505198899 11/20/2018

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5245668

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
XIAOFENG LI	11/18/2004

RECEIVING PARTY DATA

Name:	ENZO THERAPEUTICS, INC.	
Street Address:	60 EXECUTIVE BLVD.	
City:	FARMINGDALE	
State/Country:	NEW YORK	
Postal Code:	11735	

PROPERTY NUMBERS Total: 2

Property Type	Number
Application Number:	13170634
Application Number:	15195017

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (212) 583-0100
Email: dtirella@enzo.com
Correspondent Name: ENZO BIOCHEM, INC.
Address Line 1: 527 MADISON AVENUE

Address Line 4: NEW YORK, NEW YORK 10022

ATTORNEY DOCKET NUMBER:	ENZ-93CIP2 & 93CIP2CON	
NAME OF SUBMITTER:	DONNA TIRELLA	
SIGNATURE:	/Donna Tirella/	
DATE SIGNED:	11/20/2018	

Total Attachments: 4

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PATENT 505198899 REEL: 047551 FRAME: 0687



Enzo Therapeutics, Inc.

60 Executive Boulevard Farmingdale, NY 11735 516.694.7070 800.221.7705 fax: 516.694.7501

CONFIDENTIALITY AGREEMENT

Agreement made this 10th day of November, 200 4 by and between Enzo Biochem, Inc., a New York corporation (or any of its operating subsidiaries, Enzo Clinical Labs, Inc., Enzo Life Sciences, Inc., or Enzo Therapeutics, Inc., may be referred to hereinafter as "Enzo" or "the Company"), having corporate offices located at 527 Madison Avenue, New York, New York 10022, and other offices located at 60 Executive Boulevard, Farmingdale, New York 11375 and Warfency Li, an individual residing at 23 Steven St Harford, CT 06110 ("Associated Person").

WITNESSETH:

WHEREAS, the Company is engaged in the research and development of commercially saleable recombinant DNA and immunological products, in the production and sale of enzymes and other highly scientific areas of activity, and

WHEREAS, the Associated Person is, or is about to become, associated with the Company as an employee, consultant, scientific advisory board member, joint venture or otherwise, and in the course of the Associated Person's relationship with the Company, the Associated Person will acquire knowledge of have access to trade secrets and proprietary information of the Company.

NOW, THEREFORE, in consideration of the mutual covenant condition and agreements hereinafter contained and for other good and valuable consideration, the parties hereto agree as follows:

The Associated Person recognizes and acknowledges that in the relationship of the Associated Person with the Company, the Associated Person shall obtain confidential or proprietary information, trade secrets and other similar data pertaining to the business, operations and research activities of the Company. Associated Person further recognizes that the value of such data is attributable substantially to the fact that it is maintained by the Company in confidentiality and secrecy and that it is unavailable to others without the expenditure of substantial time and effort or money, and that the disclosure thereof to persons not authorized by the Company to receive such information would seriously and adversely affect the business and operations of the Company. Associated Person therefore covenants and agrees that during his association with the Company and thereafter: (i) to keep such data strictly confidential and secret and to hold all such data now possessed or hereafter obtained in a fiduciary capacity for the benefit of the

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Company and that Associated Person shall not disclose to others any such trade secrets or confidential or proprietary information or other data herein above described; and (ii) to use best efforts and exercise utmost diligence to protect and safeguard the confidentiality and secrecy of all such trade secrets or confidential proprietary information or other data herein above described.

- 2. Associated Person further agrees that all memoranda, notes, records, drawings, notebooks, laboratory reports or other documents made or compiled by Associated Person while associated with the Company concerning any project, research activity then going on or in contemplation, or concerning any product, process, system or activity of the Company shall be the property of the Company and shall be delivered to the Company by Associated Person upon termination of Associated Person's association with the Company or at any other time upon request.
- 3. In the event of a breach or threatened breach by Associated Person of any of the obligations provided under paragraphs 1 and 2 above, Associated Person acknowledges that the Company will not have adequate remedy at law and shall be entitled to seek equitable and injunctive relief as may be available Associated Person from violation of the provisions of paragraphs 1 and 2 hereof. Nothing herein shall be construed as prohibiting the Company from pursuing any other remedies available to it from such breach or threatened breach, including the recovery of damages from Associated Person.
- 4(a). Associated Person agrees to disclose promptly, completely and in writing to the Company and Associated Person hereby assigns and agrees to assign and bind Associated Person's heirs, executors, administrators, successors and legal representatives, any and all inventions, processes, discoveries, methods, apparatus or any improvements (or hereinafter collectively called "Inventions") whatsoever discovered, conceived, and/or developed either individually or jointly with others during the course of the Associated Person's relationship with the Company using the Company's time, data, facilities, and/or materials, provided the subject matter is one within a field of interest of the Company. Associated Person's obligations under this paragraph 4 shall apply without regard to whether an idea for an invention or a solution to a problem occurs to Associated Person while working for the Company, at home or elsewhere. Associated Person further agrees that all such inventions are the Company's exclusive property whether or not patent applications are filed thereon.
- 4(b). Subject matter within a field of interest of the Company includes any field of interest that has been worked on by the Company in the past, in which

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there is work in progress at the Company at the date of or during Associated Person's association with the Company, and projects or other operations of the Company planned for the future. It is expressly understood that this agreement does not apply to any patents or patent applications filed or based on inventions made prior to Associated Person's association with the Company or to matters (other than matters within a field of interest of the Company) which are exclusively of personal interest.

- 4(c). Associated Person shall assist the Company at any time during association with the Company or after such association is terminated, at the Company's expense, in the preparation, execution and delivery of any disclosures, patent applications or papers within the scope and intent of this agreement required to obtain patents applications or papers within the scope and intent of this agreement required to obtain patents in the United States or in any other countries and in connection with such other proceedings as may be necessary to vest title thereto in the Company, its assigns, successors or legal representatives.
- 5. Nothing contained in this agreement shall be construed as impairing the right of the Associated Person or the right of the Company to terminate Associated Person's association with the Company.
- 6. The obligations of the Associated Person under this agreement shall continue whether or not such association with the Company shall be terminated voluntarily or involuntarily, with or without cause.
- 7. This agreement shall be binding upon and inur to the benefit of the Company, its successors in business and upon Associated Person and Associated Person's heirs, executors, administrators, successors or assigns.
- 8. This agreement may not be modified in any respect by any verbal statement, representation or agreement by any employee of the Company other than an officer thereof.
- 9. The laws of the State of New York will govern the interpretation, validity and effect of this agreement without regard to the place of execution or the place of performance.
- 10. Upon termination of Associated Person's relationship with the Company, the Associated Person shall not be employed by or a consultant to or be in any way associated with any company or other entity wherein the Associated Person's responsibilities or duties are related to confidential or proprietary

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two years from the date of such termination.

IN WITNESS WHEREOF, the parties hereto have executed this agreement the day and year first above written.

ENZO THERAPEUTICS, INC.

Signature: _ Print Name: Barbara E. Thalenfeld

Title: Vice President,

Clinical Affairs

Date: NOV

ASSOCIATED PERSON:

Signature:

Print Name: XIA