

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5265395

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
WERNER BERGBAUER	07/05/2018
THOMAS LEHNHARDT	07/09/2018
JÜRGEN OFF	07/06/2018
LISE LAHOURCADE	11/05/2018
PHILIPP DRECHSEL	11/27/2018
RECEIVING PARTY DATA	
Name:	OSRAM OPTO SEMICONDUCTORS GMBH
Street Address:	LEIBNIZSTR. 4
City:	REGENSBURG
State/Country:	GERMANY
Postal Code:	93055
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16067955
CORRESPONDENCE DATA	
Fax Number:	(215)656-3301
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Email:	pto.phil@dlapiper.com
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ATTORNEY DOCKET NUMBER:	EHF-18-1420
NAME OF SUBMITTER:	CAROL CONEY
SIGNATURE:	/cc/
DATE SIGNED:	12/04/2018
Total Attachments: 20	

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P2016,0204 US N / 2015P02243WOUS (JN/SR)

Docket No.: EHF-18-1420

Page 1 of 4

ASSIGNMENT

For good and valuable consideration, I (We),

Werner Bergbauer,
residing at Sandweg 1
94336 Windberg
Germany
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Thomas Lehnhardt,
residing at Sommeistr. 12
93053 Regensburg
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citizen of Germany

Jürgen Off,
residing at Messerschmittstr. 15
93049 Regensburg
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citizen of Germany

Lise Lahourcade,
residing at Michael-Burgau-Str. 21
93041 Regensburg
Germany
citizen of France

Philipp Drechsel,
residing at ~~Mörkestr. 9~~
~~93051 Regensburg~~
Germany
citizen of Germany

*Barbara - Blomberg - Straße 18
93055 Regensburg*

*November 27, 2018
P. Drechsel*

hereafter individually or collectively referred to as "Assignor(s)";

Hereby, (I) acknowledge having previously assigned, sold and transferred to **OSRAM Opto Semiconductors GmbH**, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Leibnizstr. 4 in 93055 Regensburg, hereinafter "Assignee", its successors, assigns and legal representatives, or to a predecessor of Assignee, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignee or a predecessor of the Assignee, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the below-identified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

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Page 2 of 4

(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

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Filing Date: 07/03/2018 (MM/DD/YYYY)

Filing Number: 16/067,955

Internal Case Number(s): EHF-18-1420

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

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Page 3 of 4

D. Reher

Witness Signature

Werner Bergbauer

Werner Bergbauer

Matthias PETER

printed name of witness

5 July 2018

Date

Witness Signature

Thomas Lehnhardt

printed name of witness

Date

Witness Signature

Jürgen Off

printed name of witness

Date

Witness Signature

Lise Lahourcade

printed name of witness

Date

Witness Signature

Philipp Drechsel

printed name of witness

Date

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Page 4 of 4

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature

Name

[Handwritten Signature]
Nikolaus Gmelchweiser
Senior Manager
Intellectual Property

Date

1 1. JULI 2018

Signature

Name

[Handwritten Signature]
Katja Lorber
Manager
Intellectual Property

Date

Intellectual Property

1 1. JULI 2018

PATENT

REEL: 047668 FRAME: 0881

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93041 Regensburg
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~~93051 Regensburg~~
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*Barbara - Blomberg - Straße 18
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*November 27, 2018
P. Dael*

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Filing Date: 07/03/2018 (MM/DD/YYYY)

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(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

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Page 3 of 4

Witness Signature

Werner Bergbauer

printed name of witness

Date

Marian Caliebe
Witness Signature

Thomas Lehnhardt
Thomas Lehnhardt

MARIAN CALIEBE
printed name of witness

July 9, 2018
Date

Witness Signature

Jürgen Off

printed name of witness

Date

Witness Signature

Lise Lahourcade

printed name of witness

Date

Witness Signature

Philipp Drechsel

printed name of witness

Date

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Page 4 of 4

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature

Name

Date

Signature

Name

Date

[Handwritten signature]
[Handwritten text]

[Handwritten signature]
[Handwritten text]

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ASSIGNMENT

For good and valuable consideration, I (We),

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94336 Windberg
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citizen of Germany

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citizen of Germany

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citizen of France

Philipp Drechsel,
residing at Märkestr. 9
93051 Regensburg
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citizen of Germany

*Barbara - Blomberg - Straße 18
93055 Regensburg*

*November 27, 2018
P. Drechsel*

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Filing Date: 07/03/2018 (MM/DD/YYYY)

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P2016,0204 US N / 2015P02243WOUS (JN/SR)
Docket No.: EHF-18-1420
Page 3 of 4

Witness Signature

Werner Bergbauer

printed name of witness

Date

Witness Signature

Thomas Lehnhardt

printed name of witness

Date

Witness Signature

Jürgen Off

printed name of witness

Date

Witness Signature

Lise Lahourcade

printed name of witness

Date

Witness Signature

Philipp Drechsel

printed name of witness

Date

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Page 4 of 4

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature

Name

Date

Signature

Name

Date

[Handwritten signature]

[Handwritten text]

[Handwritten signature]

[Handwritten text]

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Page 1 of 4

ASSIGNMENT

For good and valuable consideration, I (We),

Werner Bergbauer,
residing at Sandweg 1
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citizen of Germany

Thomas Lehnhardt,
residing at Sommestr. 12
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Jürgen Off,
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Philipp Drechsel,
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93051 Regensburg
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citizen of Germany

*Barbara - Blomberg - Straße 18
93055 Regensburg*

*November 27, 2018
P. Drechsel*

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Hereby, (I) acknowledge having previously assigned, sold and transferred to **OSRAM Opto Semiconductors GmbH**, a corporation organized and existing under the laws of GERMANY, having its principal place of business at Leibnizstr. 4 in 93055 Regensburg, hereinafter "Assignee", its successors, assigns and legal representatives, or to a predecessor of Assignee, pursuant to (A)(i) the laws of GERMANY or (ii) a Patent & Secrecy Agreement or similar legal document such as, without limitation, an employee agreement executed at the time of entering into the employment of, or executed as a condition of continuing employment with, the Assignee or a predecessor of the Assignee, the entire right, including any and all priority rights, title and interest, in and for the United States and all foreign countries, in and to any and all inventions or improvements which are disclosed in an invention disclosure and/or in the below-identified documents currently filed with this Assignment, already filed or granted for Letters Patent (in which case, any provision of the subject Assignment that is in conflict with or is in addition to any provision in the prior agreement(s) or assignment(s) then this Assignment shall govern, take precedence, and be of legal effect), or

PATENT

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Witness Signature

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printed name of witness

Date

Witness Signature

Thomas Lehnhardt

printed name of witness

Date

Witness Signature

Jürgen Off

printed name of witness

Date

Cret B.

Witness Signature

Lise Lahoucade

Cret Bettina
printed name of witness

05.11.2018
Date

Witness Signature

Philipp Drechsel

printed name of witness

Date

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Page 4 of 4

Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature

Name

Date

Signature

Name

Date

Handwritten signature and text, likely a date or reference number.

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*Barbara - Blomberg - Straße 18
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*November 27, 2018
P. Reich*

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Docket No.: EHF-18-1420

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(B)(i) to the extent such laws of GERMANY or such Patent & Secrecy Agreement or similar legal document failed or fails, in whole or part, to have assigned, sold or transferred the entire right (including priority rights), title and interest, in and for the United States and all foreign countries, in and to all inventions or improvements which are disclosed in the below-identified application(s) or pending application(s) or granted Letters Patent, or (ii) if no such agreement(s) exist assigning, selling or transferring any such right (including priority rights), title or interest; then for good and valuable consideration, Assignor(s) now and hereby, effective Nunc Pro Tunc on the filing date of the below identified patent application(s), pending patent application(s) or granted Letter Patent(s), assign, sell and transfer(s) to Assignee, its successors, assigns and legal representatives, the entire right, (including all priority rights), title and interest in and for the United States and all foreign countries, in and to any and all inventions and/or improvements which are disclosed in the following identified; patent application(s), pending patent application(s) or granted Letter Patent(s)

Patent Application Title: METHOD FOR PRODUCING A RADIATION-EMITTING SEMICONDUCTOR CHIP, AND RADIATION-EMITTING SEMICONDUCTOR CHIP

Filing Date: 07/03/2018 (MM/DD/YYYY)

Filing Number: 16/067,955

Internal Case Number(s): EHF-18-1420

and in and to said application(s) or granted patents and all applications claiming priority to said application or granted patent, including, without limitation, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of the inventions and/or improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on the inventions and/or improvements;

(II) Agree that said Assignee may apply for and receive Letters Patent for said inventions and/or improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions and/or improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said inventions and/or improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and/or improvements and for vesting title to said inventions and or improvements and all applications for patents and all patents on said inventions and/or improvements, in said Assignee, its successors, assigns and legal representatives; and

(III) Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

P2016,0204 US N / 2015P02243WOUS (JN/SR)

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Witness Signature

Werner Bergbauer

printed name of witness

Date

Witness Signature

Thomas Lehnhardt

printed name of witness

Date

Witness Signature

Jürgen Off

printed name of witness

Date

Witness Signature

Lise Lahourcade

printed name of witness

Date

Ma. Calie
Witness Signature

Philipp Drechsel
Philipp Drechsel

Marian Calie
printed name of witness

November 27, 2018
Date

P2016,0204 US N / 2015P02243WOUS (JN/SR)
Docket No.: EHF-18-1420
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Companies Representative(s)
OSRAM Opto Semiconductors GmbH

Signature

Name

Date

Signature

Name

Date

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