST STE 1400

Address Line 2: SOUTH TOWER

Address Line 4: INDIANAPOLIS, INDIANA 46204

ATTORNEY DOCKET NUMBER:	RABL-296-US	
NAME OF SUBMITTER:	KEVIN C. OSCHMAN	
SIGNATURE:	/Kevin C. Oschman/	
DATE SIGNED:	10/25/2018	

Total Attachments: 5

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> PATENT REEL: 047313 FRAME: 0360

Jiang Hu of Ningbo, China Nicholas Calaceto of Mahwah, NJ (US)

hereinafter referred to (either singularly or collectively as appropriate) as the "Assignor" has created certain items of intellectual property in

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which includes proprietary information, various forms of intellectual property, for example, inventions, patents, copyrights, and/or trade secrets, hereinafter referred to as the "Intellectual Property," created by the Assignor and as, at least in part, disclosed, described, claimed, and/or intended to be in

United States or PCT International Patent Application No. <u>29/659,360</u>, which was filed on August 8, 2018.

hereinafter referred to as the "Application."

For good, valuable and sufficient consideration to the Assignor, the receipt of which is hereby acknowledged, the Assignor does hereby grant, assign, sell and transfer to

RAB Lighting Inc., a Corporation of the state of New York, having a principal place of business at 170 Ludlow Avenue, Northvale, NJ 07647 hereinafter referred to as the "Assignee," the Intellectual Property and all rights related thereto, hereinafter referred to as the "Intellectual Property and Related Rights", which include but are not limited to the following items (i) through (v) and (a) through (c):

- (i) all of the entire worldwide right, title, and interest in, to and under the Intellectual Property,
- (ii) all of the entire worldwide right, title and interest in, to and under future developments, including improvements, in the Intellectual Property,
- (iii) all of the entire worldwide right, title and interest, together with all rights of priority, in, to and under the Application,
- (iv) all of the entire worldwide right, title and interest, including the beneficial interest, together with all rights of priority, in, to and under, including the right to file, any and all applications based on or arising from the Intellectual Property, future developments in the Intellectual Property, or the Application, which include, but are not limited to, provisional, non-provisional, utility, utility model, design, industrial design, innovation, invention, international, national/regional phase, plant and petty patent applications, and any and all divisions, continuations, continuations-in-part, substitutes, extensions, examinations, re-examinations, review (e.g., Inter Partes and Post-Grant), and reissues thereof, and
- (v) all of the entire worldwide right, title and interest, together with all rights of priority and rights of action for infringement, in, to and under any and all patents based on or arising from the Intellectual Property, future developments in the Intellectual Property, or the Application, which include, but are not limited to, non-provisional, utility, utility model, design, industrial design, innovation, invention, international, national/regional phase, plant, and petty patent applications, and any and all divisions, continuations, continuations-in-part, substitutes, extensions, examinations, re-examinations, review (e.g., Inter Partes and Post-Grant), and reissues thereof,

in all countries, United States and foreign, and under any applicable treaty or convention, which include but are not limited to

- (a) all past, present and future rights and privileges, legal, equitable and otherwise, including, to the extent permissible by law, rights and privileges related to the Assignor's attorney-client relationship,
- (b) all past, present and future causes of action, including causes of action for infringement and misappropriation, whether committed or accruing before, on or after the effective date of this assignment, and
- (c) all past, present and future remedies for damages and profits,

as related to the Intellectual Property, future developments in the Intellectual Property, the Application and any and all applications or patents based on or arising from the Intellectual Property, future developments in the Intellectual Property, or the Application, to be held and enjoyed by the Assignee as

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fully and entirely as the same would have been held and enjoyed by the Assignor if this assignment and sale had not been made.

To the extent not granted, assigned, sold or transferred to the Assignee by the above and for the good and valuable consideration acknowledged above, the Assignor agrees to and does hereby grant, assign, sell and transfer unto the Assignee any and all future developments, including improvements, in the Intellectual Property and Related Rights immediately and automatically upon existence.

The Assignor hereby COVENANTS AND WARRANTS that the Assignor has not executed and shall not execute any writing or perform any act whatsoever conflicting with this Assignment. This covenant and warranty includes, but is not limited to, a representation to the Assignee that no grant, assignment, sale, transfer, mortgage, license, encumbrance or other agreement affecting any portion, in whole or in part, of the Intellectual Property and Related Rights has been made to any party by the Assignor, and that the full right and authority to convey the Intellectual Property and Related Rights as herein expressed is possessed by the Assignor.

The Assignor hereby agrees that the Assignor will, in view of the good and valuable consideration acknowledged above, perform the following as relating to the Intellectual Property, the Application and the portions of any and all applications or patents based on or arising from the Intellectual Property or the Application in all countries, United States and foreign, and under any applicable treaty or convention:

- (1) communicate any and all facts and information known to the Assignor respecting the Intellectual Property and Related Rights to the Assignee and the Assignee's affiliates, legal representatives and successors:
- sign, execute and deliver any and all papers necessary or desirable to enforce or perfect the title to all of the entire right and interest, together with all rights of priority in, to and under the Intellectual Property, the Application and any and all applications or patents based on or arising from the Intellectual Property or the Application, including all rightful oaths, declarations, assignments, powers of attorney and other related papers, in and for the use of the Assignee and the Assignee's affiliates, legal representatives and successors, which may be necessary or desirable in connection with any proceeding, such as any linter partes review, post-grant review, supplemental examination, interference, derivation proceeding, other post-grant proceeding, or litigation;
- (3) testify in any legal or quasi-legal proceedings regarding any and all facts known to the Assignor relating to the Intellectual Property and Related Rights as requested by the Assignee and the Assignee's affiliates, legal representatives and successors;
- (4) act in the benefit of the Assignee, including not engaging in any acts resulting in intentional or unintentional waiver of attorney-client privileges, as relating to the Intellectual Property and Related Rights without express written authorization by the Assignee, and, if there is a waiver of attorney-client privileges, assert that any partial waiver of attorney-client privileges does not constitute a total waiver of attorney-client privileges; and
- (5) generally do everything reasonable to aid and cooperate in securing, maintaining and enforcing proper protection for the Intellectual Property and Related Rights in the Assignee and the Assignee's affiliates, legal representatives and successors.

The Assignor hereby agrees that any partial waiver of the Assignor's attorney-client rights and privileges as related to the Intellectual Property and Related Rights, whether inadvertent, willful, past, present or future, does not constitute a total waiver of the Assignor's attorney-client rights and privileges.

The Assignor hereby authorizes and requests the Honorable Commissioner for Patents to issue any aforesaid patent to the Assignee and the Assignee's affiliates, legal representatives and successors.

Assignor understands that SmithAmundsen LLC does not personally represent Assignor or Assignor's legal interests, but instead represents the interests of Assignee. Assignor acknowledges Assignor's right to retain Assignor's own independent legal counsel.

This Assignment embodies the complete and final agreement between the parties on this subject and shall be governed and controlled as to validity, enforcement, interpretation, construction, effect and in all other respects by the laws of the United States, and in particular in accordance with the laws of the State of New Jersey, without reference to the conflict of laws principles thereof. It is further understood that

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Assignor consents to the courts of <u>New Jersey</u> in connection with any dispute arising under the Assignment.

If any provision or term of this Assignment shall be ruled invalid or unenforceable by a court of competent jurisdiction, such decision shall not affect the validity or enforceability of the remaining provisions and terms of this Assignment, which remaining provisions and terms shall continue in full force and effect as if this Assignment had been executed with the invalid portion eliminated.

This Assignment is hereby made effective as of August 8, 2018.

Assigned	Signature (Assignor/Inventor)	
	Signature (Assignor/Inventor)	
	Printed Name: Jiang Hu	
	Date: day of	20
Notary (for Inventor in U.S.):	*	
STATE OF		
COUNTY OF):\$\$)	
Before me, a Notary Public, in and Assignor, <u>Jiang Hu</u> , who executed th execution thereof as their free and volu expressed.		sence and acknowledged the
	Signature (Notary Public):	
	Signature (Notary Public): Printed Name:	
	Printed Name:	
	Printed Name:	20County
<u>Witnesses</u> (for Inventor in China)	Printed Name: Date: day of Resident of My Commission Expires:	20County
	Printed Name: Date: day of Resident of My Commission Expires:	20County
Witnesses (for Inventor in China) Signature (Witness 1): Printed Name (Witness 1):	Printed Name: Date: day of Resident of My Commission Expires:	20County
Signature (Witness 1):	Printed Name: Date:day of Resident of My Commission Expires:	20County

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Assignor:	Signature (Assignor/Inventor)
	Printed Name: Nicholas Calaceto
	Date: 17 day of September 2018
Notary: STATE OF):ss
Assignor, Micholas Calaceto, who exe	of for the County and State, personally appeared the above-named ecuted the foregoing Assignment in my presence and acknowledged I voluntary act and deed for the uses and purposes therein set forth Signature (Notary Public):
FRANCES M. SQUITIER! Notary Public, State of New Jersey My Commission Expires September 08, 2023	Printed Name: Frances M. SQUITER Date: 1 day of Sept 20 18
	Resident of Berrer County My Commission Expires: 9803

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