505184528 11/09/2018

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5231297

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

CONVEYING PARTY DATA

Name	Execution Date
THOMAS KLAFTA	01/04/2016
HUBERTUS BREIER	01/12/2016
MICHAEL ROSENAUER	01/13/2016
MARIANNE AUERNHAMMER	01/19/2016

RECEIVING PARTY DATA

Name:	OSRAM GMBH	
Street Address:	MARCEL-BREUER-STRASSE 6	
City:	MUNCHEN	
State/Country:	GERMANY	
Postal Code:	80807	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16186016

CORRESPONDENCE DATA

Fax Number: (603)668-8567

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 603-668-1400

Email: cchagnon@hayes-soloway.com

Correspondent Name: HAYES SOLOWAY PC Address Line 1: 175 CANAL STREET

Address Line 4: MANCHESTER, NEW HAMPSHIRE 03101

ATTORNEY DOCKET NUMBER:	LEDVANCE 16.35 CON	
NAME OF SUBMITTER:	JONATHAN R. RAYMOND	
SIGNATURE:	/Jonathan R. Raymond/	
DATE SIGNED:	11/09/2018	

Total Attachments: 6

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> **PATENT REEL: 047465 FRAME: 0383** 505184528

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PATENT REEL: 047465 FRAME: 0384

503734476 03/14/2016

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3781118

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
THOMAS KLAFTA	01/04/2016
HUBERTUS BREIER	01/12/2016
MICHAEL ROSENAUER	01/13/2016
MARIANNE AUERNHAMMER	01/19/2016

RECEIVING PARTY DATA

Name:	OSRAM GMBH	
Street Address:	MARCEL-BREUER-STRASSE 6	
City:	MUNICH	
State/Country:	GERMANY	
Postal Code:	80807	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14914288

CORRESPONDENCE DATA

Fax Number: (617)849-5451

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: patint@vjp.de

Correspondent Name: VIERING, JENTSCHURA & PARTNER MBB

Address Line 1: C/O 3770 HIGHLAND AVE.

Address Line 2: SUITE 203

Address Line 4: MANHATTAN BEACH, CALIFORNIA 90266

ATTORNEY DOCKET NUMBER:	P62972US	
NAME OF SUBMITTER:	KARL J. KOLBINGER	
SIGNATURE:	/Karl J. Kolbinger, Reg.#41,124/	
DATE SIGNED:	03/14/2016	

Total Attachments: 4

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PATENT 503734476 REEL: 037969 FRAME: 0988

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PATENT REEL: 037862 FRAME: 0980

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ASSIGNMENT

For good and valuable consideration, I (We),

Hubertus Breier, residing at Grünwies 7, Dettingen-Gerstetten, 89547, DE, citizen of DE Thomas Klafta, residing at Helmut-Lläpple-Ring 1, Maxhütte-Haidhof, 93142, DE, citizen of DE Michael Rosenauer, residing at Annahofstr. 14, Regensburg, 93049, DE, citizen of AT Marianne Auernhammer, residing at Kalkofenstraße 4, Fünfstetten, 86681, citizen of DE

hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to OSRAM GmbH, a corporation organized and existing under the laws of Germany, having its principal place of business at Marcel-Breuer-Straße 6, 80807 München, Germany, hereinafter "Assignee", its successors, assigns and legal representatives, or to a predecessor of Assignee, pursuant to (A)(i) the laws of Germany or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignee or a predecessor of the Assignee, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the below-identified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

(B)(i) to the extent such laws of Germany or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

Patent Application Title: ASSEMBLY OF A SEMI-CONDUCTOR LAMP FROM SEPARATELY PRODUCED COMPONENTS

Filing Date (MM/DD/YYYY): 08/22/2014 Filing Number: PCT/EP2014/067913

Internal Case Number(s): 2012P22226WOUS

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in

PATENT REEL: 0**3**7**9**6**3** FRAME: 09**2**0

Page 2 of 3

and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and/or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Loryges Lucius al 3 Witness Signature	Hubertus Breier
Wolfgang Medenwold printed name	
Witness Signature	Thomas Klafta
printed name	Date
Witness Signature	Michael Rosenauer
printed name	Date

Page 2 of 3

and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and/or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Witness Signature	Hubertus Breier
printed name	Date
Witness Signature	Thomas Klafta
Strauß Christoph printed name	<u> </u>
Witness Signature	HILL 12
Printed name	

Page 3 of 3

Witness Signature Marianne Auernhammer

10,1.2016

printed name

Companies Representative(s).

OSRAM GmbH

Signature enjamin Paul Patent IP Counsel Name Senier

Date

02.03.16

Signature Chrishne Majer Enjor Potent IP Counsel Name

Senior Date

02.03 Ma