## 505185723 11/12/2018

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5232492

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
ABB TECHNOLOGY AG	05/09/2016

## **RECEIVING PARTY DATA**

Name:	ABB SCHWEIZ AG
Street Address:	BROWN BOVERI STRASSE 6
City:	BADEN
State/Country:	SWITZERLAND
Postal Code:	5400

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	29634676

## **CORRESPONDENCE DATA**

**Fax Number:** (615)242-2221

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 615-242-2400

Email: pam@iplawgroup.com
Correspondent Name: GARY L. MONTLE

Address Line 1: PATTERSON INTELLECTUAL PROPERTY LAW, P.C.

Address Line 2: 1600 DIVISION STREET, SUITE 500
Address Line 4: NASHVILLE, TENNESSEE 37203

ATTORNEY DOCKET NUMBER:	19836Z-012821DIV2
NAME OF SUBMITTER:	GARY L. MONTLE
SIGNATURE:	/Gary L. Montle/
DATE SIGNED:	11/12/2018

## **Total Attachments: 5**

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PATENT 505185723 REEL: 047473 FRAME: 0771



# Commercial Register of Canton Argovia – Main Register

Company Number	Legal Status	Registration Date	Cancellation	Carried over	1
CHE-101.538.426	Joint Stock Company	17.01.1990		from: CH 400.3.008.861-1/b	
				to:	- 1

## All Entries

Entry	Can	Company Name	Ref.	Registered Place of Business
0		ABB Schweiz AG	0	Baden
0		(ABB Suisse SA) (ABB Switzerland Ltd)		

Entry	Can	Common Stock (CHF)	Shares Issued (CHF)	Denomination	Entry	Can	Company Address
0		55'000'000.00	1	550,000 registered shares at CHF 100.00 ea.	0		Brown Boveri Strasse 6 5400 Baden

Entry	Can	Purpose, Description	Entry	Can	Other premises
12		The purpose of the company is to develop, plan, manufacture, install,	0	<b>V</b>	Fabrikstrasse 3 and 9
		distribute and trade equipment, systems, machines, devices and			5600 Lenzburg
		technical products of any kind in the area, in particular, of energy and	0		Austrasse
		automation technology, as well as to provide services, in particular in			5300 Turgi
		the areas of research, development, information technology,	0		Zentralstrasse
		communication as well as management and business support, and to			5242 Birr
		attend to the interest of ABB Ltd, Zürich, in Switzerland; the company	0		Toro 2
1		may acquire real estate, form subsidiaries and participate in other			Eduard-Imhofstrasse 4
		companies; the company may engage in financing business and may			8050 Zurich
		also provide securities for the obligations of its shareholders and its	0		Bahnhof TH 10
		associated businesses, in particular in the form of guarantees, pledges,			Jurastrasse 45
		blanket assignments, chattel mortgages, assignments for securities			5430 Wettingen
		and indemnities.	0		Fullachstrasse 150
			_		8201 Schaffhausen
			0		Segelhof 5405 Dättwil AG
		;	0		Badenerstrasse 780
			٦		8048 Zurich
			0		Brown Boveri-Strasse
			•		5
					8050 Zurich
			0		Badenerstrasse 790
					8048 Zurich
			0		Via Boschina 5
					6963 Pregassona
]			0		Herbergstrasse 21
•					9524 Zuzwil SG
			22		Brown Boveri Platz 3
•			00		5400 Baden
			22		Bruggerstrasse 72
			22		5400 Baden
1			22		Waldeggstrasse 76 3097 Liebefeld
			26		Brühlstrasse 50
	4		20		5313 Klingnau
			26		Kanalstrasse 12
					5313 Klingnau
			42		Kreuzweg 11
					5400 Baden
			42		Industriestrasse 44a
1					5314 Kleindöttingen
			53		Industriestrasse 34
]					5314 Kleindöttingen
			71		Rue du Grand-Pré 2a
			7.0		1007 Lausanne
			72		Aathalstrasse 90
					8610 Uster

June 2016

PATENT following pages

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Entry	Can	Remarks	Ref.	Date of Articles
0		The excerpt transferred from a previous register card contains no data		10.01.1990
	l	deleted prior to the transfer date and no previous data from the articles		14,12.1992
	l	of association or quotations from the journal or the Swiss Official	0	22.12.1993
		Gazette of Commerce (SOGC). These data and quotations can be		28.02.1994
		found on the commercial register card referred to in the "Carried over"	0	15.05.1998
		field.	0	11.12.2001
0		The transferability of the registered shares shall be restricted according	0	12.09.2003
	•	to the articles of association.	12	04.06.2007
69		The communications to the shareholders shall be effected by letter or email.	69	20.10.2015

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Entry	Can	Specific Facts	Ref.	Publication
0		Merger: On the occasion of the capital increase of February 28, 1994, the company — by way of merger in accordance with Art. 748 SCO – acquired "ABB Process Automation AG", in Baden. According to the merger balance sheet as of January 1, 1994, assets in the amount of CHF 158,082,273.92 and liabilities in the amount of CHF 134,994,131.75 passed to the company by way of general succession; the amount of the increase was fully paid up in accordance with the merger agreement. The shareholders of the acquired company receive therefore 100,000 fully paid-up registered shares of the acquiring company at a par value of CHF 100 each.	0	SHAB
0		Mergers: The company acquires by way of merger in accordance with Art. 748 Swiss Code of Obligations (SCO) and based on the merger agreements of December 11, 2001, and merger balance sheets as of October 31, 2001, through general succession, assets in the amount of CHF 41,860,902.72 and liabilities in the amount of CHF 36,538,013.29 of "ABB Business Services Ltd," in Baden, assets in the amount of CHF 14,918,138.43 and liabilities in the amount of CHF 12,065,799.83 of "ABB Corporate Research AG" in Baden, assets in the amount of CHF 25,307,953.23 and liabilities in the amount of CHF 16,324,675.65 of "ABB Low Voltage Power AG" in Lenzburg, assets in the amount of CHF 252,443,846.19 and liabilities in the amount of CHF 200,596,350.27 of "ABB Power Automation AG" in Baden, assets in the amount of CHF 190,666,997.85 and liabilities in the amount of CHF 215,265,526.36 of "ABB Semiconductors AG" in Baden, assets in the amount of CHF 31,431,583.12 and liabilities in the amount of CHF 24,069,918.71 of "ABB Unifer AG" in Birr, assets in the amount of CHF 20,347,497.75 and liabilities in the amount of CHF 10,996,072.27 of "ABB CMC Carl Maier AG" in Schaffhausen, assets in the amount of CHF 11,550,026.73 and liabilities in the amount of CHF 8,950,260.28 of "ABB Flexible Automation AG" in Zurich, assets in the amount of CHF 505,636,663.03 and liabilities in the amount of CHF 86,636,324.92 of "ABB Hochspannungstechnik AG" in Zurich, as well as assets in the amount of CHF 31,602,755.33 and liabilities in the amount of CHF 86,636,324.92 of "ABB Hochspannungstechnik AG" in Zurich, as well as assets in the amount of CHF 31,602,755.33 and liabilities in the amount of CHF 36,636,324.92 of "ABB Hochspannungstechnik AG" in Zurich, in the amount of CHF 21,765,294.26 of "ABB Normelec AG" in Zurich. Since the shareholders of the acquiring company and the shareholders of the acquired companies are identical, the shares of the acquired companies expire and the share capital of the acquiring company remains unchanged.		
0		Merger: The company acquires by way of merger and based on the merger agreements of August 20, 2002, and merger balance sheets as of April 1, 2002, through general succession, assets in the amount of CHF 1,090,521 and liabilities in the amount of CHF 662,295 of "ENTRELEC SWISS SA" in Belfaux. Since the shareholders of both companies are identical, the shares of the acquired company expire and the capital stock of the acquiring company remains unchanged.		
0		Merger: The company acquires by way of merger in accordance with Art. 748 Swiss Code of Obligations (SCO) and based on the merger agreements of May 28, 2003 and merger balance sheets as of January 01, 2003, through general succession, assets in the amount of CHF 11,301,617.— and liabilities in the amount of CHF 10,858,617.— of "SKYVA Schweiz AG" in Hägendorf. Since the shareholders of both companies are identical, the shares of the acquired company expire and the capital stock of the acquiring company remains unchanged.		

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CHE-10	11.538.426 ABB Switzerland Ltd	Baden	[3
0	Merger: The company acquires by way of merger and based on the merger agreements of June 20, 2003, and merger balance sheets as of January 1, 2003, through general succession, assets in the amount of CHF 6,309,938,93 and liabilities (loan capital) in the amount of CHF 6,210,200.49 of ABB New Ventures AG in Zürich. Since the shareholders of both companies are identical, the shares of the acquired company expire and the capital stock of the acquiring company remains unchanged.		
0	Merger: The company acquires based on the merger agreements of June 14, 2004, and merger balance sheets as of January 1, 2004, through general succession, assets in the amount of CHF 22,756,511.99 and liabilities in the amount of CHF 20,387,724.58 of Micafil AG in Zürich (CHE-107,362,143). Since the shareholders of both companies are identical, the shares of the acquired company expire and the capital stock of the acquiring company remains unchanged.		
O	Merger: The company acquires the assets in the amount of CHF 6,422,177.96 and itabilities (toan capital) in the amount of CHF 5,988,236.66 of "Levy fits AG" in Basel (CHE-107.731.973) based on the merger agreements of October 21, 2004 and balance sheets as of October 1, 2004. Since the same shareholders hold all the shares in the companies involved in the merger, neither an increase in capital nor an allotment of shares takes place.		
(7)	Merger: The company acquires by way of merger and based on the merger agreement of January 26, 2006, and merger balance sheets as of January 1, 2006, through general succession, assets in the amount of CHF 1,477,495.01 and liabilities in the amount of CHF 1,327,495.01 of ABB Insurance Brokers AG in Baden (CHE-106.740.202). Since the shareholders of both companies are identical, the shares of the acquired company expire and the capital stock of the acquiring company remains unchanged.		
39	Merger: Transfer of assets and liabilities of Baldor Electric Switzerland AG, Feuerthalen (CHE-107.923.047) involving a share capital of CHF 2,110,000.00 and statutory reserves of CHF 768,000.00, pursuant to the Merger Agreement of April 25, 2012 and the balance sheet as per December 31, 2011. Assets in the amount of CHF 419,353.00 and liabilities (debt capital) in the amount of CHF 156,538.00 shall be transferred to the absorbing company. Pursuant to confirmation by the accredited audit expert, the absorbing company has freely disposable equity capital in the amount of the capital loss. Since the absorbing company holds all of the shares in the transferring company, neither a capital increase nor an allocation of shares will be effected.		
54	Merger: Transfer of assets and liabilities of ABB Technology Ventures Ltd, Zurich (CHE-115.379.944) pursuant to the Merger Agreement of May 9 / May 14, 2014 and the balance sheet as per December 31, 2013. Assets in the amount of CHF 70,205,528.76 and liabilities (debt capital) in the amount of CHF 136,382,452.51, meaning a surplus of liabilities of CHF 66,176,923.75, shall be transferred to the absorbing company. Pursuant to confirmation by the accredited audit expert, subordinations in the amount of loss of capital and the overindebtedness exist. Since the same shareholders hold all of the shares in the transferring company, neither a capital increase nor an allocation of shares will be effected.	***************************************	

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Entry	Can	Specific Facis	Ref.	Publication
62		Merger: Transfer of assets and liabilities of Newave Energy AG, Baden (CHE-108.417.999) pursuant to the Merger Agreement of November 19, 2014 and the balance sheet as per October 31, 2014. Assets in the amount of CHF 10,424,141.33 and liabilities (debt capital) in the amount of CHF 6,695,242.97 shall be transferred to the absorbing company. Since the absorbing company holds all of the shares in the transferring company, neither a capital increase nor an allocation of shares will be effected.		
77		Merger: Transfer of assets and liabilities of ABB Technology AG, Zurich (CHE-102.176.685) pursuant to the Merger Agreement of May 9, 2016 and the balance sheet as per December 31, 2015. Assets in the amount of CHF 702,393,851.68 and liabilities (debt capital) in the amount of CHF 1,232,858,587.04, meaning a surplus of liabilities of CHF 530,464,735.36, shall be transferred to the absorbing company. Pursuant to confirmation by the accredited audit expert, subordination declarations in the amount of the underfunding and the overindebtedness exist. Since the same shareholder holds all of the shares in the companies involved in the merger, neither a capital increase nor an allocation of shares will be effected.		

### **Entry Dates**

Entry dates can be seen on the German original extract of the "Commercial Register of Canton Aargau" (enclosed).

### Personal Data and Signatory Rights

Personal data and signatory rights can be seen on the German original extract of the "Commercial Register of Canton Aargau" (enclosed).

### **Deleted Sections**

Deleted sections can be seen on the German original extract of the "Commercial Register of Canton Aargau" (enclosed) and are not reflected in this translation.

I, the undersigned, hereby confirm that this English translation corresponds to the best of my knowledge and ability to the original German text of the extract of the Commercial Register of Canton Aargau. The sections "Entry Dates" and "Personal Data and Signatory Rights" have not been included in this translation, they can, however, be seen on the original German version (enclosed) which has priority over this English translation.

Baden, June 23, 2016

ABB Switzerland Ltd.

Chantal Lüthi

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## Legalization

The foregoing signature was written in her own hand by Chantal Lüthi, born May 19, 1984, Swiss citizen, in Otelfingen (Switzerland), identified with Identity card number C8524814.

Baden (Switzerland), June 24, 2016

The Notary Public:

PATENT REEL: 047473 FRAME: 0776

**RECORDED: 11/12/2018**