

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT5261934

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JIANYING MIAO	11/08/2018
WEI LI	11/08/2018
SHING HANG NG	11/08/2018
LOK HANG KEUNG	11/08/2018
TAO GONG	11/29/2018
RECEIVING PARTY DATA	
Name:	S.T. TRADING COMPANY LIMITED
Street Address:	FLAT 4, 15/F., EASTERN CENTRE
Internal Address:	1065 KING'S ROAD, QUARRY BAY
City:	HONG KONG
State/Country:	CHINA
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14850973
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	eip@eipgroup.asia
Correspondent Name:	EAGLE IP LIMITED
Address Line 1:	ROOM 509, GLOBAL GATEWAY TOWER
Address Line 2:	63 WING HONG STREET
Address Line 4:	HONG KONG, CHINA
ATTORNEY DOCKET NUMBER:	P018.001.CIPUS
NAME OF SUBMITTER:	JACQUELINE C. LUI
SIGNATURE:	/Jacqueline C. LUI/
DATE SIGNED:	12/03/2018
Total Attachments: 9	
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ASSIGNMENT

WHEREAS, We,

MIAO, Jianying, a Hong Kong resident, located at Hong Kong SAR, China;

LI, Wei, a Hong Kong resident, located at Hong Kong SAR, China;

NG, Shing Hang, a Hong Kong resident, located at Hong Kong SAR, China;

KEUNG, Lok Hang, a Hong Kong resident, located at Hong Kong SAR, China; and

GONG, Tao, a Chinese resident, located at Wuhan, Hubei Province, China; (hereinafter "Assignors"), made certain new and useful inventions and improvements (hereinafter "Inventions and Improvements") that have been documented in a specification entitled "FABRICATION OF MIRROR-LIKE COATINGS"; for which We have executed application for patent in the United States (hereinafter "Application")

filed on 11 Sep 2015 under serial number 14850973 ;

AND WHEREAS, S.T. Trading Company Limited, a limited company organized and existing under and by virtue of the laws of Hong Kong SAR, China, and having an office and place of business at Flat 4, 15/F., Eastern Centre, 1065 King's Road, Quarry Bay, Hong Kong SAR, China (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said Inventions and Improvements and said Application and in and to the patent to be obtained therefrom;

NOW THEREFORE, to all whom it may concern, be it known that in consideration of One Dollar (USD 1.00), and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, We have sold, assigned, and transferred, and by these present do sell, assign and transfer unto said Assignee, its successors, legal representatives or assigns, the entire right, title and interest for all countries in and to all inventions disclosed in said Application, and in and to the said Application, all divisions, continuations or renewals thereof, all patents which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any country or countries foreign to the United States for patents on said Inventions and Improvements, including an assignment of all rights under the provisions of the International Convention, and all patents of any country or countries foreign to the United States which may be granted therefrom; and We do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue any and all United States Patents, and any official of any country or countries foreign to the United States, whose duty is to issue patents on applications as aforesaid, to issue any and all patents, for the said Inventions and Improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of said Assignee, its successors, legal representatives and assigns.

AND, for the consideration aforesaid, We do hereby agree that We and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors, legal representatives and assigns all facts known to us relating to said Inventions and Improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors, legal representatives or assigns the entire right, title and interest in and to the said Inventions and Improvements, Application, patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore We covenant and agree with said Assignee, its successors, legal representatives or assigns, that no assignment, grant, mortgage license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

SIGNED this 8th day of November, 2018 in Hong Kong SAR, China
(month) (year) (city) (country)

P013 001 C0003

Assignor

Ng Shing Hang

NG Shing Hang
A, 3F, Bldg. 1, Great Garden, 43 Tin Kwan Tuen Rd.
Hung Shai Kiu, Yuen Long, N.T., Hong Kong

Assignor

LI Wei

LI Wei
Rm. 407, Hung Fat Bldg., Baker Street, Hung Hom,
Kowloon, Hong Kong

Assignor

Ng Shing Hang

NG Shing Hang
Room 2802, Lai Wo House, Tai Wo Estate, Tai Po, New
Territories, Hong Kong

Assignor

KHONG Lok Hang

KHONG Lok Hang
Gr. 40 Sycamore Street, Tai Kok Tsui, Kowloon, Hong
Kong

Assignor

GONG, Tao
Rm. 703, Bldg. 6, Haiqin Mansion, Jianhua Rd.,
Ronggui Town, Shunde District, Foshan City,
Guangdong Province, 528303, China.

PATENT

REEL: 047648 FRAME: 0202



納米及先進材料研發院有限公司
Nano and Advanced Materials Institute Limited

香港九龍青洲英屬香港大學香港賽馬會創新科技中心23樓2304室 Tel: (852) 23584407, 23781478 Fax: (852) 23588113, 23219618
Room 2304, The Hong Kong Jockey Club Enterprise Center, HKUST, Clear Water Bay, Kowloon, Hong Kong Website: <http://www.nami.org.hk> Email: info@nami.org.hk

Our Ref. : NAMI/L/186/08

19 October 2008

Dr GONG Tao

PERSONAL &
CONFIDENTIAL

Dear Dr GONG,

Letter of Appointment

On behalf of the Institute, I am pleased to offer you an appointment as Research Associate on the following terms and conditions:

Appointment period: 1 December 2008 to 30 November 2009, both days inclusive.

Salary: At the fixed rate of HK\$ [REDACTED] per month for the entire period of appointment. Salary is payable monthly in arrears.

Working hours: At least 39 hours per week.

Duties assigned: By the Chief Executive Officer or his representative.

Mandatory Provident Fund: You shall be enrolled in the Mandatory Provident Fund (MPF) Scheme of the Institute in accordance with the MPF Schemes Ordinance (Cap 485, Hong Kong Laws) unless otherwise exempted under the provisions of the Ordinance.

Leave: (a) Gazetted general holidays.
(b) Paid leave at the rate of one day's leave for each completed month of service, and all such leave has to be taken during service within the appointment period. No payment-in-lieu for the leave will be made.
(c) Full pay sick leave may be granted up to a maximum period accrued at the rate of two days for each completed month of service with the Institute.

Medical and dental benefits: You (but not other members of your family) shall be entitled to participate in the Institute's staff medical and dental schemes, subject to and in accordance with the eligibility criteria as well as other terms and conditions of the schemes.

Termination: One month's notice in writing or payment in lieu is required.

Other statutory benefits, if applicable, will be provided in accordance with the Employment Ordinance.

Apart from the above, you shall be obligated to observe the policies and regulations governing intellectual property rights and handling of confidential information as prescribed by the Institute from time to time.

This offer is made subject to your being able to obtain before 1 December 2008 a visa to undertake employment in Hong Kong.

If you accept this offer of appointment, please sign and return a copy of this letter to the Institute as soon as possible.

Yours sincerely,

Ka Ming NG

Ka Ming NG
Chief Executive Officer



cc Dr. Kiki MIAO, NAMI
Accounts Office, NAMI - BR#530403

To: Chief Executive Officer
Nano and Advanced Materials Institute Limited

I accept the offer of appointment on the terms and conditions set out above.

Date: Oct. 27, 2008

Signature: GONG Tao
(GONG Tao)



納米及先進材料研發院有限公司
Nano and Advanced Materials Institute Limited

香港九龍清水灣香港科技大學香港賽馬會創新科技中心3樓3648室 Tel: (852) 23588407, 23528476 Fax: (852) 23588113, 33216618
Room 3648, The Hong Kong Jockey Club Enterprise Center, MXUSI, Clear Water Bay, Kowloon, Hong Kong Website: <http://www.nami.org.hk> Email: info@nami.org.hk

Our Ref.: NAMI/L/224/09

20 January 2009

Dr GONG Tao

PERSONAL &
CONFIDENTIAL

c/o Nano and Advanced Materials Institute Limited (NAMI)

Dear Dr GONG,

I understand that you assumed duty as Research Associate on 6 January 2009. In this connection, your contract period of employment with the Institute will be revised to run from 6 January 2009 to 5 January 2010.

All other terms and conditions of service as stipulated in the Letter of Appointment of 10 October 2008 to you will remain unchanged.

I should be grateful if you would sign and return a copy of this letter to us before 15 January 2009 to indicate your acceptance of the above amendment to your Letter of Appointment.

Yours sincerely,



Ka Ming NG

Chief Executive Officer

cc Dr. Kiki MIAO, NAMI

Accounts Office, NAMI – BR#530405

To: Chief Executive Officer

Nano and Advanced Materials Institute Limited

I accept the amendment to my Letter of Appointment of 10 October 2008 as set out above.

Date:

20/01/2009

Signature:

GONG Tao

(GONG Tao)



納米及先進材料研發院有限公司

Nano and Advanced Materials Institute Limited

香港九龍清水灣香港科技大學香港賽馬會創新科技中心3樓3641-3649室 Tel: (852) 23588407, 23588476 Fax: (852) 23588113, 35210818
Room 3641 - 3649, The Hong Kong Jockey Club Enterprise Center, HKUST, Clear Water Bay, Kowloon, Hong Kong Website: <http://www.nami.org.hk> Email: info@nami.org.hk

NON-DISCLOSURE AGREEMENT

This Non-disclosure Agreement is made this 26 day of June, 2009

between

Nano and Advanced Materials Institute Limited (hereinafter referred to as 'NAMI')
whose registered office is located at The Hong Kong University of Science and
Technology, Clear Water Bay, Kowloon, Hong Kong

and

GONG Tao (hereinafter referred to as the 'Employee').

WHEREAS the Employee may generate, gather or otherwise deal with a variety of
information in the course of performance of his/her duties in connection with his/her
employment with NAMI, and it is in the best interests of NAMI that certain
information be kept in strict confidence.

IT IS HEREBY AGREED as follows:

1. For the purposes of this Agreement, 'Confidential Information' shall be defined
as any information, data, materials, statistics, ideas, concepts, discoveries, plans,
logbooks, drawings, diagrams, sketches, blueprints, charts, software, algorithms,
technologies, knowhow, formulae, models, designs, specifications, prototypes,
samples, products, manuals, procedures, processes, improvements, business
plans, financial figures, trade secrets, client data and so forth presented in writing,
orally or any other ways which the Employee generates, gathers or otherwise
deals with in the course of performance of his/her duties in connection with
his/her employment with NAMI and which NAMI deems appropriate to be kept
confidential in order to safeguard its interests, irrespective of whether marked as
'confidential' or designated in a similar manner.
2. 'Confidential Information' shall exclude any information which:

- (i) is independently developed by the Employee;
 - (ii) comes to the Employee's knowledge prior to first receipt of the same in connection with his/her employment with NAMI;
 - (iii) the Employee receives from third parties without confidentiality obligations;
 - (iv) is in the public domain other than by breach of this Agreement by the Employee;
 - (v) is disclosed to third parties with the prior approval of NAMI; and
 - (vi) is disclosed pursuant to the order of a court or other government body, provided that the Employee shall promptly notify NAMI in writing thereof.
3. The Employee shall at all times:
- (i) keep all Confidential Information under his/her control in strict confidence;
 - (ii) protect all Confidential Information under his/her control with at least the degree of care with which the Employee protects his/her own confidential information, but in any case no less than a reasonable degree of care;
 - (iii) not use, disclose or duplicate, or allow to be used, disclosed or duplicated, any Confidential Information to third parties except for the business of NAMI or with the prior approval of NAMI, either during or after his/her employment with NAMI;
 - (iv) return to NAMI and/or destroy all Confidential Information and copies thereof upon NAMI's request or upon cessation of his/her employment with NAMI within a time frame to be specified by NAMI;
 - (v) not exploit any Confidential Information to his/her own advantage;
 - (vi) abide by all in-house rules and regulations in relation to the safeguarding of Confidential Information as prescribed by NAMI from time to time; and

(vii) comply with, and use reasonable efforts to aid NAMI in its compliance with, the laws of the jurisdictions in which NAMI operates and the provisions of contracts to which NAMI is a party in relation to the safeguarding of Confidential Information.

4. This Agreement is complete and exclusive between NAMI and the Employee concerning the subject matter hereof and supersedes all previous written or oral agreements, communications, representations, understandings and undertakings.
5. If any provision of this Agreement becomes invalid, the validity of the remainder shall not be affected.
6. Amendment to this Agreement shall only be effective if made in writing and signed by the parties hereto.
7. The Employee recognizes that breach of any of the provisions herein may result in disciplinary actions including dismissal from NAMI.
8. This Agreement shall be governed, interpreted and enforced in accordance with the laws of the Hong Kong.

For and on behalf of NAMI

Signature: _____

NG Ka Ming

Name: _____

NG Ka Ming

Designation: _____

CEO

Date: _____

21 JUN 2009

Signature: _____

GONG Tao

Name: _____

GONG Tao

Designation: _____

Research Associate

Date: _____

26 JUN 2009

ASSIGNMENT

WHEREAS, We,

Nano and Advanced Materials Institute Ltd., a limited company organized and existing under and by virtue of the laws of Hong Kong, and having an office and place of business at Units 515-516, 5/F, Lakeside I, No. 8 Science Park West Avenue, Hong Kong Science Park, Shatin, New Territories, Hong Kong (hereinafter "Assignor"), have executed application for patent in the United States (hereinafter "Application") filed on 11 Sep 2015 under serial number 14850973 for certain new and useful inventions and improvements (hereinafter "Inventions and Improvements") that have been documented in a specification entitled "FABRICATION OF MIRROR-LIKE COATINGS";

AND WHEREAS, S.T. Trading Company Limited, a limited company organized and existing under and by virtue of the laws of Hong Kong SAR, China, and having an office and place of business at Flat 4, 15/F., Eastern Centre, 1065 King's Road, Quarry Bay, Hong Kong SAR, China (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said Inventions and Improvements and said Application and in and to the patent to be obtained therefrom;

NOW THEREFORE, to all whom it may concern, be it known that in consideration of One Dollar (USD 1.00), and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, We have sold, assigned, and transferred, and by these present do sell, assign and transfer unto said Assignee, its successors, legal representatives or assigns, the entire right, title and interest for all countries in and to all inventions disclosed in said Application, and in and to the said Application, all divisions, continuations or renewals thereof, all patents which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any country or countries foreign to the United States for patents on said Inventions and Improvements, including an assignment of all rights under the provisions of the International Convention, and all patents of any country or countries foreign to the United States which may be granted therefrom; and We do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue any and all United States Patents, and any official of any country or countries foreign to the United States, whose duty is to issue patents on applications as aforesaid, to issue any and all patents, for the said Inventions and Improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of said Assignee, its successors, legal representatives and assigns.

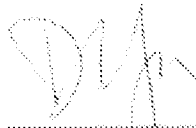
AND, for the consideration aforesaid, We do hereby agree that We and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors, legal representatives and assigns all facts known to us relating to said Inventions and Improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors, legal representatives or assigns the entire right, title and interest in and to the said Inventions and Improvements, Application, patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore We covenant and agree with said Assignee, its successors, legal representatives or assigns, that no assignment, grant, mortgage license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

SIGNED this 29th day of November, 2018 in Hong Kong SAR, China
(month) (year) (city) (country)

RU18,001.CIPUS

Assignor:



Nano and Advanced Materials Institute Limited
Units 515-518/5/F, Lakeside 1, No. 8 Science Park
West Avenue, Hong Kong Science Park, Shatin, New
Territories, Hong Kong
Name Printed:
Title:

Assignee:



S.T. Trading Company Limited
Flat 4, 15/F., Eastern Centre, 1065 King's Road, Quarry
Bay, Hong Kong.
Name Printed: TSUI, Davy
Title: Director of S.T. Trading Company Limited