

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT5238179

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
XINYING ZHENG	12/13/2016
SERGE SAXONOV	12/09/2016
MICHAEL SCHNALL-LEVIN	12/06/2016
KEVIN NESS	12/15/2016
RAJIV BHARADWAJ	12/13/2016
RECEIVING PARTY DATA	
Name:	10X GENOMICS, INC.
Street Address:	7068 KOLL CENTER PARKWAY, SUITE 401
City:	PLEASANTON
State/Country:	CALIFORNIA
Postal Code:	94566
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16191343
CORRESPONDENCE DATA	
Fax Number:	(415)442-1001
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	4154421000
Email:	sheila.gloss@morganlewis.com
Correspondent Name:	MORGAN, LEWIS & BOCKIUS LLP - 10X GENOMI
Address Line 1:	ONE MARKET, SPEAR STREET TOWER, SUITE 28
Address Line 4:	SAN FRANCISCO, CALIFORNIA 94105
ATTORNEY DOCKET NUMBER:	104371-5006-US01
NAME OF SUBMITTER:	SHEILA R. GLOSS
SIGNATURE:	/Sheila R. Gloss/
DATE SIGNED:	11/14/2018
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 4	

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ASSIGNMENT STATEMENT
Under 37 CFR 1.63 and 35 U.S.C. 115

TITLE OF THE INVENTION: METHODS AND COMPOSITIONS FOR NUCLEIC ACID ANALYSIS

As the below named inventor(s), I/We hereby declare that:

This Assignment-Statement is directed to:

- ☐ The application as filed, or
- ☒ United States Patent Application No. 15/367,660, filed on December 2, 2016.
- ☒ PCT No. PCT/US2016/064611, filed on December 2, 2016.

The above-identified applications were made or authorized to be made by me/us.

I/We believe that I am/we are the original inventor(s) of a claimed invention in the applications.

I/We hereby acknowledge that any willful false statement made in this Assignment-Statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

WHEREAS, the undersigned, (1) Xinying ZHENG, resident of 1763 Comstock Lane, San Jose, CA 95124, United States, (2) Serge SAXONOV, resident of 5799 Balmoral Dr, Oakland, CA 94619, United States, (3) Michael SCHNALL-LEVIN, resident of 3945 20th Street #4, San Francisco 94114, United States (4) Kevin NESS, resident of 4306 Campinia Place, Pleasanton, CA 94566, United States and (5) Rajiv BHARADWAJ, resident of 7744 Creekside Drive, Pleasanton, CA 94588, United States (hereinafter termed "Inventor(s)"), respectively, have invented certain new and useful improvements in *METHODS AND COMPOSITIONS FOR NUCLEIC ACID ANALYSIS* and executed therefore an application for Letters Patent of the United States and

WHEREAS, 10X GENOMICS, INC., a corporation of the United States, having a place of business at 7068 Koll Center Parkway, Suite 401, Pleasanton, CA 94566, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventor(s) (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

I, Said Inventor(s) does/do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United

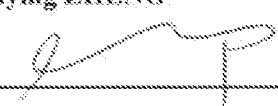
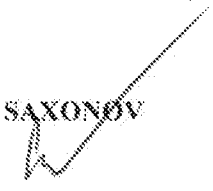
States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventor(s) do hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.

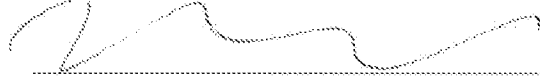
4. Said Inventor(s) does/do hereby individually/jointly and severally warrant and represent that he/she/they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

Said Inventor(s) has/have executed and delivered this instrument to said Assignee as follows:

Date: <u>12/13/16</u>	(1) Xinying ZHENG 
Date: <u>12/9/16</u>	(2) Serge SAXONOV 

Date: 12/6/16

(3) Michael SCHNALL-LEVIN



Date: _____

(4) Kevin NESS

Date: 12/13/14

(5) Rajiv BHARADWAJ



(3) Michael SCHNALL-LEVIN

Date: _____

(4) Kevin NESS

Date: 12/15/14

Kevin D. Ness

(5) Rajiv BHARADWAJ

Date: _____

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