505167550 10/30/2018

# PATENT ASSIGNMENT COVER SHEET

EPAS ID: PAT5214318

Electronic Version v1.1 Stylesheet Version v1.2

SUBMISSION TYPE:

CORRECTIVE ASSIGNMENT

Corrective Assignment to correct the LEGAL NAME OF INVENTOR IN THE ASSIGNMENT previously recorded on Reel 046263 Frame 0127. Assignor(s) hereby confirms the CORRECT LEGAL NAME OF CHIARA, FRANCESCO DE TO DE CHIARA, FRANCESCO.

RESUBMIT DOCUMENT ID: 504996866

#### **CONVEYING PARTY DATA**

Name	Execution Date
RAJIV JALAN	02/25/2016
RAJESHWAR PROSAD MOOKERJEE	06/29/2017
KRISTA ROMBOUTS	06/28/2017
FAUSTO ANDREOLA	06/27/2017
FRANCESCO DE CHIARA	06/27/2017
KAREN LOUISE THOMSEN	06/27/2017

### **RECEIVING PARTY DATA**

Name:	UCL BUSINESS PLC
Street Address:	97 TOTTENHAM COURT ROAD
Internal Address:	THE NETWORK BUILDING
City:	LONDON
State/Country:	UNITED KINGDOM
Postal Code:	W1T 4 TP

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16026403

#### CORRESPONDENCE DATA

**Fax Number:** (949)760-9502

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 9497600404

**Email:** efiling@knobbe.com

Correspondent Name: KNOBBE, MARTENS, OLSON & BEAR, LLP

Address Line 1: 2040 MAIN STREET

Address Line 2: 14TH FLOOR

Address Line 4: IRVINE, CALIFORNIA 92614

**ATTORNEY DOCKET NUMBER:** OCERA.058C1

NAME OF SUBMITTER:	JING LIU
SIGNATURE:	/Jing Liu/
DATE SIGNED:	10/30/2018
	This document serves as an Oath/Declaration (37 CFR 1.63).
Total Attachments: 11	
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## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

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## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16026403

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efiling@knobbe.com

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Correspondent Name:

KNOBBE, MARTENS, OLSON & BEAR, LLP

Address Line 1:

2040 MAIN STREET

Address Line 2: Address Line 4: 14TH FLOOR

IRVINE, CALIFORNIA 92614

ATTORNEY DOCKET NUMBER:

OCERA.058C1

NAME OF SUBMITTER: JING LIU		
Signature: /Jing Liu/		
Date:	07/03/2018	
Total Attachments: 9 source=OCERA058C1_Decl_Assign#page1.tif source=OCERA058C1_Decl_Assign#page2.tif source=OCERA058C1_Decl_Assign#page3.tif source=OCERA058C1_Decl_Assign#page4.tif source=OCERA058C1_Decl_Assign#page5.tif source=OCERA058C1_Decl_Assign#page6.tif source=OCERA058C1_Decl_Assign#page7.tif source=OCERA058C1_Decl_Assign#page8.tif source=OCERA058C1_Decl_Assign#page8.tif source=OCERA058C1_Decl_Assign#page9.tif		
RECEIPT INFORMATION		
EPAS ID: PAT50359	911	
Receipt Date: 07/03/201	8	

Application Data Sheet filed previously or concurrently

Docket No.: OCERA.058WO

Page 1 of 2

Title: TREATMENT OF DISEASES ASSOCIATED WITH HEPATIC STELLATE CELL ACTIVATION

**USING AMMONIA-LOWERING THERAPIE** 

Inventor: Rajiv Jalan

#### Declaration

This Declaration is directed to the application identified above that:

Was filed **November 23, 2015** as International Application No. **PCT/US2015/062223** and incorporating any amendments made thereto prior to the signature date of this Declaration.

As a named inventor, I declare that:

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

## Assignment from Inventors

WHEREAS, above-identified inventors (individual(s) hereinafter "ASSIGNOR") invented certain new and useful improvements, technology, inventions, developments, ideas, ornamental designs, or discoveries, and hereby assign or are under an obligation to assign to the below identified Assignee the above-titled application (collectively hereinafter referred to as the "Work") for which an application for Letters Patent in the United States (identified above) has been prepared for filing with the United States Patent and Trademark Office (hereinafter the "Application").

AND WHEREAS, **UCL BUSINESS PLC**, with its principal place of business at The Network Building, 97 Tottenham Court Road, London, W1T 4 TP, United Kingdom (hereinafter the "ASSIGNEE"), desires to acquire the entire right, title, and interest in and to the Application and the Work.

NOW, THEREFORE, for good and valuable consideration of which receipt is hereby acknowledged, ASSIGNOR hereby acknowledges that ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto said ASSIGNEE, successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Application and the Work, including all Patent Properties filed or issued upon the Application and the Work; where "Patent Properties" include, but are not limited to:

all provisional applications relating thereto (including but not limited to U.S. Provisional Application No(s). 62/083,814, filed November 24, 2014 (respectively if plural applications));

all nonprovisional applications claiming priority to aforementioned provisional(s) and/or the present Application, including, all divisions, continuations, continuations-in-part, and reissues, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof; and

all rights of priority under International Conventions and any related Letters Patent which may hereafter be granted or filed in any country or countries foreign to the United States, all extensions, renewals and reissues thereof.

Application Data Sheet filed previously or concurrently

Docket No.: OCERA.058WO

Page 2 of 2

Title: TREATMENT OF DISEASES ASSOCIATED WITH HEPATIC STELLATE CELL ACTIVATION

**USING AMMONIA-LOWERING THERAPIE** 

Inventor: Rajiv Jalan

ASSIGNOR hereby acknowledges the ASSIGNEE as the Applicant for all aforementioned Patent Properties, and authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all related Letters Patent to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will communicate to said ASSIGNEE, successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work, and testify in any legal proceeding, assist in the preparation of any other Patent Property relating to the Application and the Work or any improvements made thereto, sign/execute all lawful papers, authorize the filing of and execute and make all rightful oaths and/or declarations in connection with the Application and the Work including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Work in all countries.

Signature: Date: 25/02/2016

Witness Name (printed): Mohammad

Visit Name of inventor: Rajiv Jalan

Date: 25/02/2016

ZS 7 2 1 6

22270804 121415

Application Data Sheet filed previously or concurrently

Docket No.: OCERA.058WO

Page 1 of 7

TITIE: TREATMENT OF DISEASES ASSOCIATED WITH HEPATIC STELLATE CELL ACTIVATION USING AMMONIA-LOWERING THERAPIES

Inventors: Rajiv Jalan; Rajeshwar Prosad Mookerjee; Krista Rombouts; Fausto Andreola; Francesco De Chiara; Karen Louise Thomsen

#### Declaration

This Declaration is directed to the application identified above that:

Was filed **November 23, 2015** as International Application No. **PCT/US2015/062223** and incorporating any amendments made thereto prior to the signature date of this Declaration.

As a named inventor, I declare that:

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

#### Assignment from Inventors

WHEREAS, above-identified inventors (individual(s) hereinafter "ASSIGNOR") invented certain new and useful improvements, technology, inventions, developments, ideas, ornamental designs, or discoveries, and hereby assign or are under an obligation to assign to the below identified Assignee the above-titled application (collectively hereinafter referred to as the "Work") for which an application for Letters Patent in the United States (identified above) has been prepared for filing with the United States Patent and Trademark Office (hereinafter the "Application").

AND WHEREAS, UCL BUSINESS PLC, with its principal place of business at The Network Building; 97 Tottenham Court Road, London, W1T 4 TP, United Kingdom (hereinafter the "ASSIGNEE"), desires to acquire the entire right, title, and interest in and to the Application and the Work.

NOW, THEREFORE, for good and valuable consideration of which receipt is hereby acknowledged, ASSIGNOR hereby acknowledges that ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Application and the Work, including all Patent Properties filed or issued upon the Application and the Work; where "Patent Properties" include, but are not limited to:

all provisional applications relating thereto (including but not limited to U.S. Provisional Application No(s). 62/083,814, filed November 24, 2014 (respectively if plural applications));

all nonprovisional applications claiming priority to aforementioned provisional(s) and/or the present Application, including, all divisions, continuations, continuations-in-part, and reissues, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof; and

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Docket No.: OCERA.058WO

Page 2 of 7

TITLE: TREATMENT OF DISEASES ASSOCIATED WITH HEPATIC STELLATE CELL ACTIVATION USING AMMONIA-LOWERING THERAPIES

Inventors: Rajiv Jalan; Rajeshwar Prosad Mookerjee; Krista Rombouts; Fausto Andreola; Francesco De Chiara; Karen Louise Thomsen

all rights of priority under International Conventions and any related Letters Patent which may hereafter be granted or filed in any country or countries foreign to the United States, all extensions, renewals and reissues thereof.

ASSIGNOR hereby acknowledges the ASSIGNEE as the Applicant for all aforementioned Patent Properties, and authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all related Letters Patent to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work, and testify in any legal proceeding, assist in the preparation of any other Patent Property relating to the Application and the Work or any improvements made thereto, sign/execute all lawful papers, authorize the filing of and execute and make all rightful oaths and/or declarations in connection with the Application and the Work including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Work in all countries.

Application Data Sheet filed previously or concurrently

Docket No.: OCERA.058WO

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TITIE: TREATMENT OF DISEASES ASSOCIATED WITH HEPATIC STELLATE CELL ACTIVATION USING AMMONIA-LOWERING THERAPIES

Inventors: Rajiv Jalan; Rajeshwar Prosad Mookerjee; Krista Rombouts; Fausto Andreola; Francesco De Chiara; Karen Louise Thomsen

Legal Name of inventor: Rajeshwar Prosad Mookerjee

Signature:

Date:

29/06/2017

Witness Name (printed):

Witnessed by:

PATENT

**REEL: 047376 FRAME: 0009** 

# COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e)) Application Data Sheet filed previously or concurrently

Docket No.: OCERA.058WO

Page 4 of 7

TITLE: TREATMENT OF DISEASES ASSOCIATED WITH HEPATIC STELLATE CELL ACTIVATION USING AMMONIA-LOWERING THERAPIES

Inventors: Rajiv Jalan; Rajeshwar Prosad Mookerjee; Krista Rombouts; Fausto Andreola; Francesco De Chiara; Karen Louise Thomsen

Legal Name of Inventor: Krista Rombouts

Witnessed by:

Witness Name (printed):

Application Data Sheet filed previously or concurrently

Docket No.: OCERA.058WO

Page 5 of 7

Title: TREATMENT OF DISEASES ASSOCIATED WITH HEPATIC STELLATE CELL ACTIVATION **USING AMMONIA-LOWERING THERAPIES** 

Inventors: Rajiv Jalan; Rajeshwar Prosad Mookerjee; Krista Rombouts; Fausto Andreola; Francesco De Chlara; Karen Louise Thomsen

Legal Name of Inventor: Fausto Andreola

Signature:

Date: 27/6/2017

Witnessed by:

ROMBOUTS KRISTA

Witness Name (printed):

**PATENT** 

REEL: 047376 FRAME: 0011

Application Data Sheet filed previously or concurrently

Docket No.: QCERA,058WO

Page 6 of 7

TILIO: TREATMENT OF DISEASES ASSOCIATED WITH HEPATIC STELLATE CELL ACTIVATION USING AMMONIA-LOWERING THERAPIES

Inventors: Rajlv Jalan; Rajeshwar Prosad Mookerjee; Krista Rombouts; Fausto Andreola; Francesco De Chiara; Karen Louise Thomsen

GIUSI

· Legal Name of inventor: Francesco De Chiara

Signature:

Date

27/06/2012

Witnessed by:

Gueri Justinian

Witness Name (printed):

MARKONE

# COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e)) Application Data Sheet filed previously or concurrently

Docket No.: OCERA.058WO

Page 7 of 7

Title: TREATMENT OF DISEASES ASSOCIATED WITH HEPATIC STELLATE CELL ACTIVATION **USING AMMONIA-LOWERING THERAPIES** 

Inventors: Rajiv Jalan; Rajeshwar Prosad Mookerjee; Krista Rombouts; Fausto Andreola; Francesco De Chiara; Karen Louise Thomsen

Legal Name of Inventor: Karen Louise Thomsen

Date:

Witnessed by:

Witness Name (printed): M

25851117

PATENT. REEL: 047376 FRAME: 0013

**RECORDED: 07/10/2018**